

# **Is Transcatheter AVR the Standard of Care for High Risk AS Patients? Summary of Worldwide Experiences**

***Martin B. Leon, MD***

***Columbia University Medical Center  
Cardiovascular Research Foundation  
New York City***

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# Presenter Disclosure Information for Angioplasty Summit 2009

***Martin B. Leon, M.D.***

***Scientific Advisory Board or Equity:***  
Edwards Lifesciences, Medtronic, Sadra



# Transcatheter AVR

## *Indications for Surgical AVR*

### ***Why is surgical AVR so great?***

#### ***Because our patients...***

- 1. Live longer**
- 2. Feel better (marked Sx benefit)**
- 3. Have improved LV function**



# Transcatheter AVR

## *Rules of Engagement*



# Transcatheter AVR

## Clinical Indications

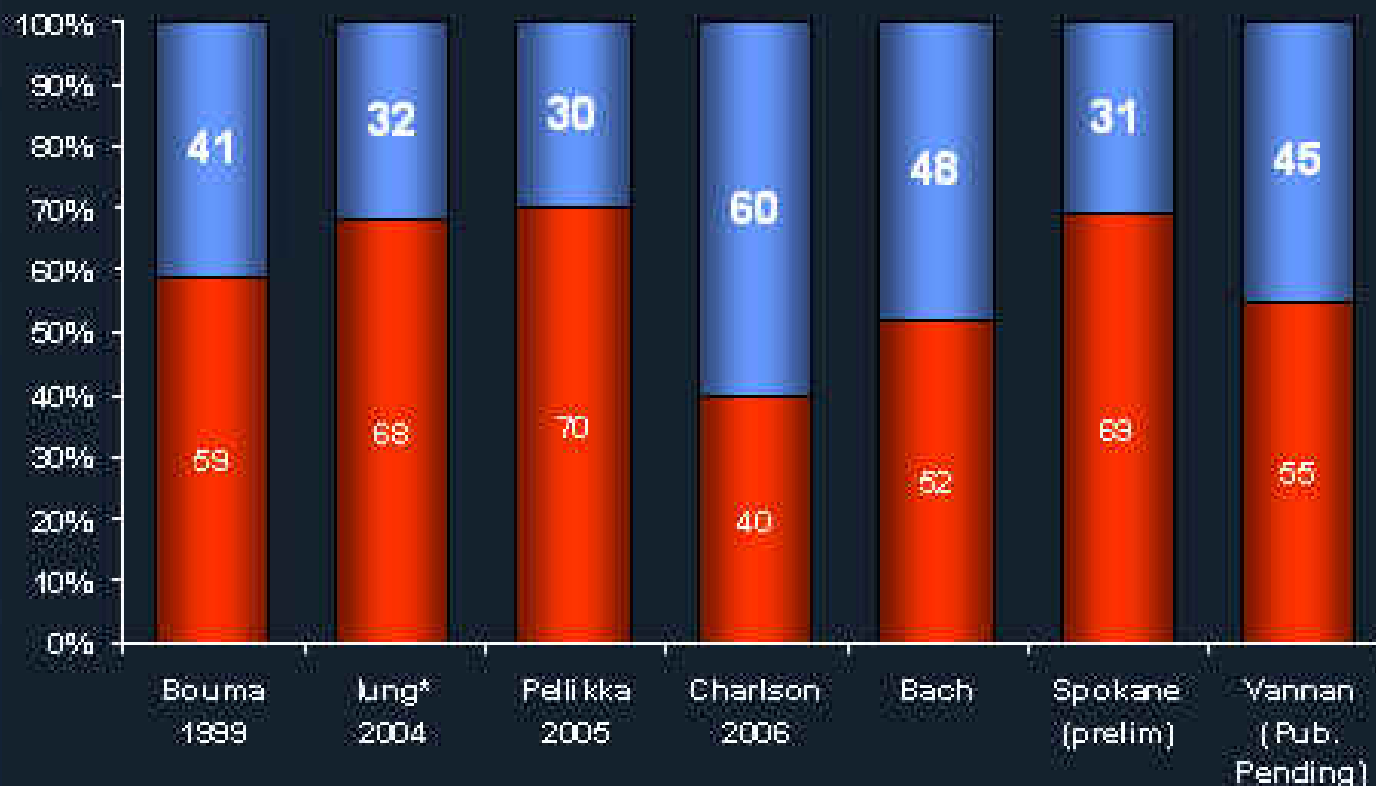


# At Least 30% of Patients with Severe Symptomatic AS are “Untreated”!

## Severe Symptomatic Aortic Stenosis

Percent of Cardiology Patients Treated

■ AVR  
■ No AVR



Under-treatment especially prevalent among patients managed by *Primary Care* physicians

1. Bouma BJ et al. To operate or not on elderly patients with aortic stenosis: the decision and its consequences. *Heart* 1999;82:143-148

2. Jung B et al. A prospective survey of patients with valvular heart disease in Europe: The Euro Heart Survey on Valvular Heart Disease. *European Heart Journal* 2003;24:1231-1243 (Include both Aortic Stenosis and Mitral Regurgitation patients)

3. Pellikka, Sarano et al. Outcome of 822 Adults with Asymptomatic, Hemodynamically Significant Aortic Stenosis During Prolonged Follow-Up. *Circulation* 2005

4. Charlson E et al. Decision-making and outcomes in severe symptomatic aortic stenosis. *J Heart Valve Dis* 2008;15:318-321

# Potential Patients for Transcatheter Aortic Valve Therapy

## **SEVERE AORTIC STENOSIS**

PT. REFUSALS  
NOT REFERRED  
? ASYMPTOMATIC

BALLOON AORTIC  
VALVULOPLASTY

**There is an unmet clinical need!**

**AORTIC VALVE  
REPLACEMENT  
SURGERY**

**HIGH-RISK  
PATIENTS**



# Who are the “*High Risk*” AS Patients

- Octogenarians with multiple co-morbidities (COPD, diabetes, ↑ creatinine, PVD, ↓ LVEF, previous cardiac surgery, others)
- STS Predicted Risk >10%, ~ Logistic EuroSCORE >30% (~15% operative risk @ 30 days)
- Requires close surgical consultation (significant inter-site variability)

*There is no perfect formula!*  
*Requires some quantitative risk algorithm*  
*+ a thoughtful surgeon/cardiologist!!!*





# Who are the ***“Inoperable”*** AS Patients

- Radiation chest wall/heart disease
- Chest wall deformities (severe)
- End-stage COPD
- Cirrhosis with portal hypertension
- Porcelain aorta (CT proven)
- Degenerative neurocognitive dysfunction
- High “frailty” index (qualitative assessment)
- >50% chance of mortality or never leaving a chronic care facility

***Even less perfect formula!***  
***Requires the surgeons acting as the***  
***“gatekeepers”!!!***



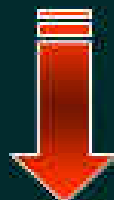
# Transcatheter AVR

## Clinical Outcomes



# TAVR: Clinical Outcomes

**What We Know...**



**What We Need to Know...**



# TAVR: Clinical Outcomes

**What We Know...**



**What We Need to Know...**



# Dr. Alain Cribier

## *First-in-Man PIONEER*



**Circulation** American Heart Association  
*Learn and Live.*

### **Percutaneous Transcatheter Implantation of an Aortic Valve Prosthesis for Calcific Aortic Stenosis**

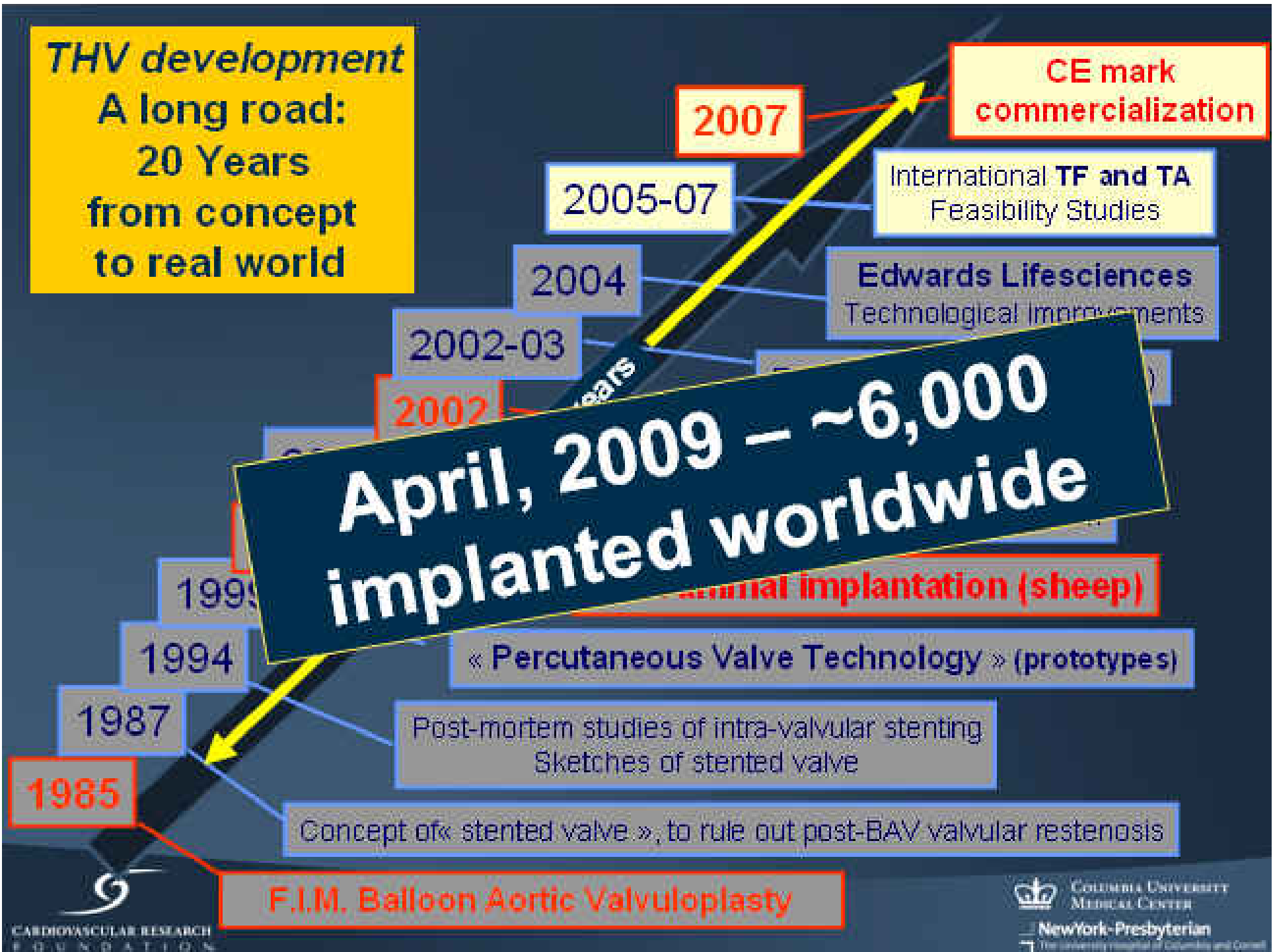
#### **First Human Case Description**

Alain Cribier, MD; Helene Eltchaninoff, MD; Assaf Bash, PhD; Nicolas Borenstein, MD; Christophe Tribou, MD; Fabrice Bauer, MD; Genevieve Derumeaux, MD; Frederic Anselme, MD; François Laborde, MD; Martin B. Leon, MD

**Conclusions—** Nonsurgical implantation of a prosthetic heart valve can be successfully achieved with immediate and midterm hemodynamic and clinical improvement.

*April 16, 2002*

**THV development**  
A long road:  
20 Years  
from concept  
to real world



# Transcatheter AVR

## *Current Generation Devices*



**Edwards**  
~3,000 patients



**CoreValve**  
~3,000 patients



# TAVR: Clinical Evidence

## *Clinical Data Conundrum...*

- Early clinical trials chaotic, reflecting frequent changes in technology, procedural methods, and data collection processes (small sample sizes and difficult to pool or compare datasets)
- Study endpoints not clarified or standardized (e.g. vascular complications, peri-valvular AR)
- Inconsistent use of data coordinating centers, core labs and CECs
- Poor long-term follow-up of essential valve-related endpoints (e.g. FU echoes)
- ***All problems exaggerated due to complexity and acuity of patient population!***



# Transcatheter AVR Clinical Data Sources

## Edwards

Transseptal Experience  
(RECAST, I-REVIVE; 36 pts)

REVIVE (OUS, TF, 106 pts)  
TRAVERCE (OUS, TA, 172 pts)  
REVIVAL (US, TF/TA, 95 pts)

PARTNER EU (OUS, TF/TA 125 pts)  
SOURCE (OUS, TF/TA, >600 pts)\*

PARTNER FDA\*  
(US/OUS, TF/TA >700 pts)

**FIRST-in-MAN**

**FEASIBILITY**

**CE-APPROVAL**

**PIVOTAL RCT**

## CoreValve

25 Fr Transfemoral  
Experience (14 pts)

21 and 18 Fr Transfemoral  
OUS Experience (177 pts)

18 Fr Transfemoral OUS  
Experience (>2,000)\*

# REVIVE & REVIVAL (n=161)

## *Patient Demographics*

<b>Age (yrs)</b>	<b>83.5 ± 5.9 (66 - 96)</b>
≥ 90 years	14.3% (23)
≥ 80 years	75.2% (121)
<b>Female</b>	<b>52.5% (84)</b>
<b>Ejection Fraction (echo)</b>	<b>50.1 ± 16.6%</b>
<b>Pre-AVA (cm<sup>2</sup> – echo)</b>	<b>0.57 ± 0.13</b>
<b>Mean gradient (mmHg) echo</b>	<b>43.4 ± 17.0</b>
<b>CHF - Mean NYHA Class</b>	<b>3.24 ± 0.63</b>
<b>Angina</b>	<b>41.0% (66)</b>
<b>Syncope</b>	<b>14.3% (23)</b>
<b>Mean Logistic EuroSCORE</b>	<b>30.7% ± 15.2</b>
<b>Mean STS Score (REVIVAL Only)</b>	<b>13.1% ± 7.2</b>



# REVIVE + REVIVAL

## *High Risk Co-morbidities*

<b>Prior Cardiac Surgery</b>	<b>28.6% (46)</b>
<b>Prior Coronary Intervention</b>	<b>24.2% (39)</b>
<b>History of CVA or TIA</b>	<b>16.1% (26)</b>
<b>COPD</b>	<b>27.3% (44)</b>
<b>Peripheral vascular disease</b>	<b>20.5% (33)</b>
<b>Chronic Renal Disease</b>	<b>21.7% (35)</b>
<b>Diabetes</b>	<b>26.1% (42)</b>
<b>Porcelain Aorta</b>	<b>6.2% (10)</b>
<b>Chest Wall Radiation</b>	<b>6.8% (11)</b>
<b>Chest Wall Deformities</b>	<b>4.3% (7)</b>




# TAVR: Clinical Outcomes

## *What We Know...*

- TAVR has become very popular, with surprising clinical penetration soon after CE approval and commercial release

# Transcatheter AVR

## Current Generation Devices



*By the end of 2009, > 16,000 transcatheter aortic valves will have been implanted around the world!*

Edwards  
~3,000 patients

~3,000 patients



# Transcatheter AVR



**\$325 million**

**Ventor**

**NEWS RELEASE**

**Contacts:**  
David Beach  
Public Relations  
763-505-2603

Jeff Warren  
Investor Relations  
763-505-2696

**FOR IMMEDIATE RELEASE**

**MEDTRONIC ACQUIRES VENTOR TECHNOLOGIES LTD.**

*Medtronic Targets Leadership Role in Aortic Transcatheter Valves*



**CoreValve**



**\$1,200 million**

**NEWS RELEASE**

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**FOR IMMEDIATE RELEASE**

**MEDTRONIC TO ACQUIRE COREVALVE, INC.**

*Medtronic Targets Leadership Role in High-Growth Aortic Transcatheter Valves*

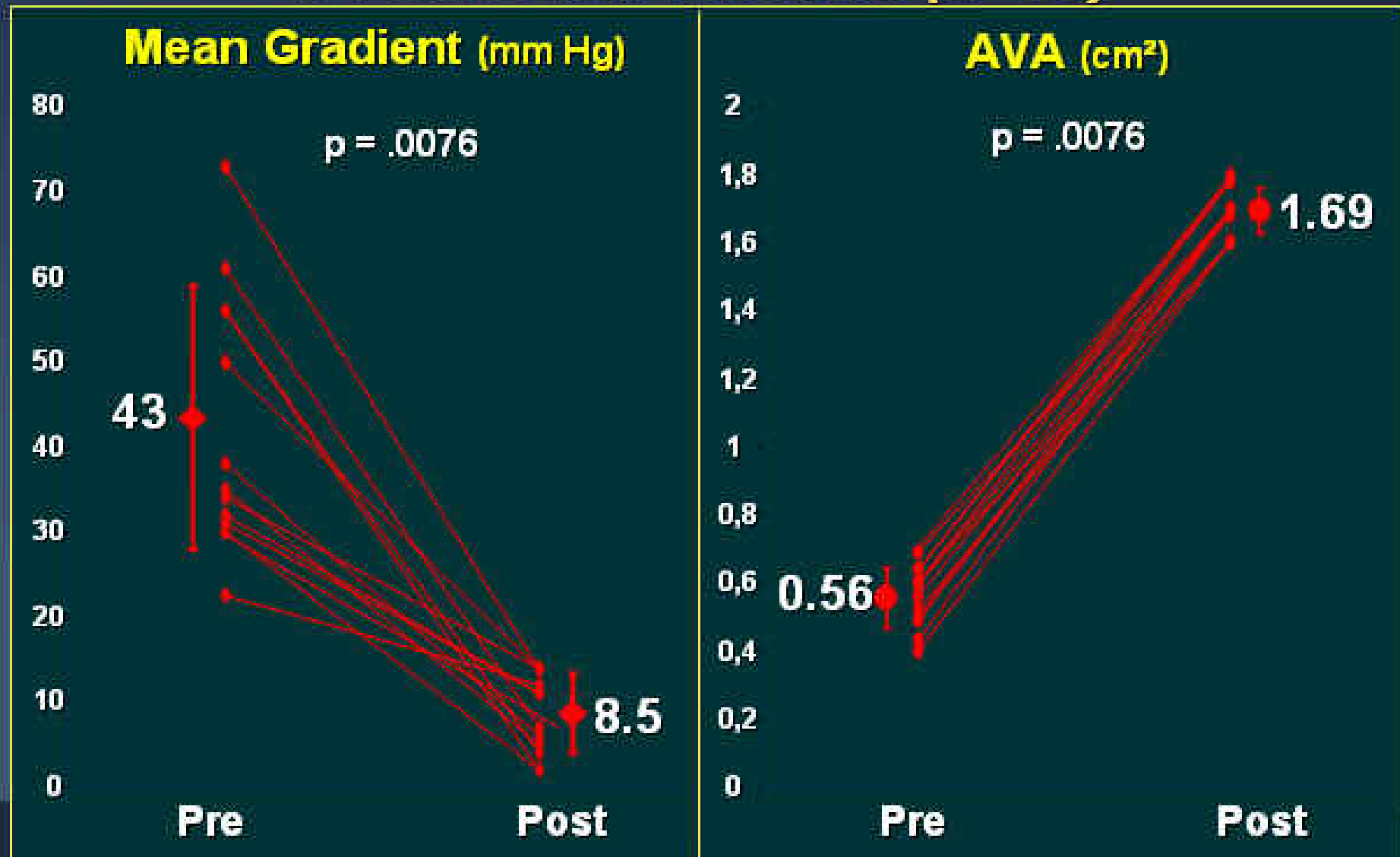
# TAVR: Clinical Outcomes

## *What We Know...*

- T<sub>2</sub> Aortic has become very popular, with surprising clinical penetration soon after CE approval and commercial release
- **Acute and medium-term hemodynamic performance of catheter-based biologic valves is equivalent to surgically implanted valves**

# Cribier – Early PHV Experiences

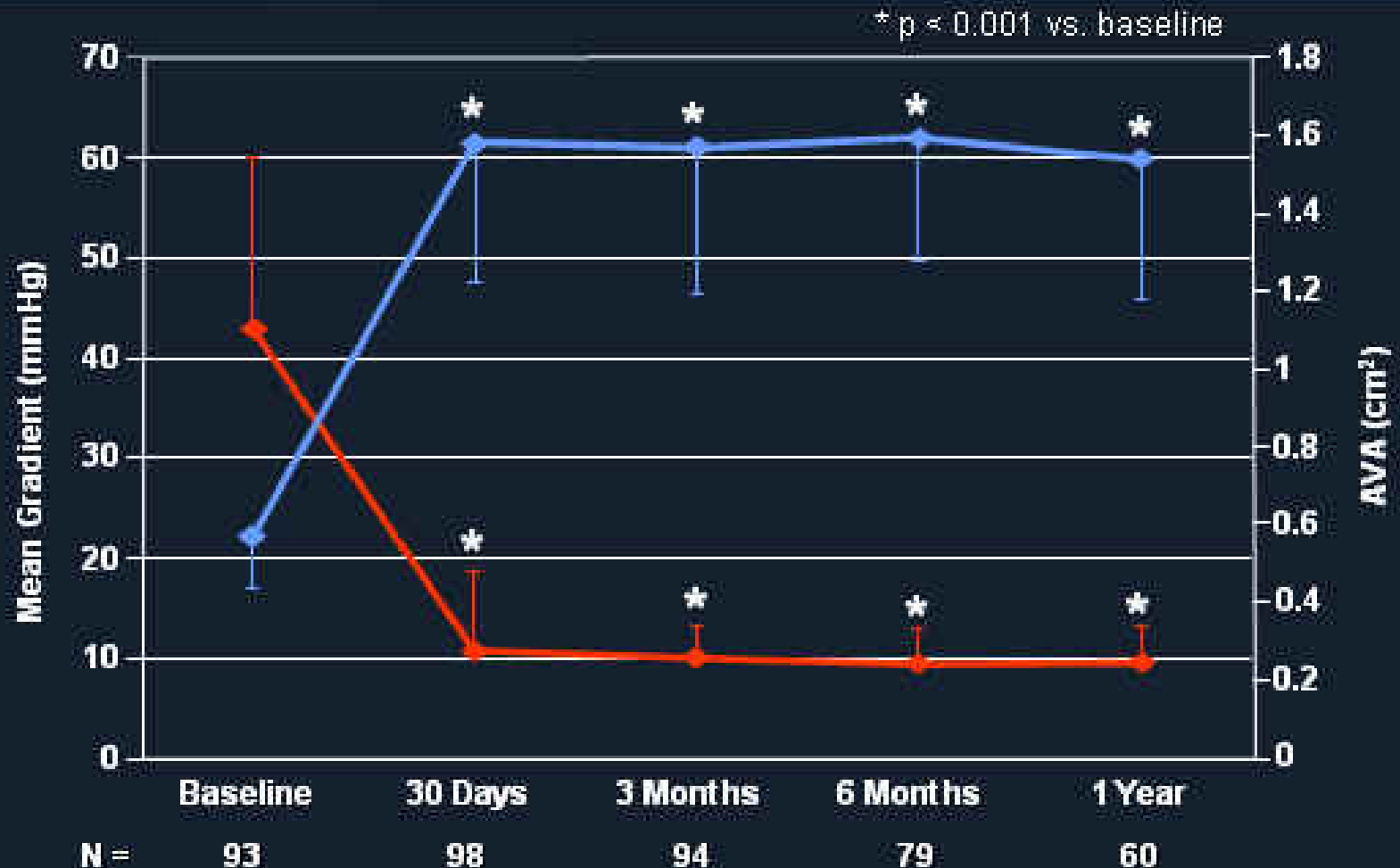
## Procedural Results (n=16)





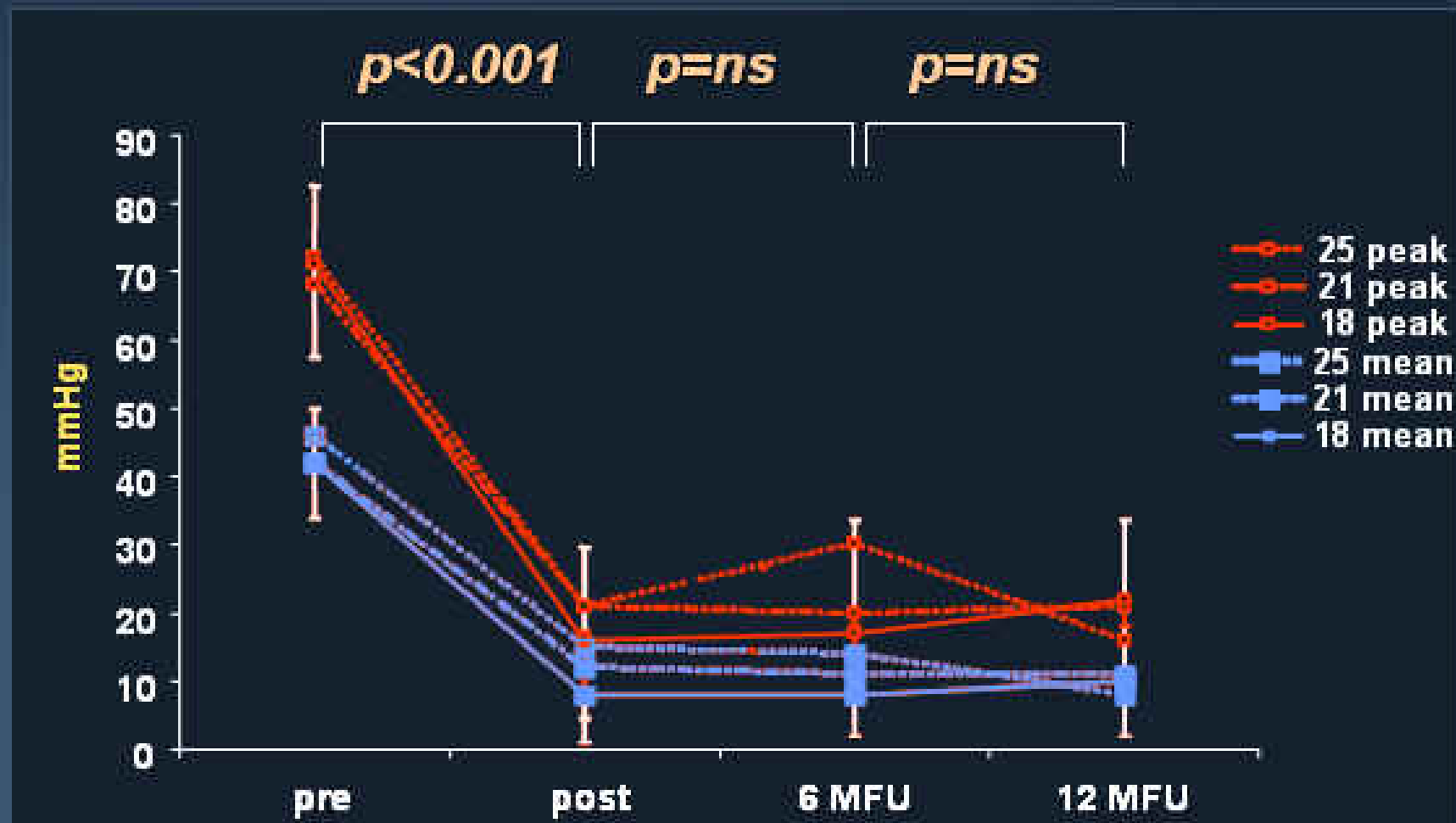
# REVIVE + REVIVAL

## Changes in Echo Gradient and EOA



# CoreValve Siegburg Experience\*

## Transvalvular peak and mean pressure gradients



# TAVR: Clinical Outcomes

## *What We Know...*

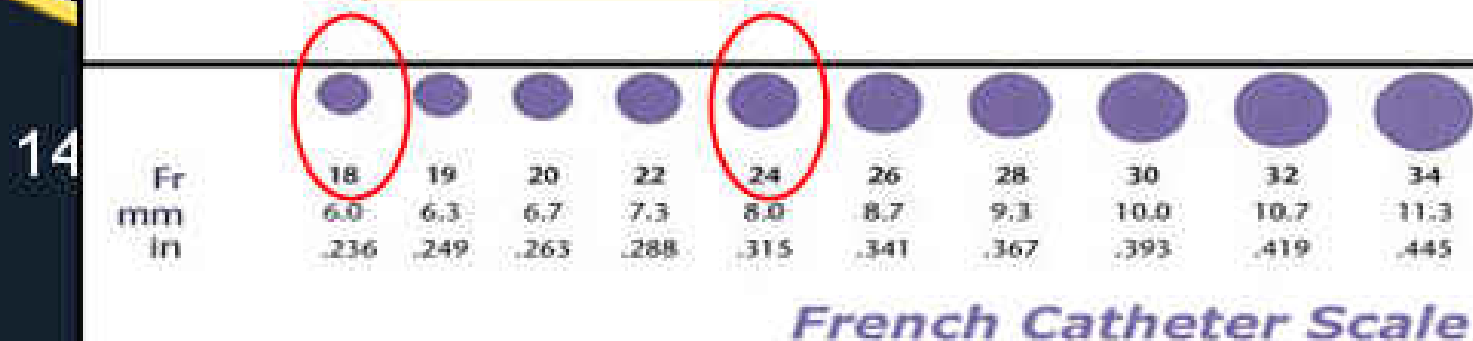
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- Acute and medium-term hemodynamic performance of catheter-based biologic valves is equivalent to surgically implanted valves
- **TAVR devices have evolved significantly and the procedures have become simplified**

# CoreValve Procedural Progress

Evolution to a  
“real cath lab procedure”  
within first 40 Pts of 18 Fr study

Generation 1  
25F

## Evolution to Truly Percutaneous PAVR



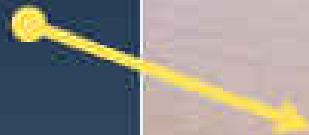
>2,500 pts

Oct. 2006



# CoreValve *ReValving* System Delivery Catheter Evolution

**GEN1**  
**8mm**



**GEN2**  
**7mm**

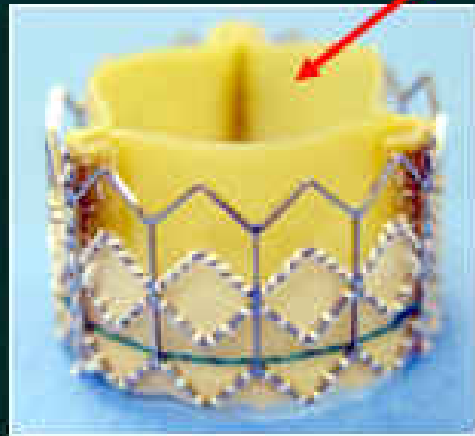


**GEN3**  
**6mm**  
**(18 Fr)**



# The Current Generation

## *Edwards – SAPIEN THV*



**Bovine Tissue**  
**ThermaFix Treatment**  
**Pericardial Mapping**  
**Leaflet Deflection**  
**Proprietary Processing**

**New  
Skirt Height**

**Edwards-SAPIEN THV**

**Untreated Equine  
Tissue**



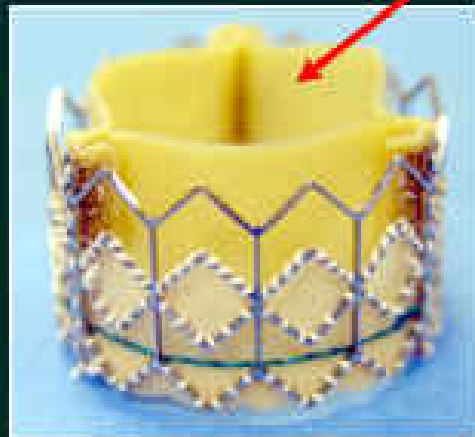
**Current  
Skirt Height**

**Cribier-Edwards THV**



# The Next Generation

## *Edwards – SAPIEN XT THV*



**Bovine Tissue**  
**ThermaFix Treatment**  
**Pericardial Mapping**  
**Leaflet Deflection**  
**Proprietary Processing**

**New**  
**Skirt Height**

**Edwards-SAPIEN THV**

**New Sizes**  
**(20,23,26,29mm)**

**Cobalt Alloy Frame**  
**New Leaflet Geom**  
**(improved durability)**

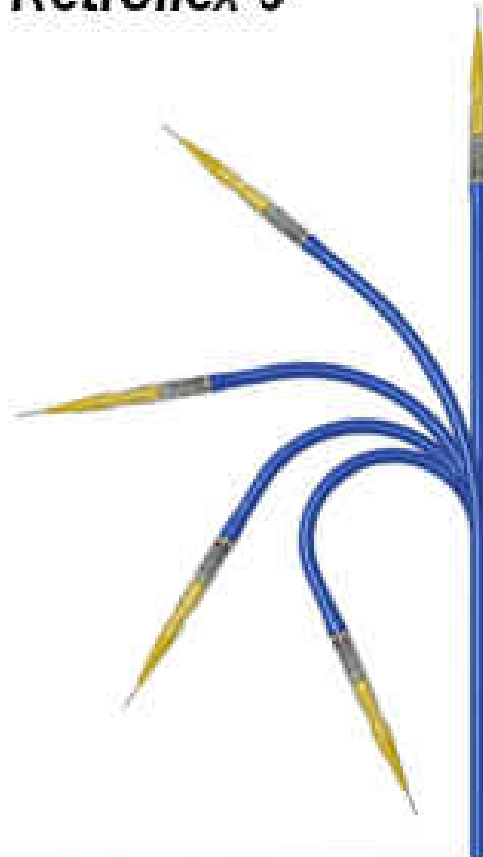


**SAPIEN XT THV**

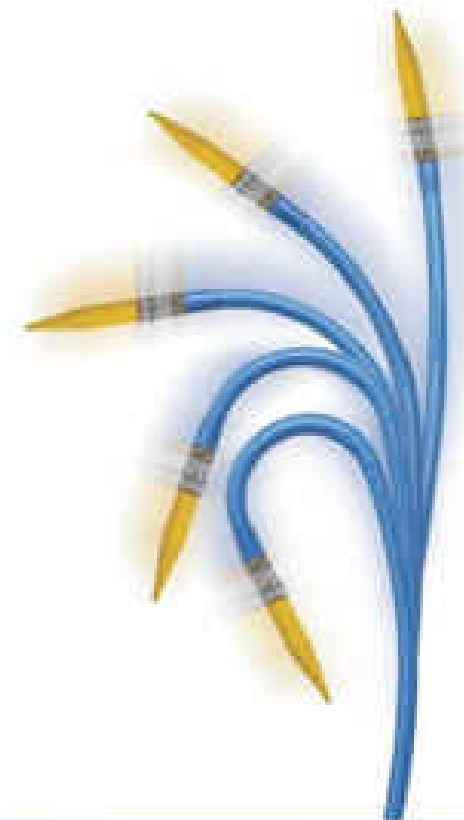
# Edwards *Flex Cath* Delivery System Evolution



**Retroflex 3**

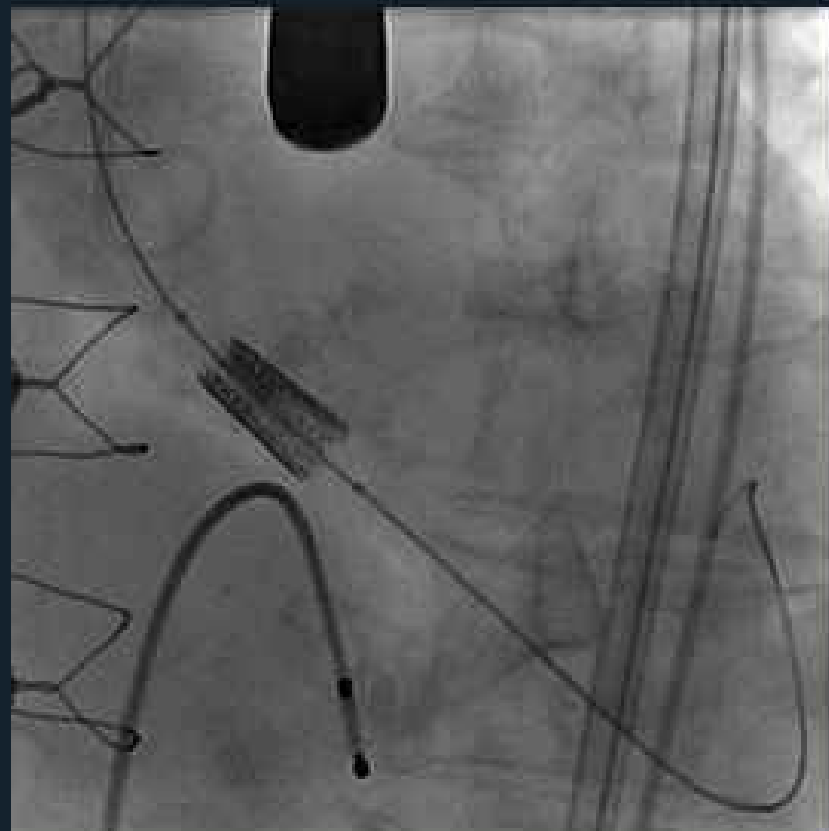


**Retroflex 2**

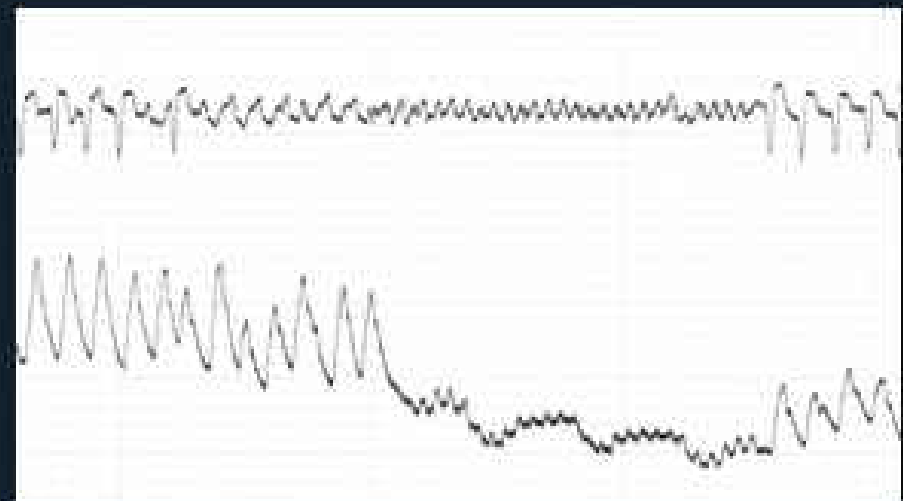




# Retrograde Trans-femoral Edwards Aortic Valve Deployment



*Rapid pacing : 220/min*



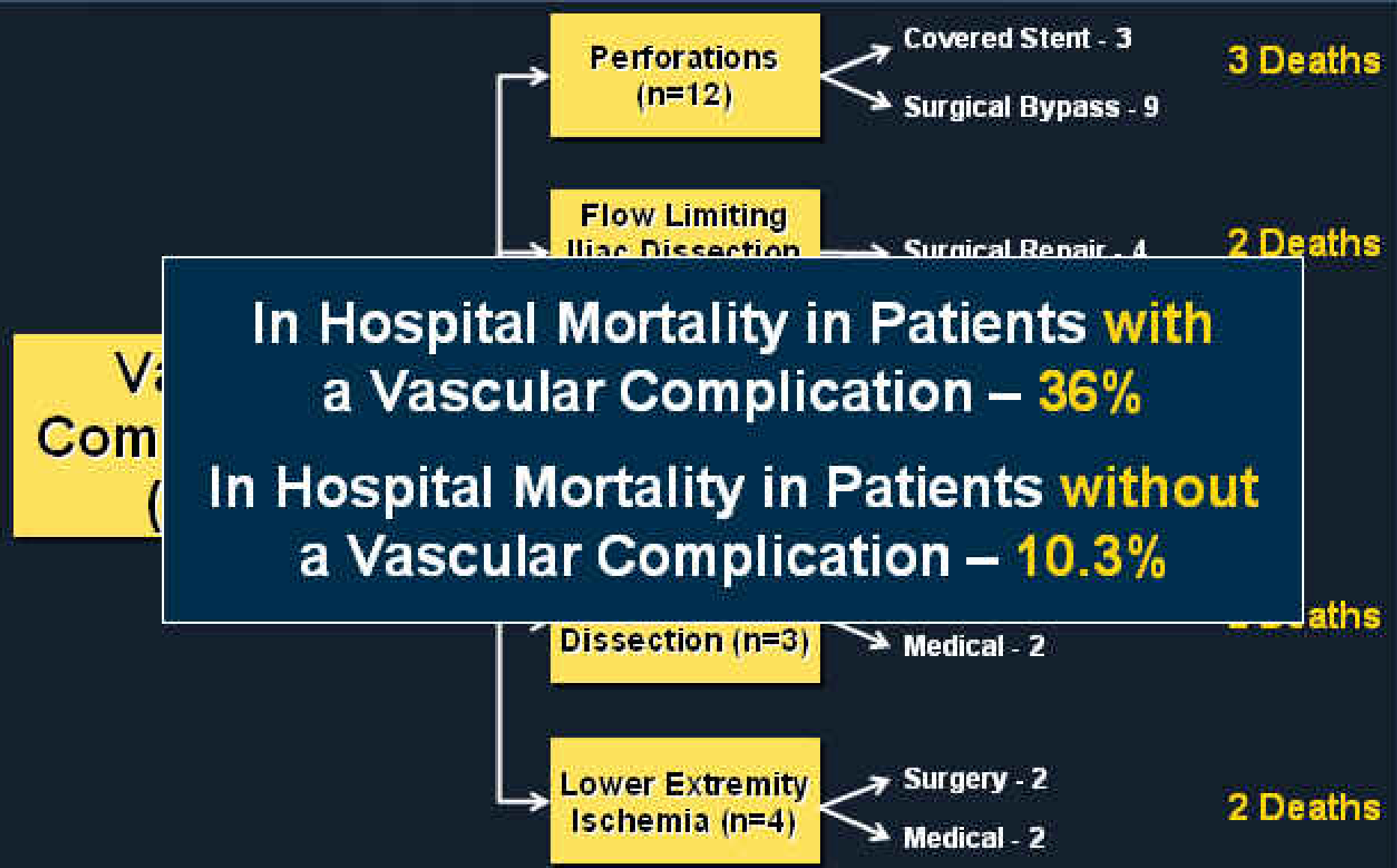
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- TAVR devices have evolved significantly and the procedures have become simplified
- **Important “new” procedural complications have emerged with TAVR**

# REVIVE & REVIVAL

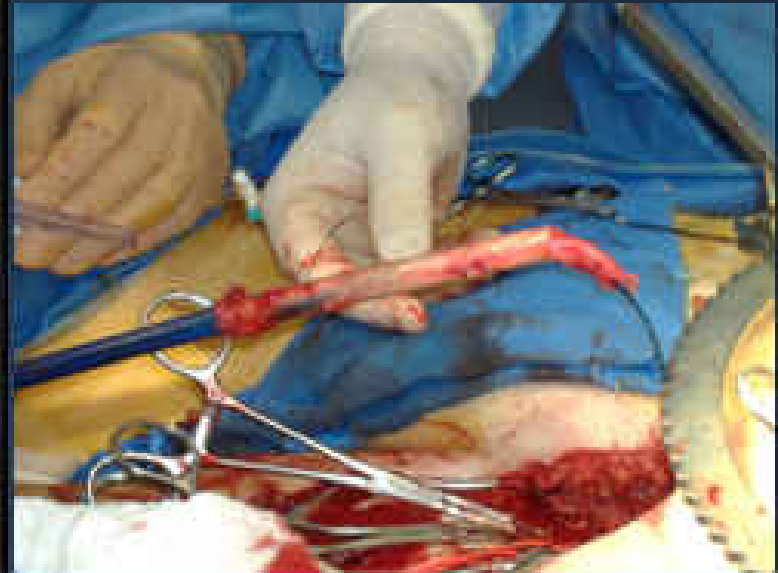
## Vascular Complications



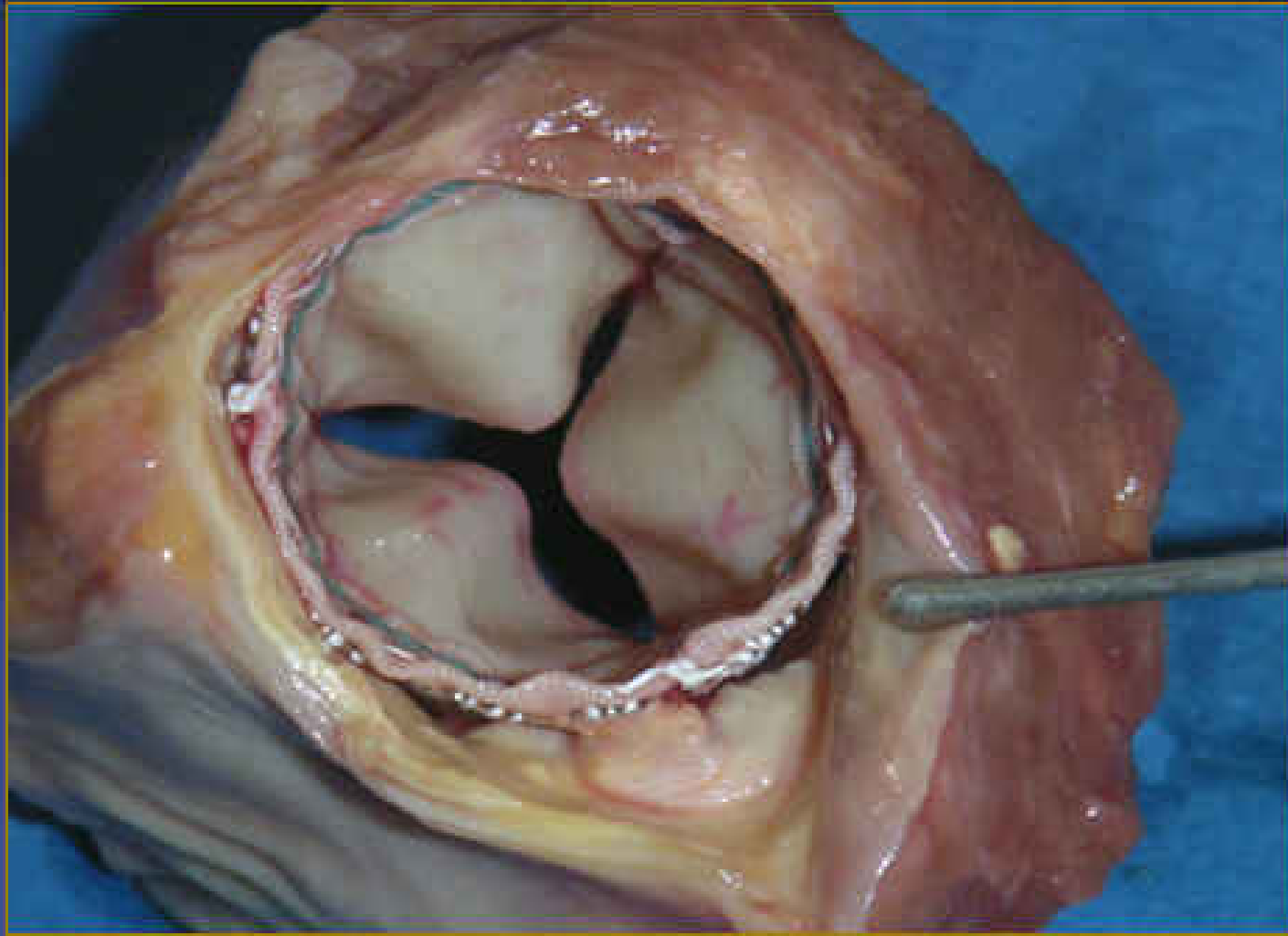
**In Hospital Mortality in Patients with a Vascular Complication – 36%**

**In Hospital Mortality in Patients without a Vascular Complication – 10.3%**

# Iliac Perforation



# Peri-valvular Regurgitation



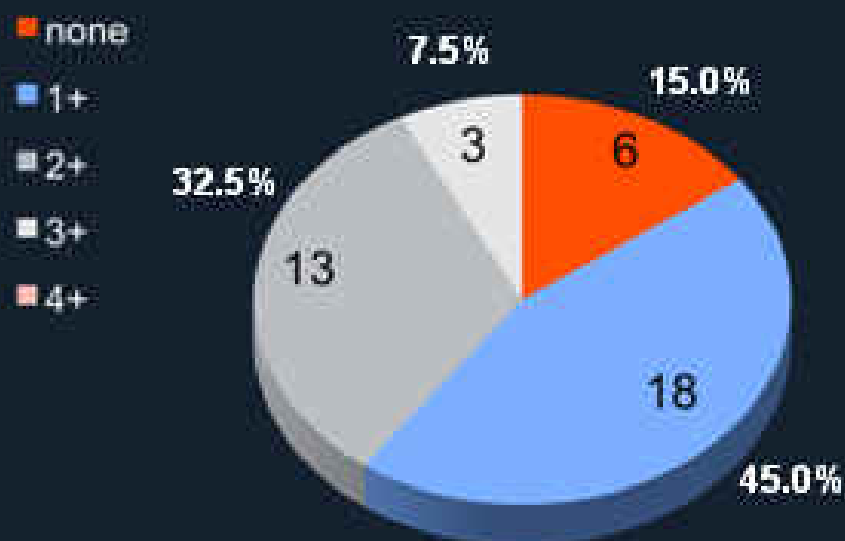
**Patient #5**



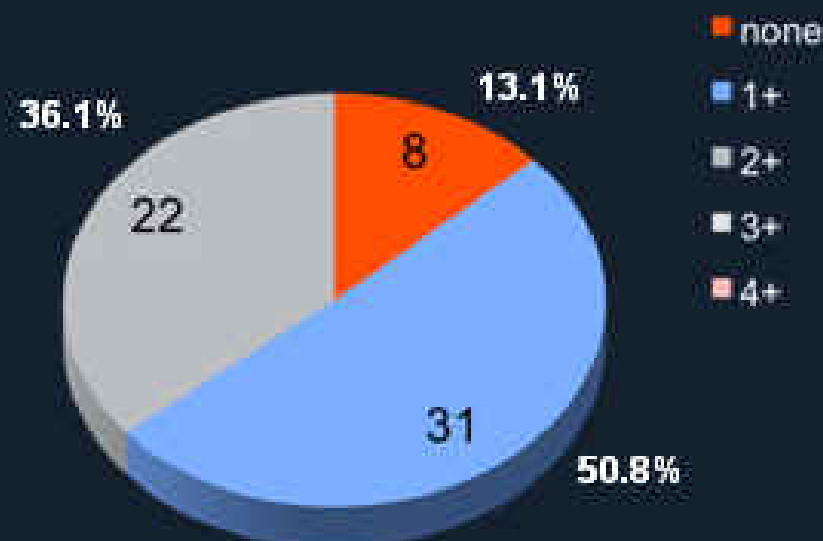
# REVIVE + REVIVAL

## *Aortic Regurgitation after TAVR*

### 23mm Valve

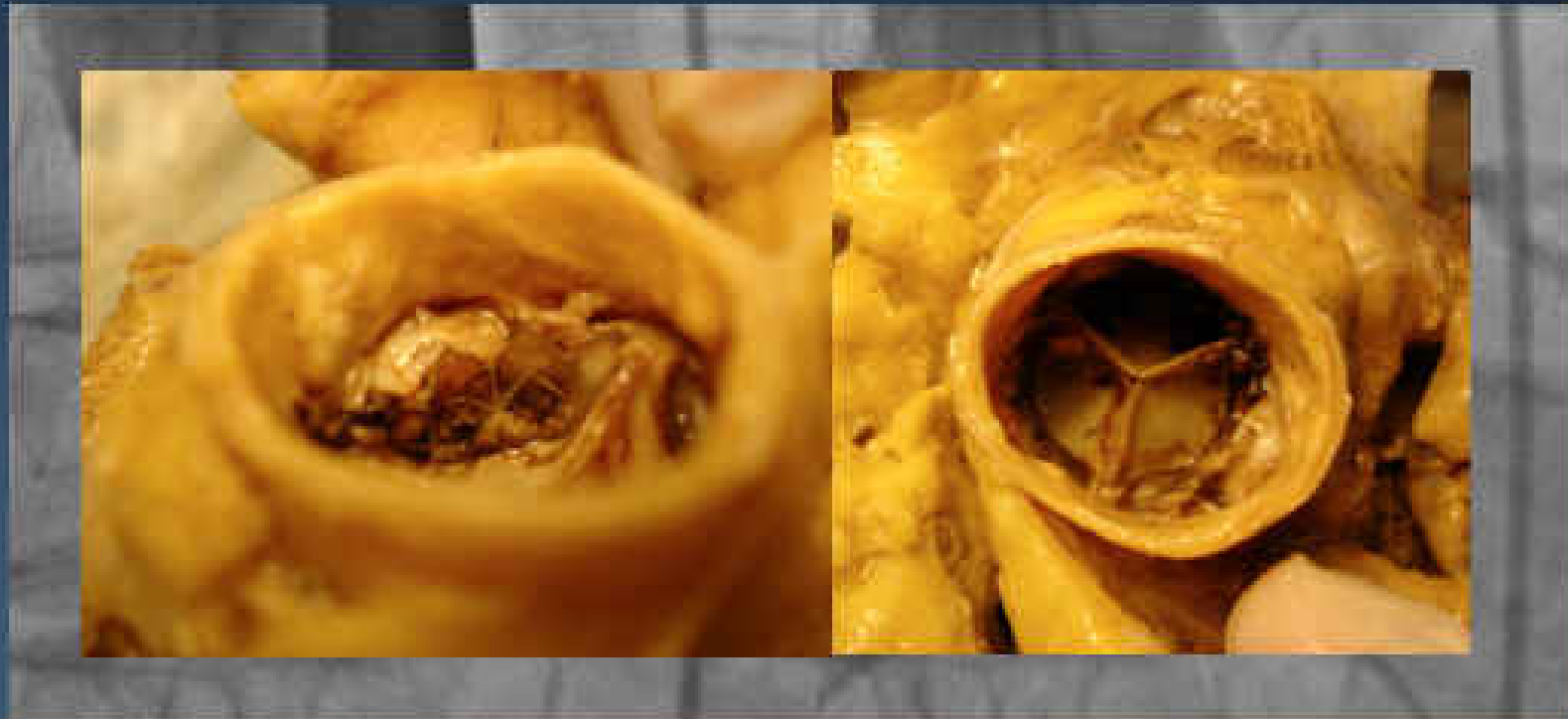


### 26mm Valve



**No patient that received a 26mm valve had > 2+ post-procedure AR**

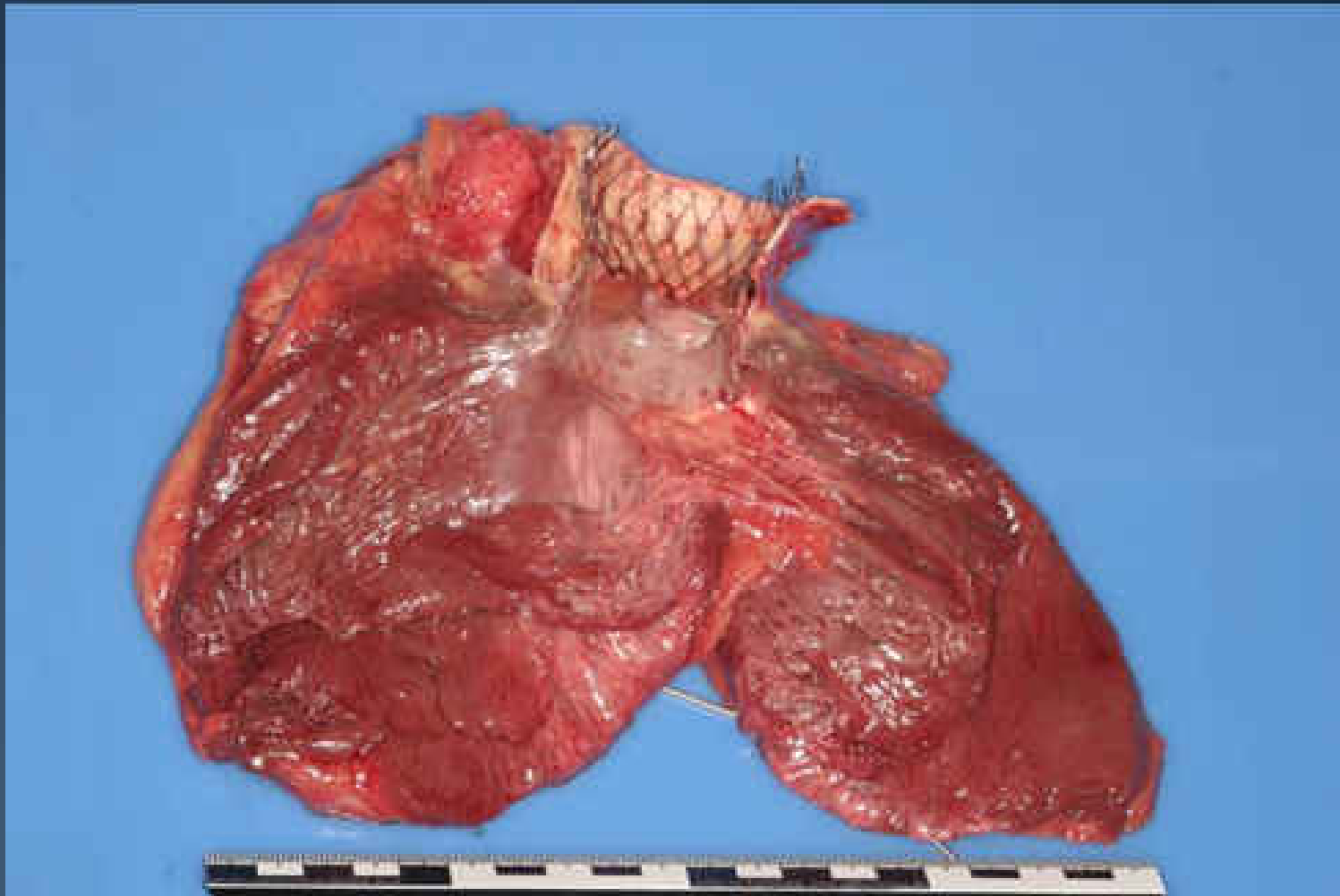
# Left Main Coronary Occlusion *(VF and SD after implant)*



*High implant, low left coronary ostia,  
long leaflet with bulky calcified nodules*



# AV-Block III° Following COREVALVE Implantation





# AV-Block III° Following COREVALVE Implantation



# CoreValve Siegburg Experience

## In-hospital Outcome

	<b>25 French (n=10)</b>	<b>21 French (n=24)</b>	<b>18 French (n=102)</b>
<b>Death, n [%]</b>	4 [40.0]	2 [8.3]	10 [9.8]
<b>Stroke, n [%]</b>	1 [10.0]	2 [8.3]	3 [2.9]
<b>Major, n [%]</b>	1 [10.0]	0	1 [1.0]
<b>Minor, n [%]</b>	0	2 [8.3]	2 [2.0]
<b>Myocardial infarction, n [%]</b>	0	1 [4.2]	2 [2.0]
<b><i>Pacemaker requiring, n [%]</i></b>	<b>1 [10.0]</b>	<b>3 [13.6]</b>	<b>30 [33.3]</b>
<b>MACCE, n [%]*</b>	4 [40.0]	5 [20.8]	14 [13.7]



# CoreValve: Other ≤ 30-Day Adverse Events\* (Site Reported & Non-Adjudicated)

	In-Training	Solo	Total EER
<b>CARDIAC Deaths†</b>	6.9%	6.8%	6.9%
Aortic Dissection	0.7%	0.4%	0.6%
Cardiac Tamponade	3.9%	2.5%	3.4%
Cardiac Perforation	2.9%	1.8%	2.5%
Access Site Complication	2.3%	0.6%	1.7%
Major Bleeding	5.2%	3.7%	4.6%
Conversion to Surgery	0.6%	1.0%	0.7%
Myocardial Infarction	0.9%	0.4%	0.7%
Major Arrhythmia	9.0%	4.7%	7.4%
<b>Pacemaker</b>	<b>18.9%</b>	<b>18.0%</b>	<b>18.6%</b>
Renal Failure	1.8%	2.0%	1.9%
Stroke	2.2%	2.3%	2.2%
TIA	0.3%	0.4%	0.4%

\* Multiple events in same patients = data not cumulative

† Includes deaths where cause is not known

# TAVR: Clinical Outcomes

## *What We Know...*

- TAVR has become very popular, with surprising clinical penetration soon after CE approval and commercial release
- Acute and medium-term hemodynamic performance of catheter-based biologic valves is equivalent to surgically implanted valves
- TAVR devices have evolved significantly and the procedures have become simplified
- Important "new" procedural complications have emerged with TAVR
- **Clinical outcomes at 30 days and one year were associated with high "all cause" mortality**

# REVIVE & REVIVAL

## *Clinical Outcomes*

	≤ 30 days	> 30 days *	Total
<b>MACCE</b>	29 (18.6%)	26 (16.8%)	52 (32.3%)
Death	18 (11.2%)	26 (16.1%)	44 (27.3%)
MI	5 (3.1%) **	1 (0.6%) **	6 (3.7%) **
Stroke	7 (4.3%)	3 (1.9%)	10 (6.2%)
Major	4 (2.5%)	3 (1.9%)	7 (4.3%)
Minor	3 (1.9%)	0	3 (1.9%)
Urgent Cardiac Surgery	2 (1.2%)	0	2 (1.2%)

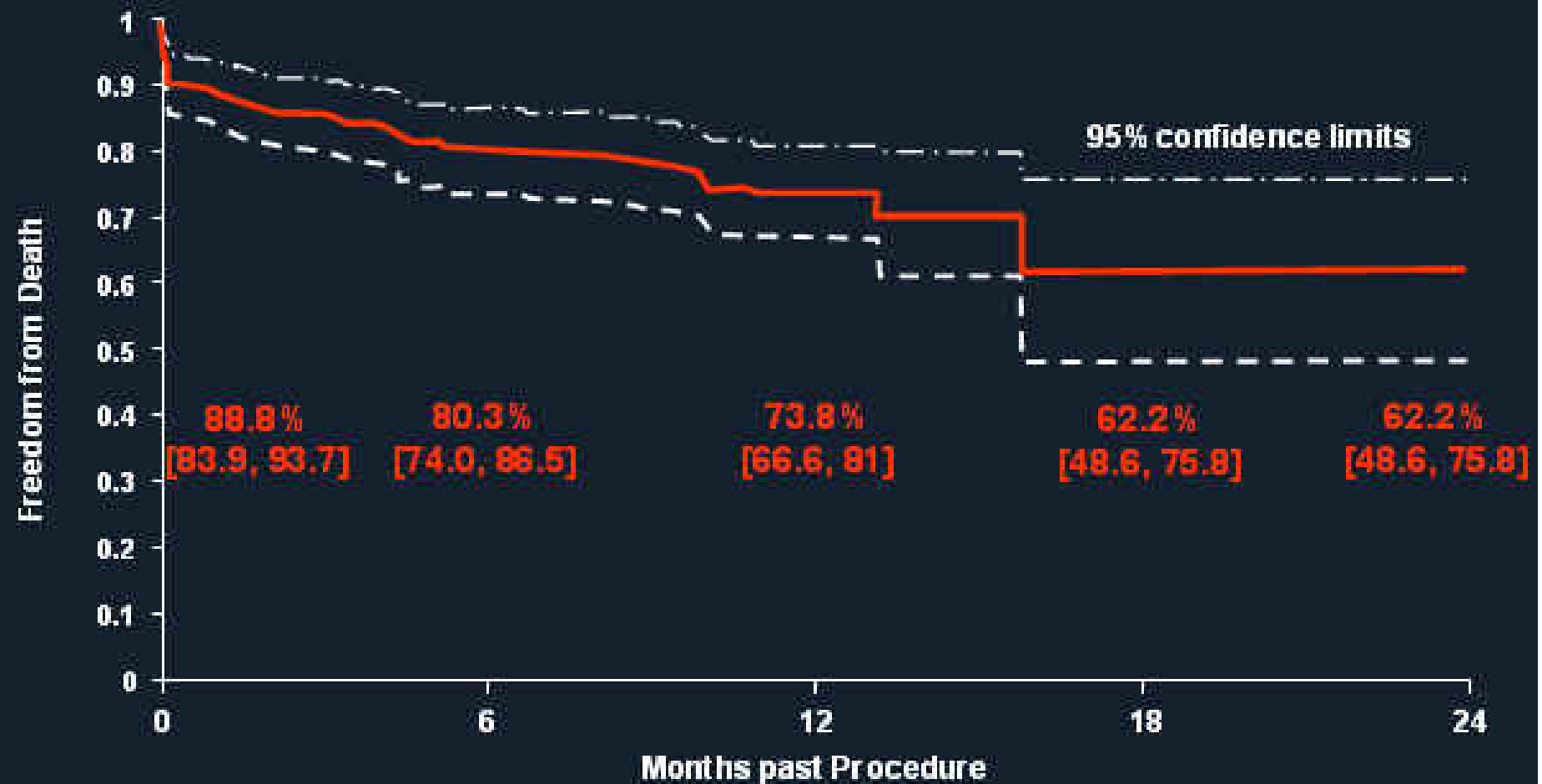
\* FIU – mean 9.8 months (median 11.9 months, max. 27.3 months)

\*\* MI defined as CK-MB or Trop > 3 times normal + ECG changes or symptoms



# REVIVE & REVIVAL

## Cumulative Survival



Total at risk (n)

161

108

66

13

8

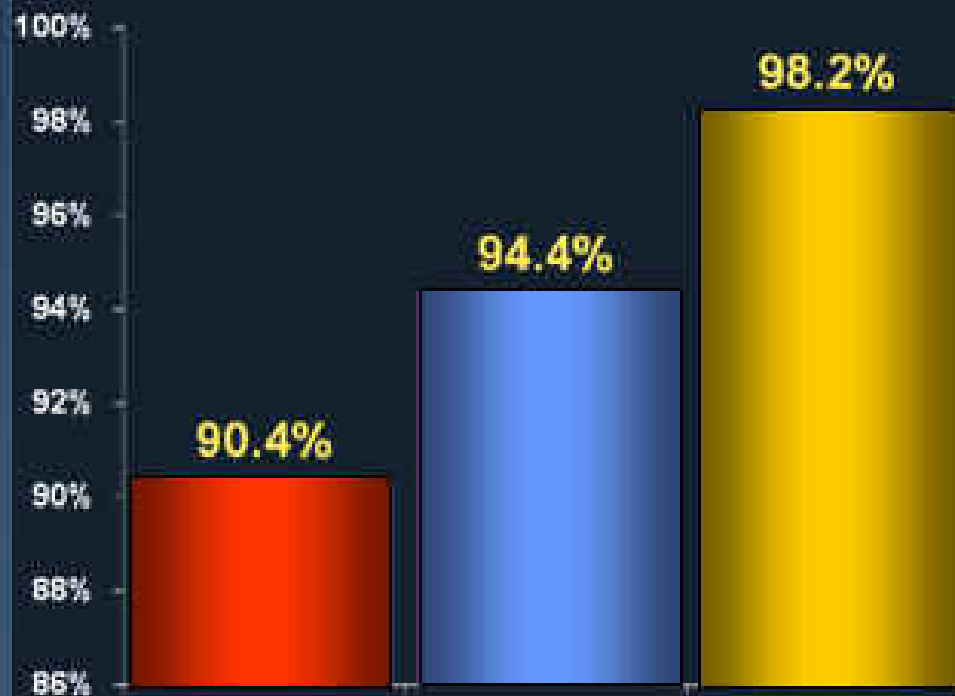
# TAVR: Clinical Outcomes

## *What We Know...*

- **Over time, with improved devices and procedure technique, better case selection, and rigorous physician training, TAVR outcomes have improved**

# CoreValve: Procedural Results

## Procedure Success



## Procedure Mean Time $\pm$ SD

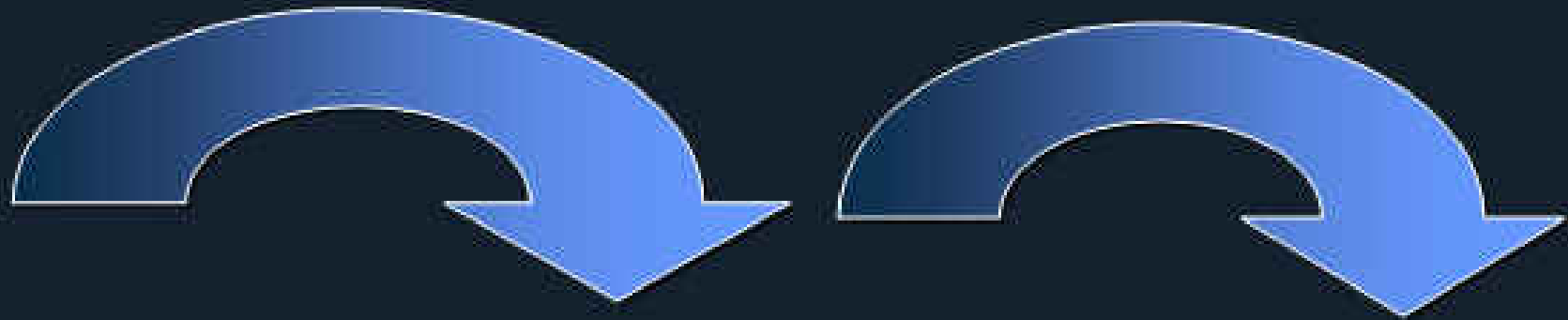


21F S&E    18F S&E    18F EE





# REVIVE improved screening to reduce vascular complications



**Vascular Access  
Complication Rate,  
by patient**

**January 2006 –  
June 2006**

**30%**

**Vascular Screening with  
Columbia University  
Medical Center  
Core Lab  
INSTITUTED  
(in coordination with DSMB)**

**Vascular Access  
Complication Rate,  
by patient**

**August 2006 –  
December 2007**

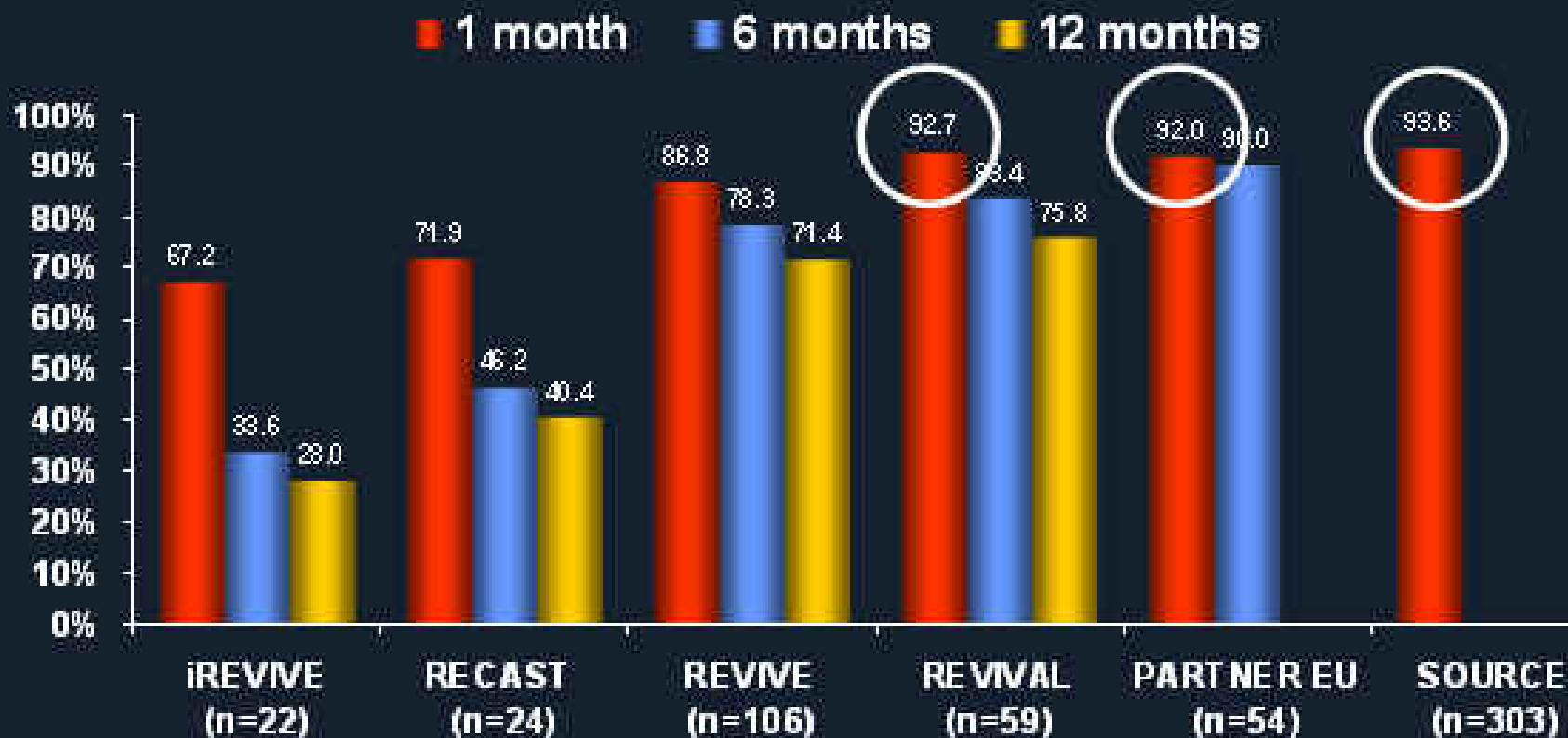
**5.8%**

**P = .006**

# Transcatheter AVR

## *Survival at 1, 6 and 12 months*

### Transfemoral Experience



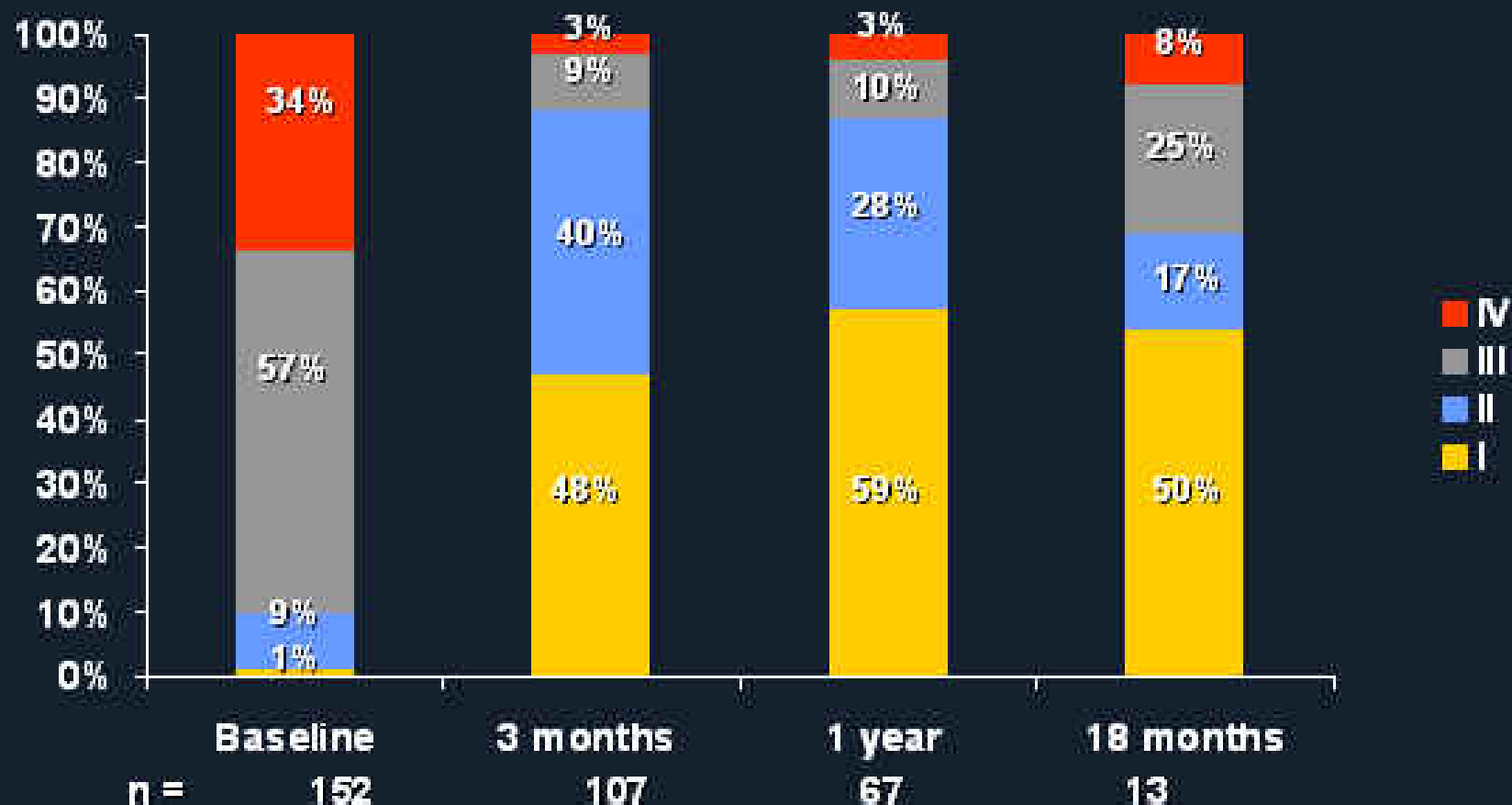
# TAVR: Clinical Outcomes

## *What We Know...*

- Over time, with improved devices and procedure technique, better case selection, and rigorous physician training, TAVR outcomes have improved
- **TAVR survivors have marked improvement in all symptom-based clinical efficacy parameters – there is dramatic uniform incremental benefit in QOL metrics!**

# REVIVE + REVIVAL

## Changes in NYHA Class



90% patients at baseline NYHA Class III/IV,  
87% of patients surviving to one year are NYHA Class III



# TAVR: Clinical Outcomes

**What We Know...**



**What We Need to Know...**



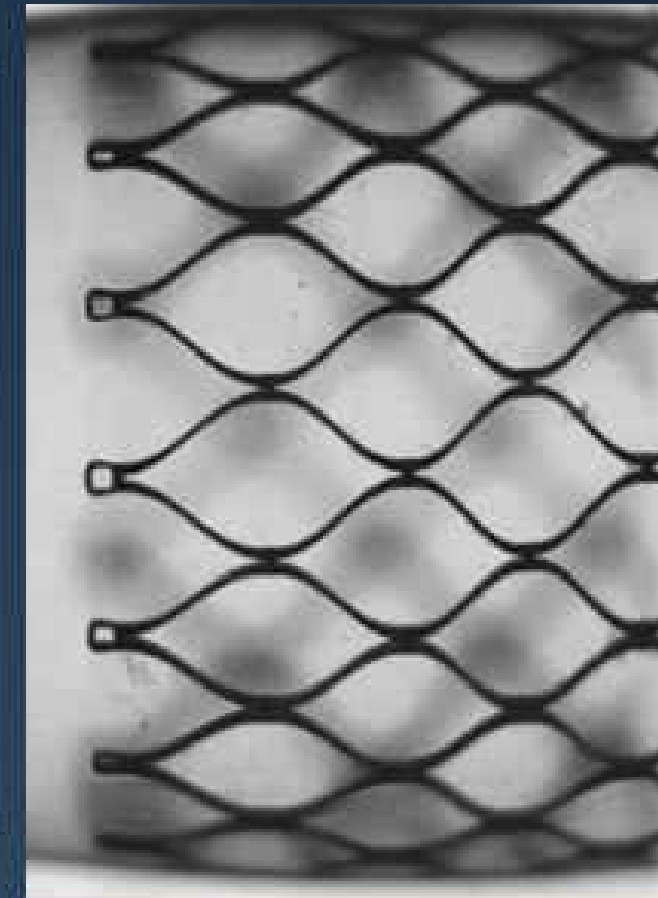
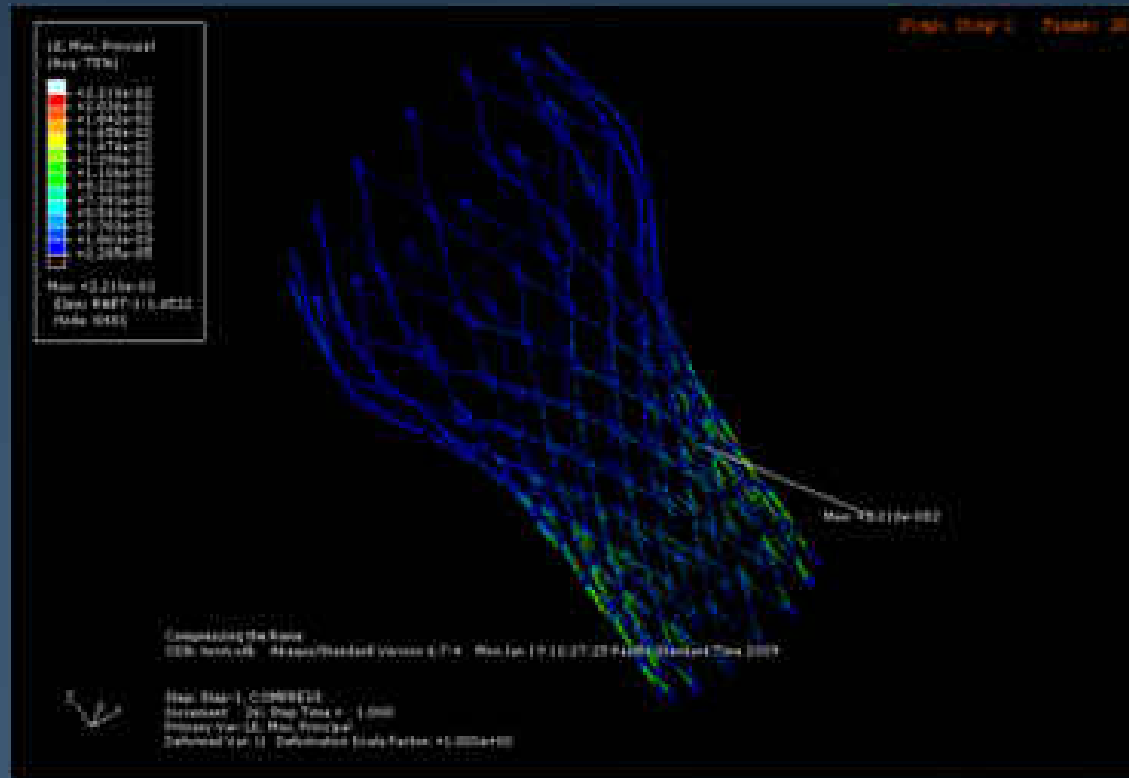
# TAVR: Clinical Outcomes

## *What We Need to Know...*

- Long-term valve and platform durability (late valve failure and late integrity of platform; ? clinical consequences)



# “Test to Fatigue” Studies: Higher Distention and Rotation Beyond Physiologic Conditions



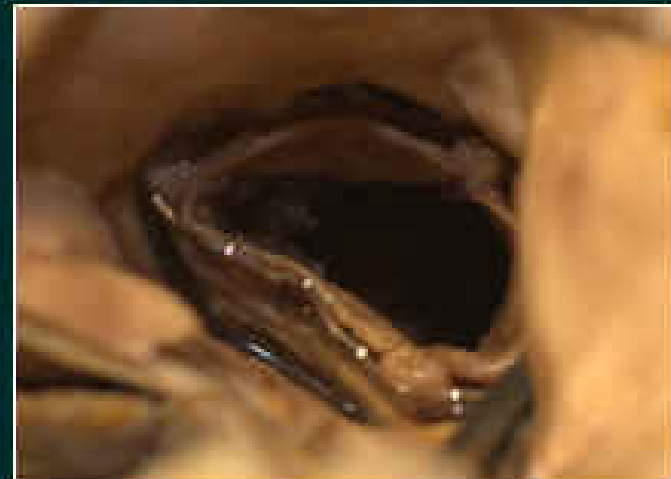
Xiao-Yan Gong, Ph.D.  
Medical Implant Mechanics LLC

# Transcatheter AVR - Durability

**4 year FU specimen**



**Edwards  
~3,000 patients**



CARDIOVASCULAR RESEARCH  
FOUNDATION

*Courtesy of Dr. William O'Neill*



COLUMBIA UNIVERSITY  
MEDICAL CENTER

NewYork-Presbyterian

The University Hospital of Columbia and Cornell



# TAVR: Clinical Outcomes

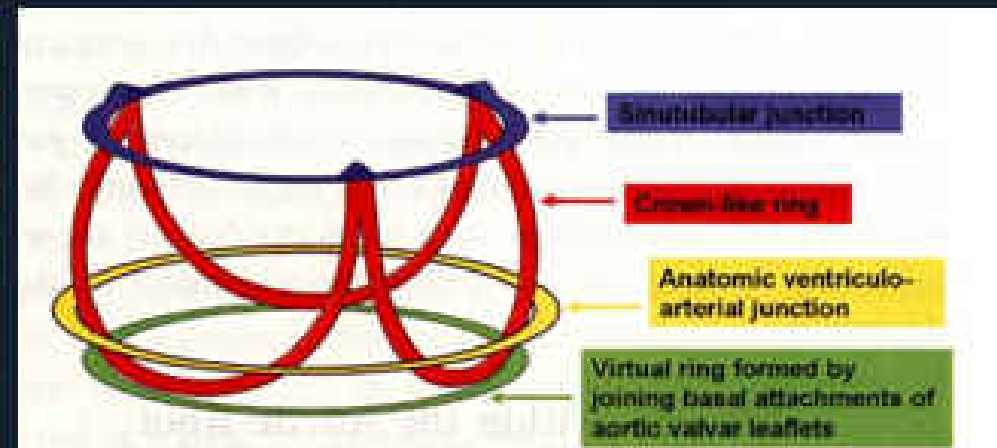
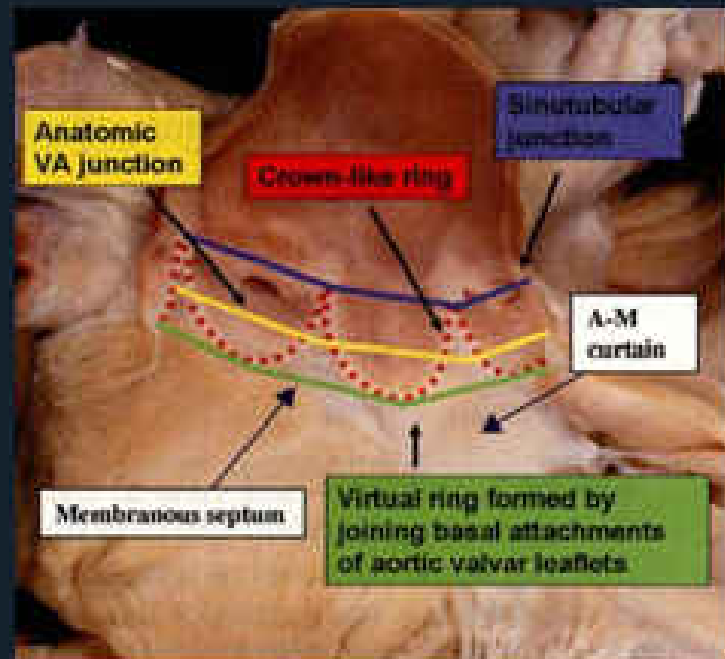
## *What We Need to Know...*

- Long-term valve and platform durability (late valve failure and late integrity of platform – clinical consequences)
- **Late clinical complications – impact of mild-mod peri-valvular AR, effects on coronaries (disease progression), Rx of CAD, MV dysfunction, etc.**



# The Aortic Valvar Complex

## Complex anatomic relationships



## Diseased aortic valve leaflets in close proximity to...

- aortic root (annulus)
- coronary ostia
- sinuses of Valsalva
- anterior mitral leaflet
- membranous septum (AVN)
- LV outflow tract

# TAVR: Clinical Outcomes

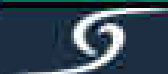
## *What We Need to Know...*

- Long-term valve and platform durability (late valve failure and late integrity of platform & clinical consequences)
- Late clinical complications – impact of mild/moderate aortic regurgitation, effect on coronaries (disease progression), risk of CAD, LV dysfunction, etc.
- **Relative value and preference of trans-apical vs. trans-femoral (vs. other) approaches**



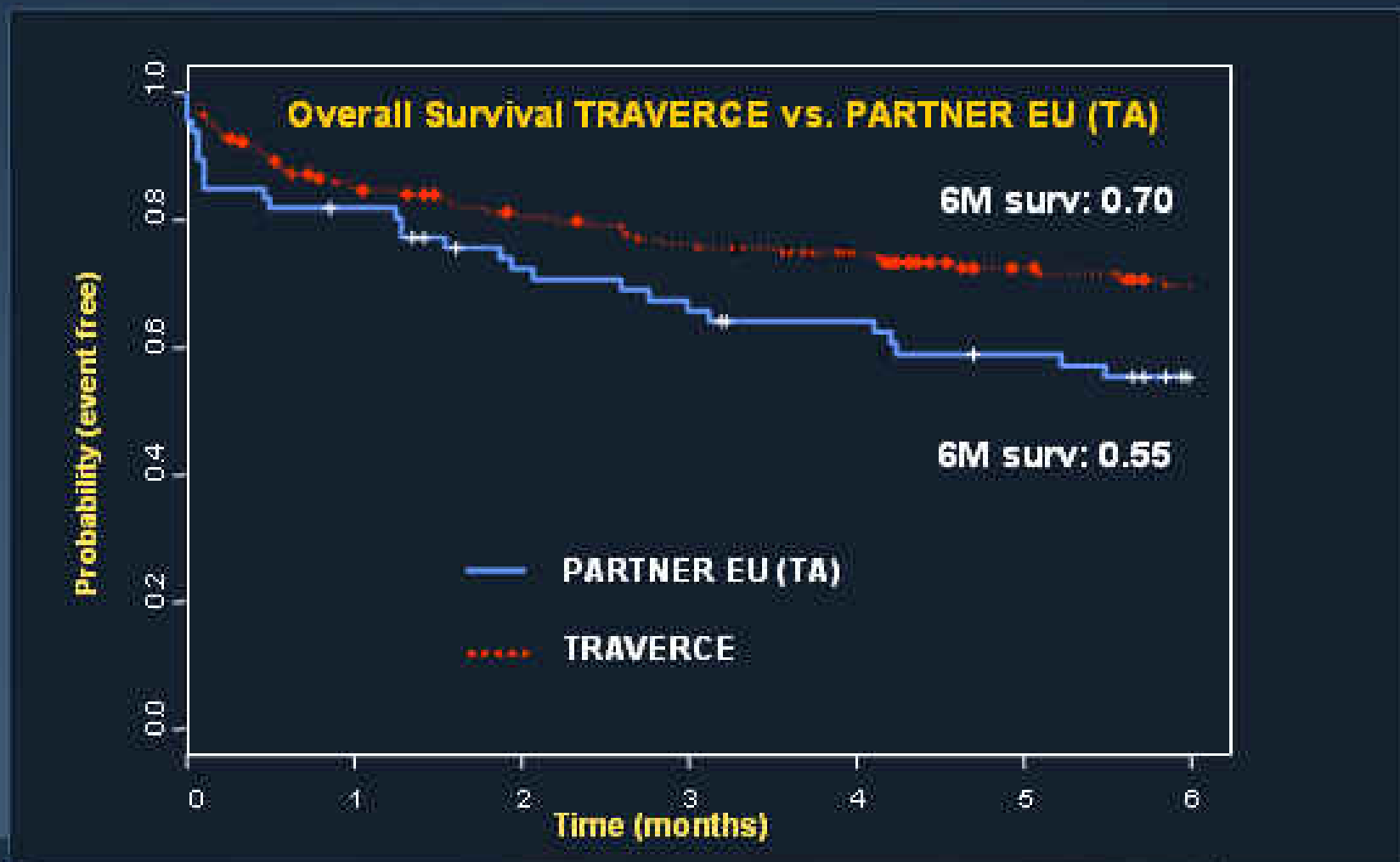
# Transcatheter AVR

## *Transapical Access Route*



# TA Survival

## *PARTNER EU & TRAVERCE*



# TAVR: Clinical Outcomes

## *What We Need to Know...*

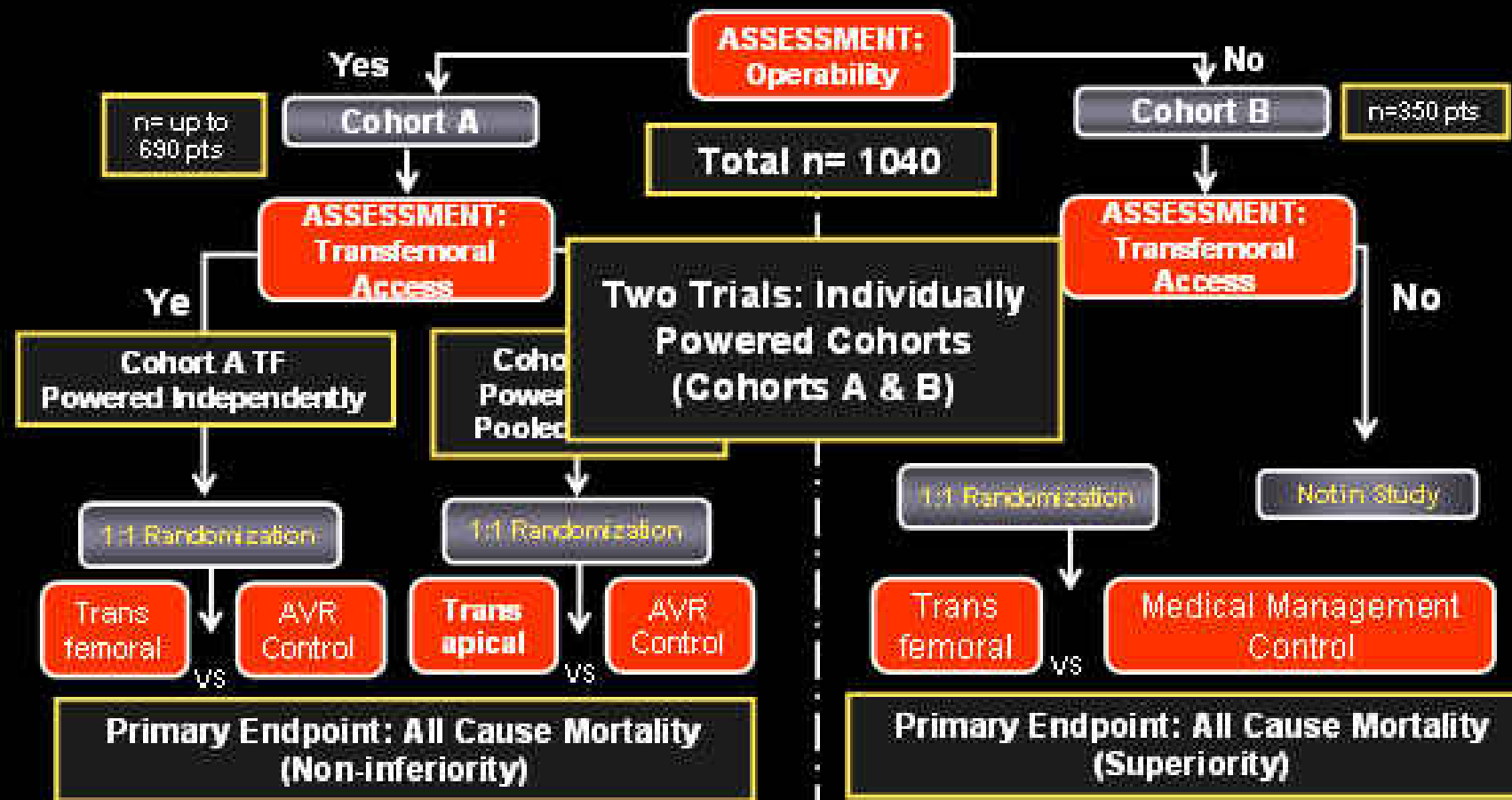
- Long-term valve and platform durability (late valve failure and late integrity of platform → clinical consequences)
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- Relative value and preference of trans-apical vs. trans-femoral (vs. other) approaches
- **Comparison vs. surgical AVR in high risk patients and vs. best current therapy in non-operable patients (PARTNER patient cohorts)**



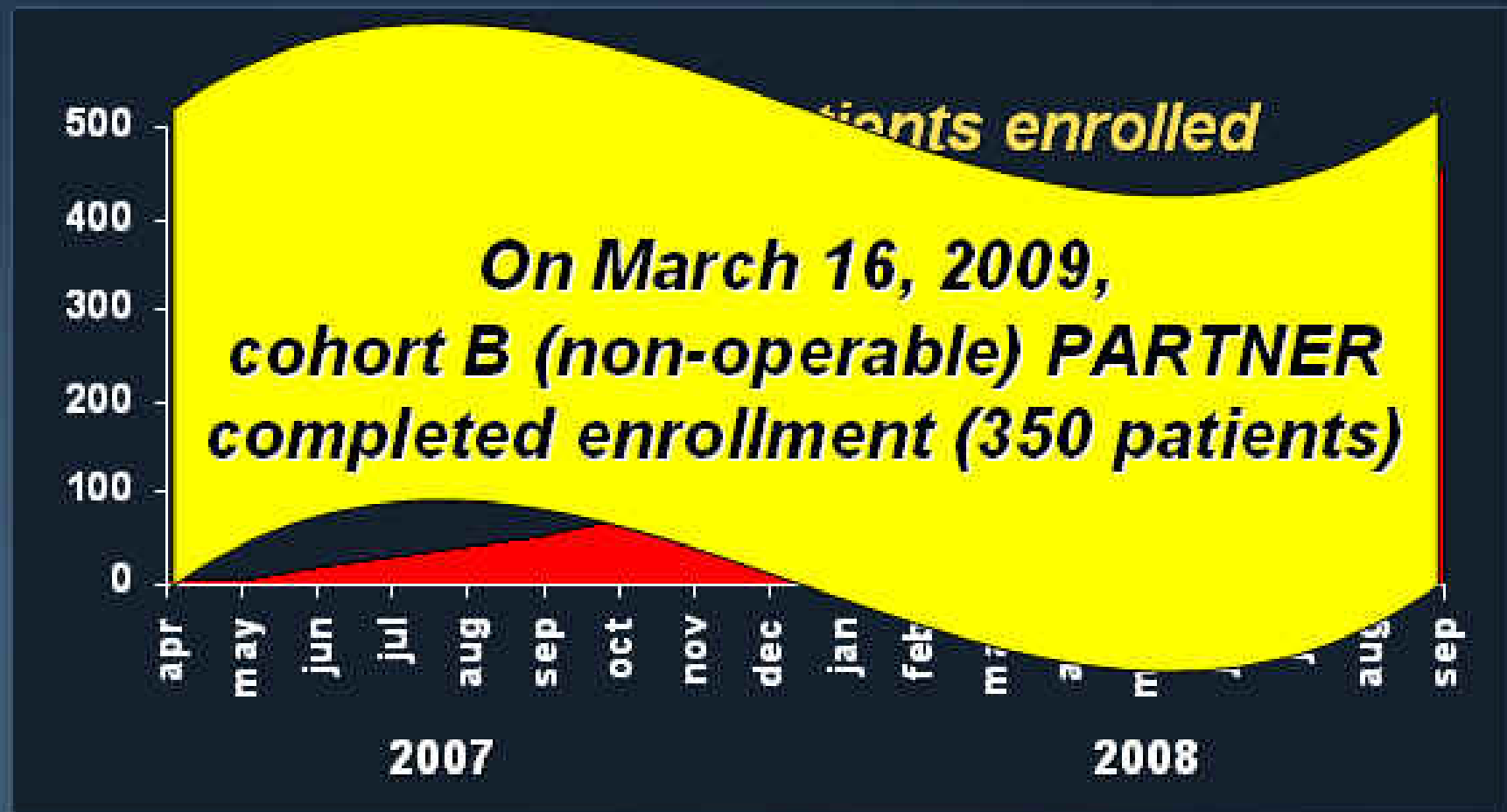
# The PARTNER IDE Trial

Population: High Risk/Non-Operable Symptomatic, Critical Calcific Aortic Stenosis

Co-principal Investigators:  
Martin B. Leon, MD, Interventional Cardiology  
Craig Smith, MD, Cardiac Surgeon  
Columbia University



# ***PARTNER Cumulative Enrollment*** **April, 2007 to September, 2008**





# TAVR: Clinical Outcomes

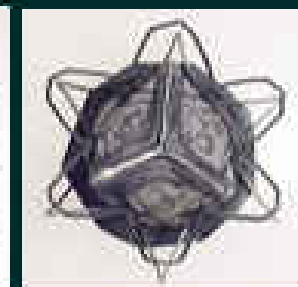
## *What We Need to Know...*

- Differences among TAVR devices – trade-offs AND impact of next generation devices

# Future Aortic Valve Concepts

## *New TAVR Designs...*

- Direct Flow
- Sadra
- AorTx
- Jena Valve
- HLT
- ABPS PercValve
- EndoTech
- Ventor Embracer



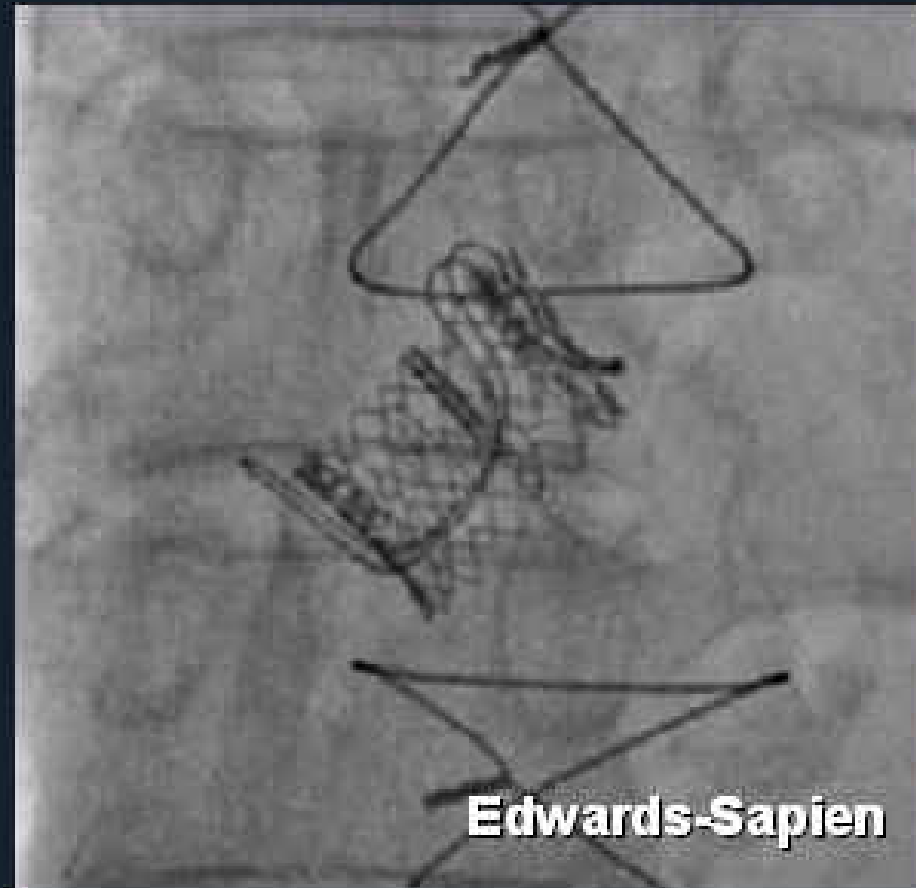
# TAVR: Clinical Outcomes

## *What We Need to Know...*

- Differences among TAVR devices – trade-offs and impact of next-generation devices
- **Comparison vs. surgical AVR in other patient cohorts (valve-in-valve, lower risk patients, AS + CAD, others)**

# *Transcatheter Valve Replacement* Endless Possibilities!

*Trans-femoral  
Valve-  
In-  
Valve  
AVR*



**Edwards-Sapien**



CARDIOVASCULAR RESEARCH  
FOUNDATION

**Courtesy of Dr. John Webb**



COLUMBIA UNIVERSITY  
MEDICAL CENTER

New York-Presbyterian

The University Hospital of Columbia and Cornell

# *Transcatheter Valve Replacement* Endless Possibilities!

*Trans-apical  
AVR*

*Trans-apical  
MVR  
(valve-in-valve)*



**Edwards-Sapien**

# TAVR: Clinical Outcomes

## *What We Need to Know...*

- Differences among TAVR devices – trade-offs AND impact of next generation devices
- Comparison vs. surgical Aortic in other patient cohorts (valve-in-valve, lower risk patients, AS + CAD, others)
- **“Globalization” of TAVR – physician training concerns, surgeon vs. interventional operators, ? restricted access to valve experts, milieu issues (hybrid cath lab/ORs)**

# Transcatheter AVR

## *Hybrid OR-Cath Lab*



*A unique collaborative experience!*



CARDIOVASCULAR RESEARCH  
FOUNDATION



COLUMBIA UNIVERSITY  
MEDICAL CENTER

NewYork-Presbyterian

The University Hospital of Columbia and Cornell

# TAVR: Clinical Outcomes

## *What We Need to Know...*

- Differences among TAVR devices – trade-offs and impact of next-generation devices
- Comparison vs. surgical AVR in other patient cohorts (valve-in-valve, lower risk patients, AS + CAB, others)
- “Globalization” of TAVR – physician training concerns, surgeon vs. interventional operators, ? restricted access to valve experts, milieu issues (hybrid cath lab/ORs)
- **Cost-effectiveness assessments and future reimbursement considerations - will have critical impact on future utilization!**



# Transcatheter AVR

## *Summary Thoughts...*

- TAVR devices and procedures have rapidly evolved with improved ease-of-use and generalizability to well-trained interventionalists everywhere!
- *Ongoing pivotal RCTs (PARTNER) will provide the evidence-based medicine verification that TAVR is superior or comparable to surgery in non-operable and other high risk clinical scenarios.*
- Valve + platform durability still must be conclusively demonstrated (getting closer)!
- Once durability is established, we can expand clinical trials and indications for TAVR to most (not all) patients with severe AS!

# Is Transcatheter AVR the Standard of Care for High Risk AS Patients?

*Not until more “high quality” data is available with longer FU...*

# Transcatheter AVR

## *My Rosey Prophecy*

Surgery – The PAST

*In the next 5-10 years, most patients with severe AS requiring AVR will be treated using transcatheter lesser-invasive modalities!*

TAVR – The Future



# Transcatheter AVR

## *Rules of Engagement*

