

# Achieving Optimal Clinical Results After Carotid Stenting:

## The PROTECT Study and Beyond

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# Disclosures

- Consultant
  - Abbott Vascular
  - Cordis/J&J
  - BSC
  - Medtronic
  - Contego
  - Silk Road



# PROTECT STUDY: PROTECTEd Carotid Artery Stenting in Subjects at High Risk for Carotid Endarterectomy

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# PROTECT: Purpose of the trial

- **Sponsor:** Abbott Vascular
- **Purpose:**
  - Pivotal IDE trial assessment of the Generation 5 Emboshield Pro Rapid Exchange Embolic Protection
  - Fulfill the long-term follow-up requirement of the Xact stent PMA conditions of approval: 3-year follow-up on at least 305 subjects
- **Analysis Cohort:**
  - Enrollment completed in 20 months (Nov 2006-June 2008); 274 patient cohort with 30 day follow-up analysis of embolic protection presented here.
  - 3 year Xact stent follow-up ongoing (n=322)



# PROTECT: Design, conduct, and endpoints

- **Design:**
  - Prospective, single-arm registry for patients with carotid stenosis anatomic or physiologic high surgical risk features
  - Stenosis: Symptomatic >50% or asymptomatic >80%
- **Study requirements:**
  - Neurologic exam pre-enrollment, 24 hour, 30 day and annually (3 years) performed by an independent neurologist
  - Independent adjudication of neurological events by a CEC
  - Independent outcome monitoring by the DSMB
- **1° Endpoints:** OPC based on 30-day MAE rates of SECURITY, SAPPHIRE, ARChER, BEACH and MAVERIC
  - For Emboshield® Pro Rapid Exchange Embolic Protection System: 30-day composite rate of DSMI for first 220 consecutively enrolled subjects.
  - For Xact stent: Composite 30-day DSMI, plus ipsilateral strokes from 31-365 days and annually (3) years.

# 36 investigative sites in US

- Pinnacle Health Hospital, Harrisburg, PA
- Lenox Hill Hospital, New York, NY
- Washington Hospital, Fremont, CA
- Our Lady of Lourdes Medical Center, Camden, NJ
- Austin Heart P.A., Austin, TX
- Memorial Hospital Jacksonville, Jacksonville, FL
- St. Joseph's Medical Center, Wyomissing, PA
- Millard Fillmore Hospital-Kaleida Health Systems, Buffalo, NY
- El Camino Hospital, Mountain View, CA
- Stanford University Medical Center, Stanford, CA
- Chesapeake General Hospital, Norfolk, VA
- Hoag Memorial Hospital Presbyterian, Newport Beach, CA
- Massachusetts General Hospital, Boston, MA
- Parkview Hospital, Fort Wayne, IN
- St. John's Hospital, Springfield, IL
- Memorial Medical Center, Springfield, IL
- Baptist Hospital of East Tennessee, Knoxville, TN
- Washington Adventist Hospital, Takoma Park, MD
- Hawaii Permanente Medical Group-Kaiser Foundation Hospital, Honolulu, HI
- Greenville Memorial Medical Center, Greenville, SC
- St. Luke's Episcopal Hospital, Houston, TX
- Terrebonne General Medical Center, Houma, LA
- St. Luke's Medical Center, Milwaukee, WI
- Lakeland Regional Medical Center, Lakeland, FL
- Genesys Regional Medical Center, Grand Blanc, MI
- Oregon Health & Science University, Portland, OR
- St. Joseph's Mercy Hospital, Ann Arbor, MI
- University of Connecticut Health Center, Farmington, CT
- Northwestern University Memorial Hospital, Chicago, IL
- Bon Secours St. Mary's Hospital, Richmond, VA
- McLaren Regional Medical Center, Flint, MI
- St. Vincent Hospital and Health Care Center, Indianapolis, IN
- William Beaumont Hospital, Royal Oak, MI
- Presbyterian Hospital of Dallas, Dallas, TX
- Wake Medical Hospital, Raleigh, NC
- Holston Valley Medical Center, Kingsport, TN
- St. Michael's Medical Center, Newark, NJ
- Lehigh Valley Hospital, Allentown, PA

# PROTECT: Patient Demographics

Characteristic	PROTECT N=274	SECURITY N=305	ARChER N=581
<b>Mean Age</b>	<b>72.3</b>	<b>74.5</b>	<b>72.6</b>
<b>Age ≥ 80</b>	<b>28.8</b>	<b>34</b>	<b>15.5%</b>
<b>% Symptomatic</b>	<b>12.1%</b>	<b>21%</b>	<b>23.8%</b>
<b>% Male</b>	<b>67.6%</b>	<b>64%</b>	<b>67.1%</b>
<b>Diabetes Mellitus</b>	<b>29.9%</b>	<b>31%</b>	<b>37.9%</b>
<b>Hypertension</b>	<b>87.2%</b>	<b>87%</b>	<b>83.8%</b>
<b>Hypercholesterolemia</b>	<b>86.5%</b>	<b>74%</b>	<b>72.6%</b>
<b>CHF</b>	<b>19.3%</b>	<b>6%</b>	<b>33.6%</b>
<b>Anatomic §</b>	<b>16.0%</b>	<b>NA</b>	<b>19.3%</b>
<b>Current Smoker</b>	<b>16.8%</b>	<b>NA</b>	<b>19.3%</b>
<b>PVD</b>	<b>38.0%</b>	<b>NA</b>	<b>36.6%</b>
<b>Renal Failure</b>	<b>3.3%</b>	<b>NA</b>	<b>2.9%</b>

§ Excluding co-morbidities

# PROTECT: Patient Demographics

Characteristic	CAPTURE N=4225	EXACT N=2232	CAPTURE 2 N=4356
<b>Mean Age</b>	<b>72.7</b>	<b>72.5</b>	<b>72.5</b>
<b>Age ≥ 80</b>	<b>23.4%</b>	<b>23.9%</b>	<b>22.5%</b>
<b>% Symptomatic</b>	<b>13.8%</b>	<b>10.3%</b>	<b>13.2%</b>
<b>% Male</b>	<b>60.8%</b>	<b>63.2%</b>	<b>61.7%</b>
<b>Diabetes Mellitus</b>	<b>34.9%</b>	<b>34.7%</b>	<b>36.2%</b>
<b>Hypertension</b>	<b>88.4%</b>	<b>89.5%</b>	<b>89.7%</b>
<b>Hypercholesterolemia</b>	<b>78.0%</b>	<b>74.4%</b>	<b>88.6%</b>
<b>CHF</b>	<b>16.3%</b>	<b>18.3%</b>	<b>17.9%</b>
<b>Anatomic §</b>	<b>11.4%</b>	<b>10.6%</b>	<b>20.5%</b>
<b>Current Smoker</b>	<b>21.0%</b>	<b>19.6%</b>	<b>23.3%</b>
<b>PVD</b>	<b>37.4%</b>	<b>44.8%</b>	<b>46.2%</b>
<b>Renal Failure</b>	<b>8.2%</b>	<b>7.2%</b>	<b>3.0%</b>

§ Excluding co-morbidities



# PROTECT

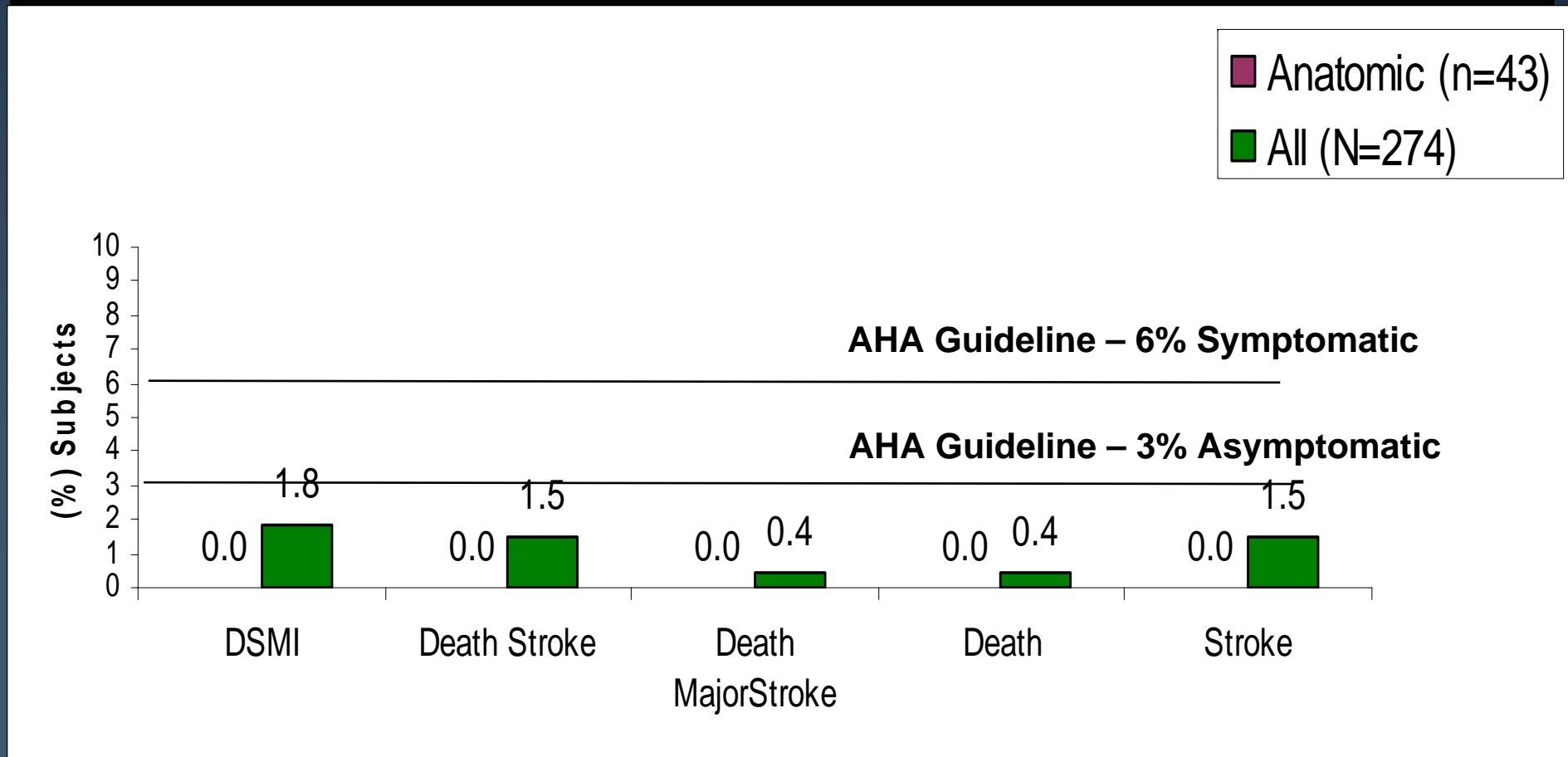
Primary endpoint: 30-day major adverse events

EVENT	PROTECT (N=274)
<b>Death, Stroke and MI*</b>	<b>1.8% (12% OPC)</b>
<b>Death#</b>	<b>0.4%</b>
<b>All Stroke#</b>	<b>1.5%</b>
<b>Major Stroke#</b>	<b>0.4%</b>
<b>Minor Stroke#</b>	<b>1.1%</b>
<b>MI#</b>	<b>0.4%</b>
<b>All Stroke and Death*</b>	<b>1.5%</b>
<b>Major Stroke and Death*</b>	<b>0.4%</b>

\*Hierarchical- Includes only the most serious event for each patient and includes only each patient first occurrence of each event.

#Non-hierarchical-represents each event even in patients with multiple events

# Total and anatomic subset vs. AHA guidelines for CEA



The anatomic subgroup does not include co-morbidities.

All age included.

# PROTECT: Conclusions

- 30 day primary outcome for PROTECT demonstrate non-inferiority with pre-specified OPC comparator
  - Next generation embolic protection proven safe and effective in preventing peri-procedural stroke
- Total, anatomic and physiologic subsets all achieved/exceeded AHA guidelines established for standard risk CEA



# Overview

- What has the evolution in CAS data been?
- What predicts stroke in CAS?
- What are the data for outcomes as regards:
  - Experience
  - Devices
- Summary



# Evolution in CAS Outcomes



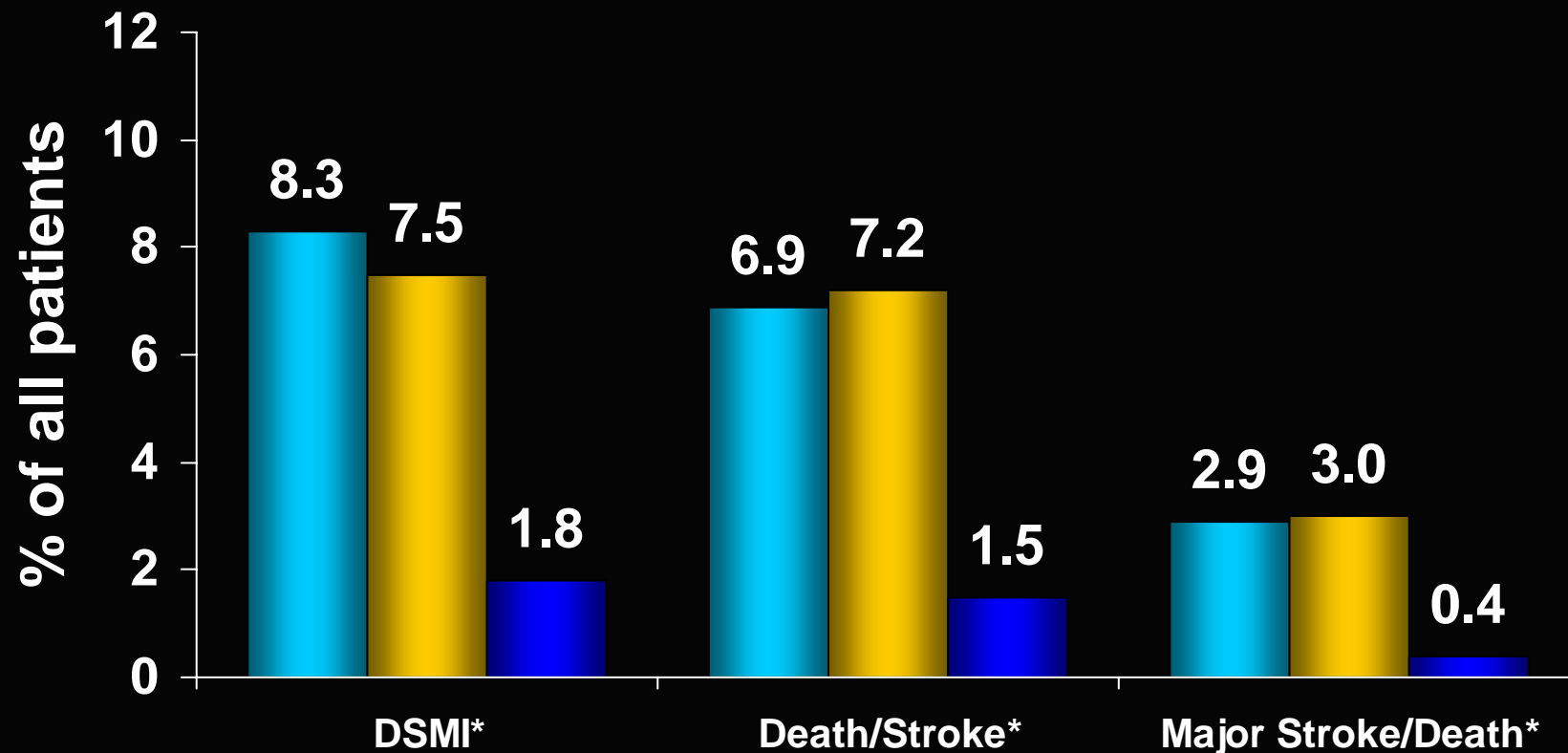
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# Pivotal (IDE) trial 30 day outcomes ARChER, SECuRITY, and PROTECT

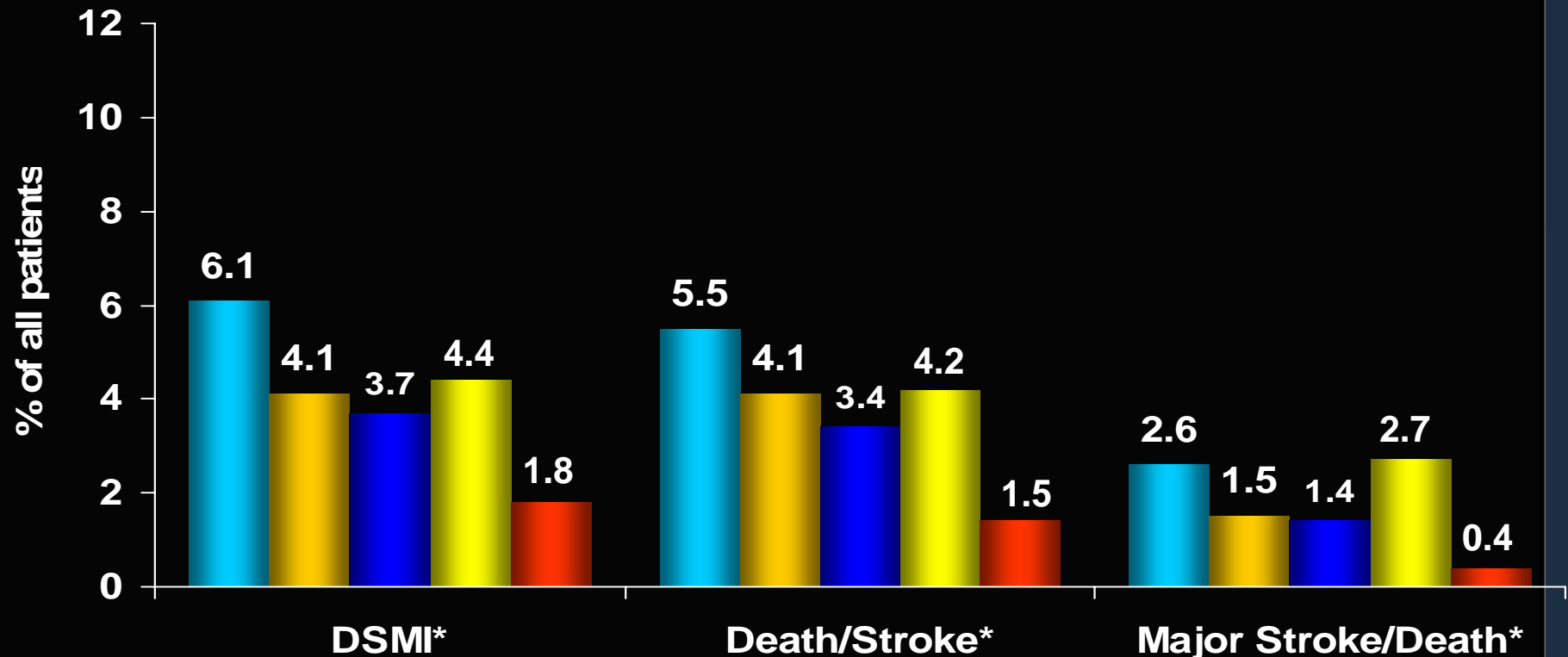
■ ARChER (n=581) ■ SECuRITY (n=305) ■ PROTECT (n=274)



\* Hierarchical Events – Includes only the most serious event for each patient and includes only each patient's first occurrence of each event.

# Post-market approval studies vs. PROTECT: 30 day outcomes

■ CAPTURE (n=4225)    ■ EXACT (n=2145)    ■ CAPTURE 2 (n=4175)  
■ CHOICE (n=1305)    ■ PROTECT (n=274)



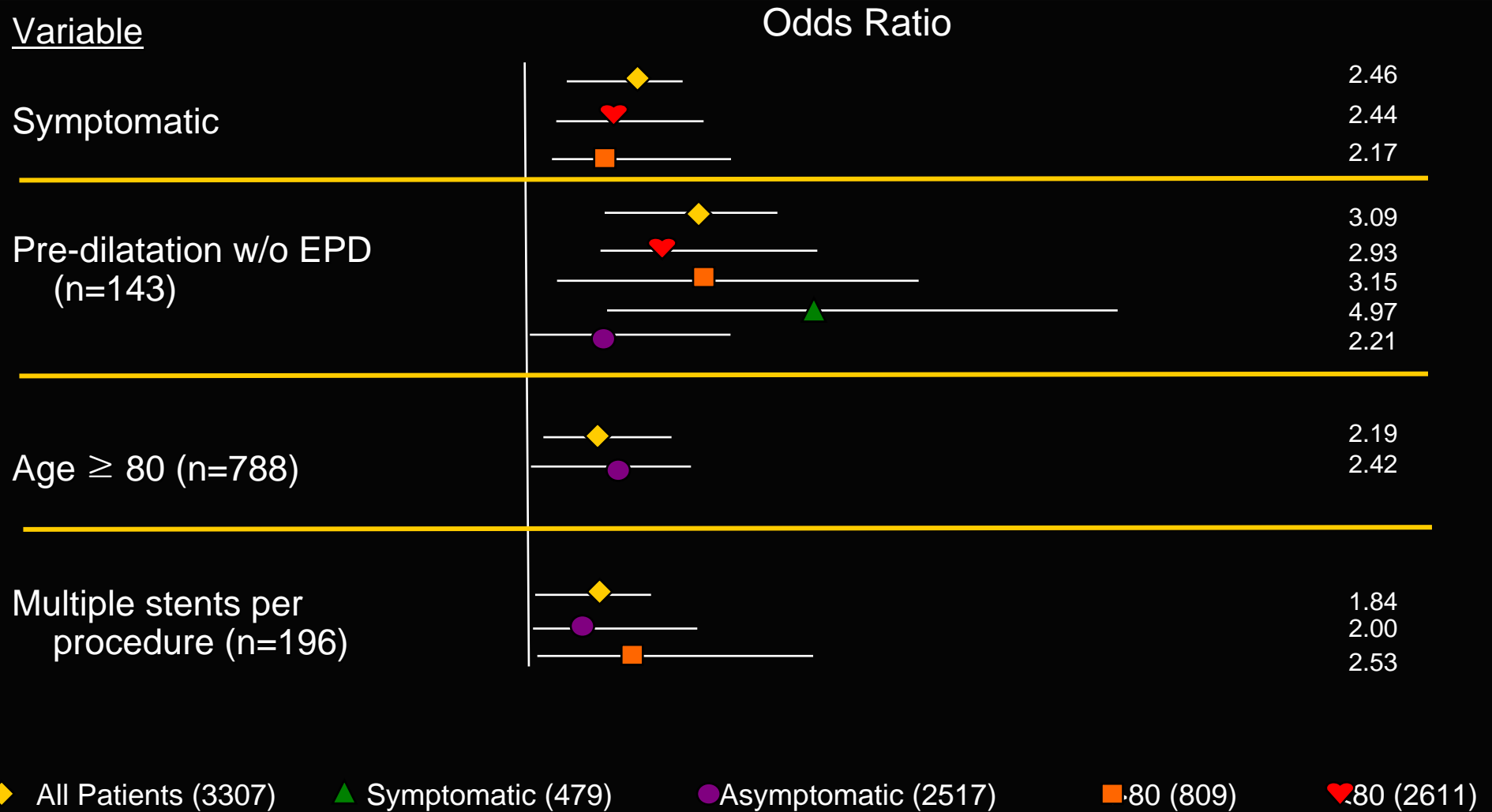
\* Hierarchical Events – Includes only the most serious event for each patient and includes only each patient's first occurrence of each event.

# Predictors of Outcomes

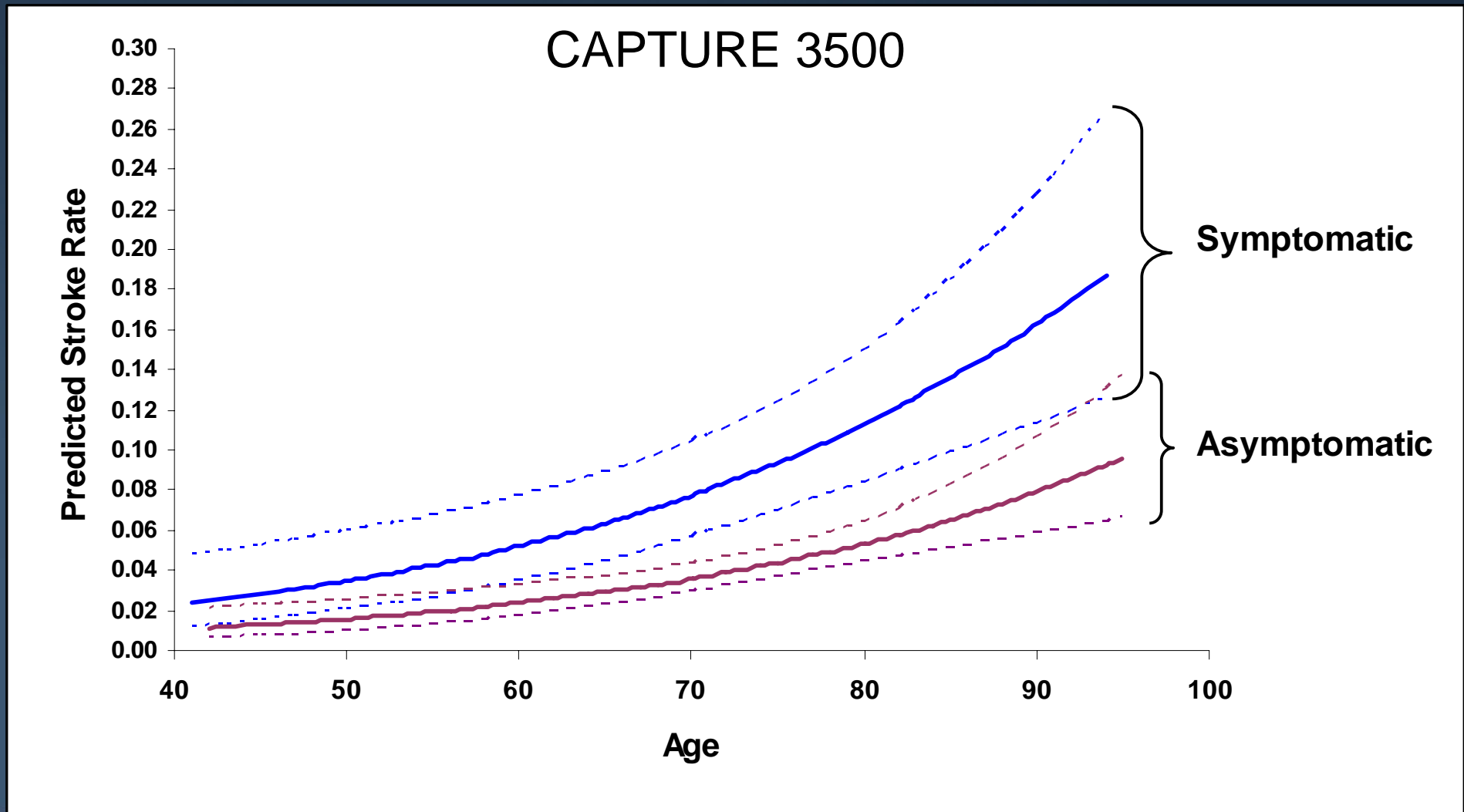




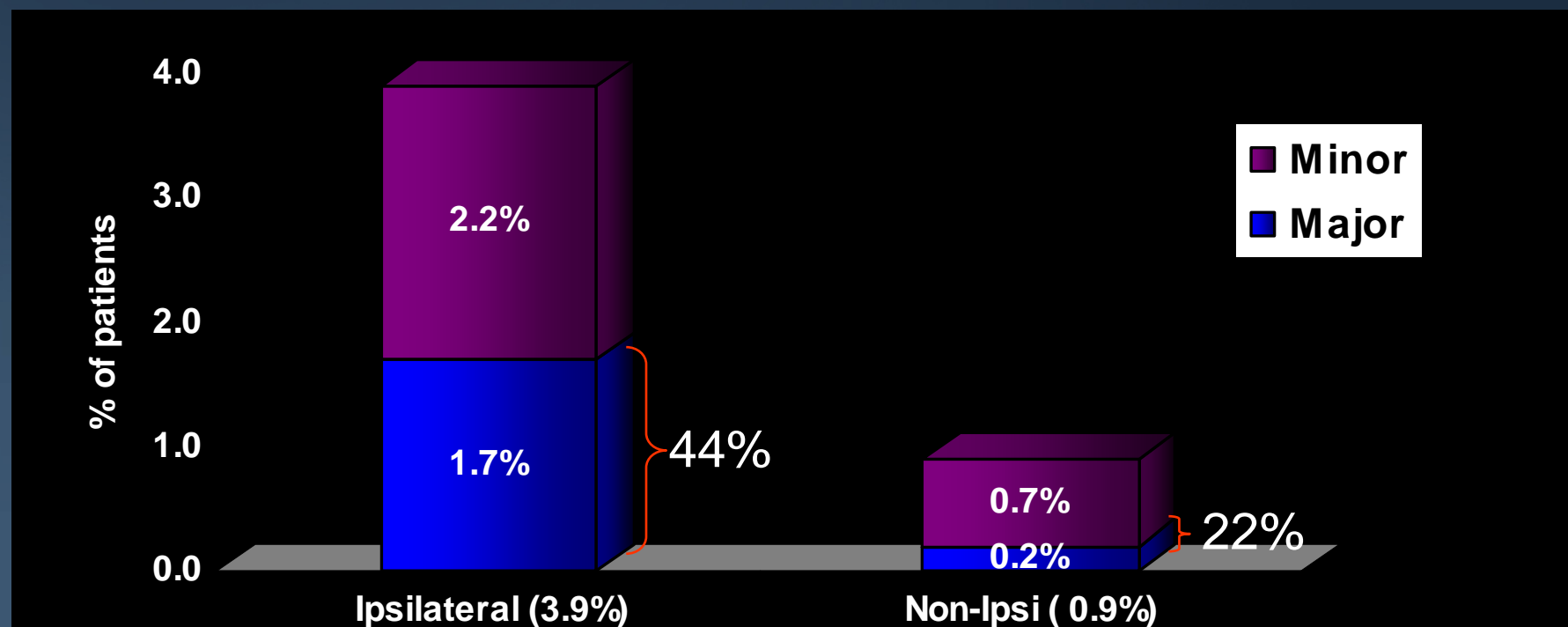
# CAPTURE 3500: Predictors of CAS Outcomes



# CAS Outcomes by age and symptoms



# CAPTURE 3500: Stroke by Location



- 18% of all strokes in CAPTURE are non-ipsilateral
- More non-ipsilateral strokes were minor c/w ipsilateral

# Experience and Outcomes



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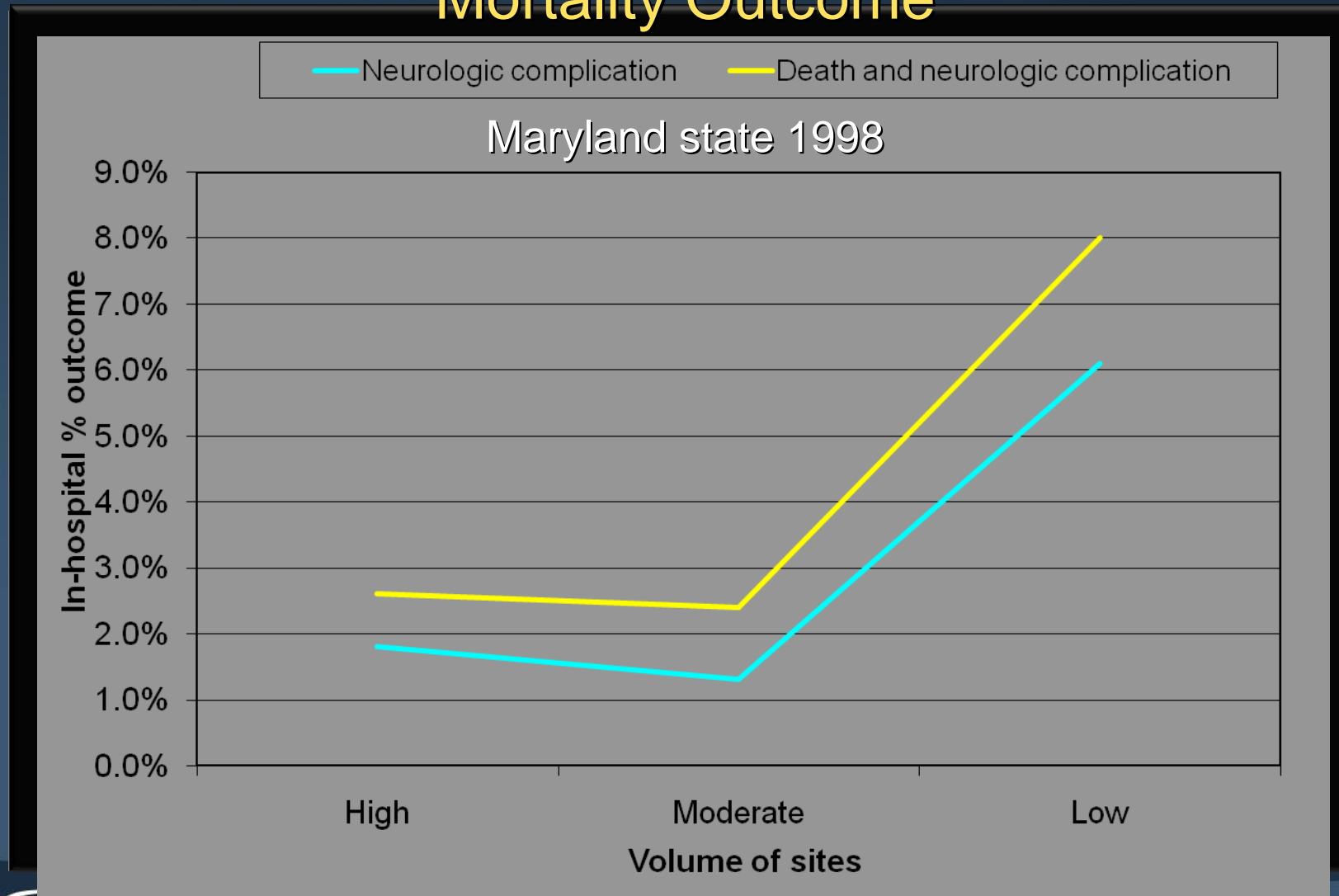
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# Experience

- Assumption: volume equals experience
- Trial-based outcome data supporting experience as a determinant of outcomes
  - Surgical
  - EVA-3S
  - SPACE
  - Pro-CAS
  - PMS registries

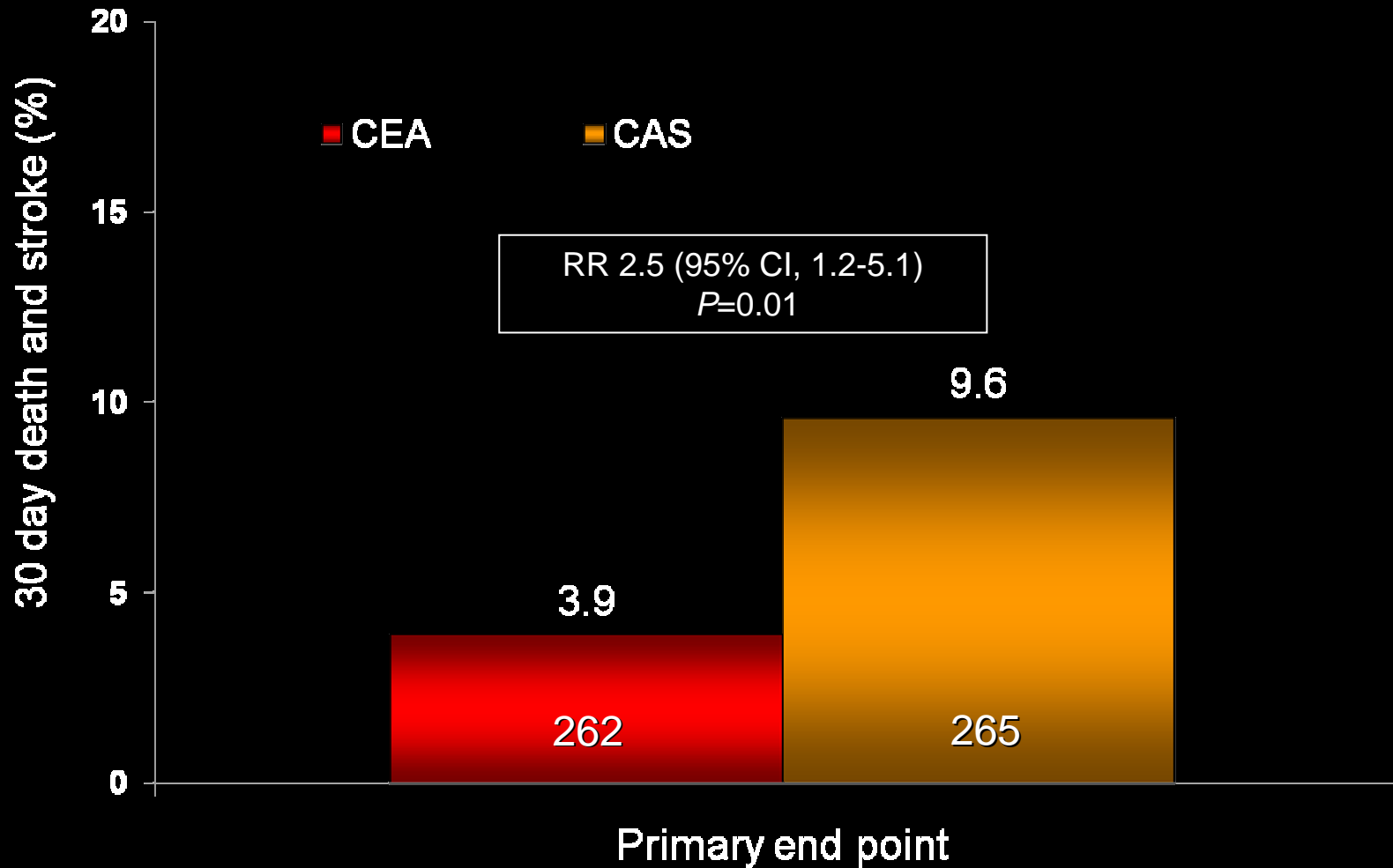


# Retrospective CEA Survey Demonstrates Inverse Relationship between Volume and Neurologic and Mortality Outcome

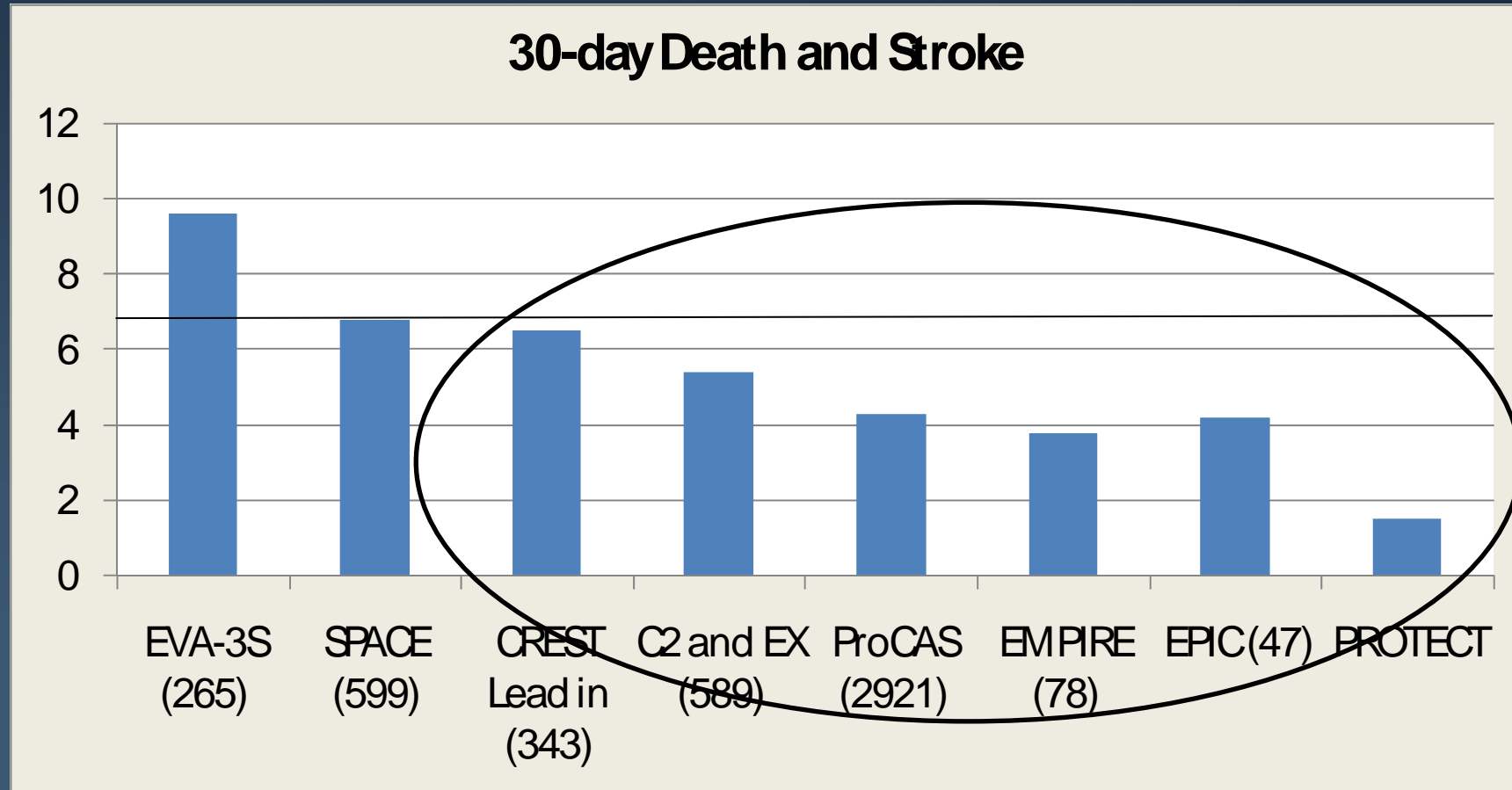


# EVA-3S

## Randomized CEA vs CAS



# The evolution of CAS in symptomatic patients: EVA-3S vs. the world





# EVA 3S: conclusion

- Prototypical low operator experience multi-center trial
- Outcomes for CAS in EVA-3S for symptomatic standard risk patients are higher than the contemporary cohorts

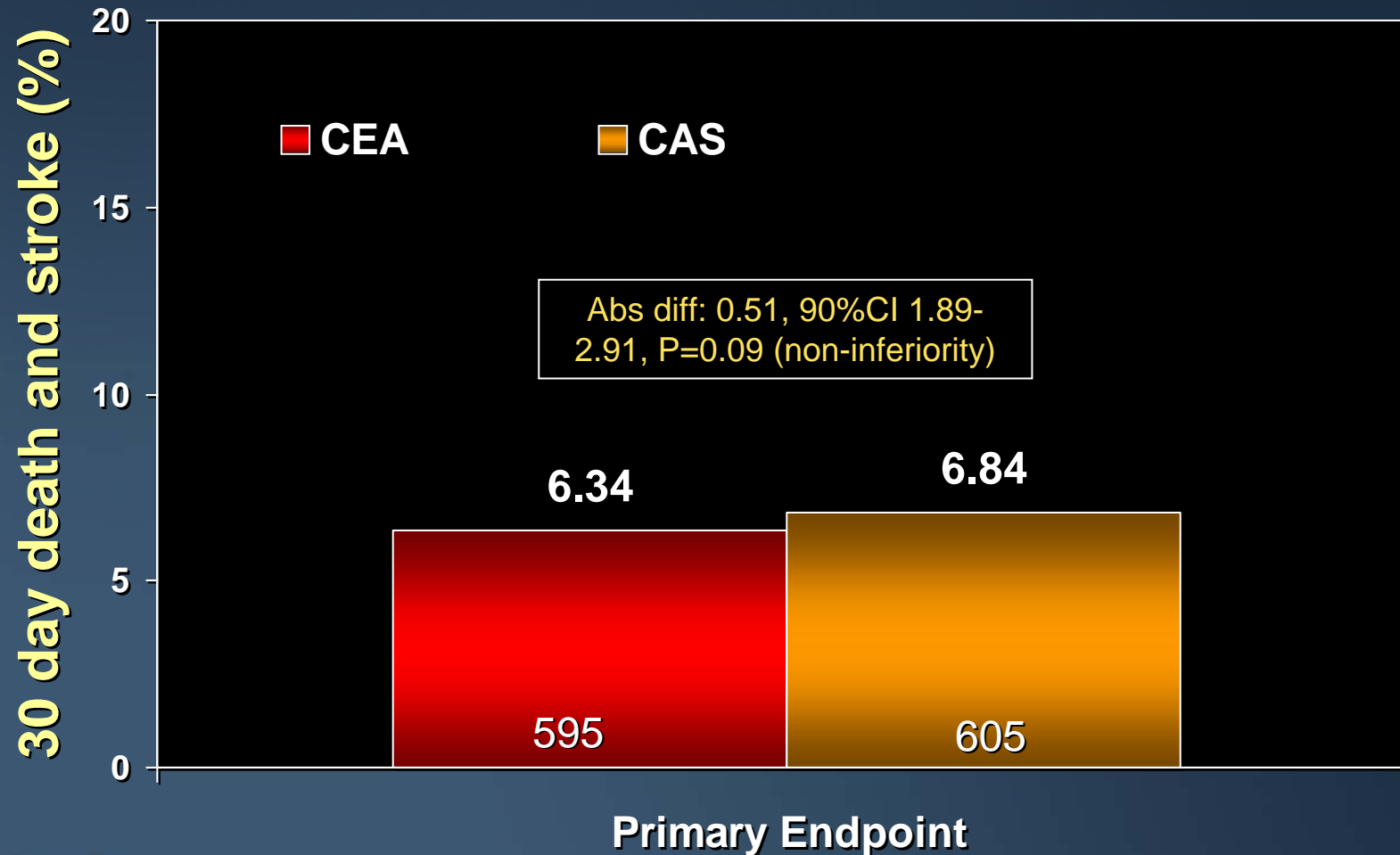


# SPACE: Predictors of outcomes

- Randomized, multicenter non-inferiority study of CEA vs. CAS in standard surgical risk symptomatic patients with 70% carotid stenosis
  - Primary endpoint 30-day ipsilateral stroke and death
  - Only 27% EPD use
  - Pre-specified secondary analyses include:
    - Age
    - Sex
    - Type of event
    - Side of intervention
    - Degree of stenosis
    - High-grade contralateral stenosis

# SPACE

## Randomized CEA vs. CAS symptomatic patients

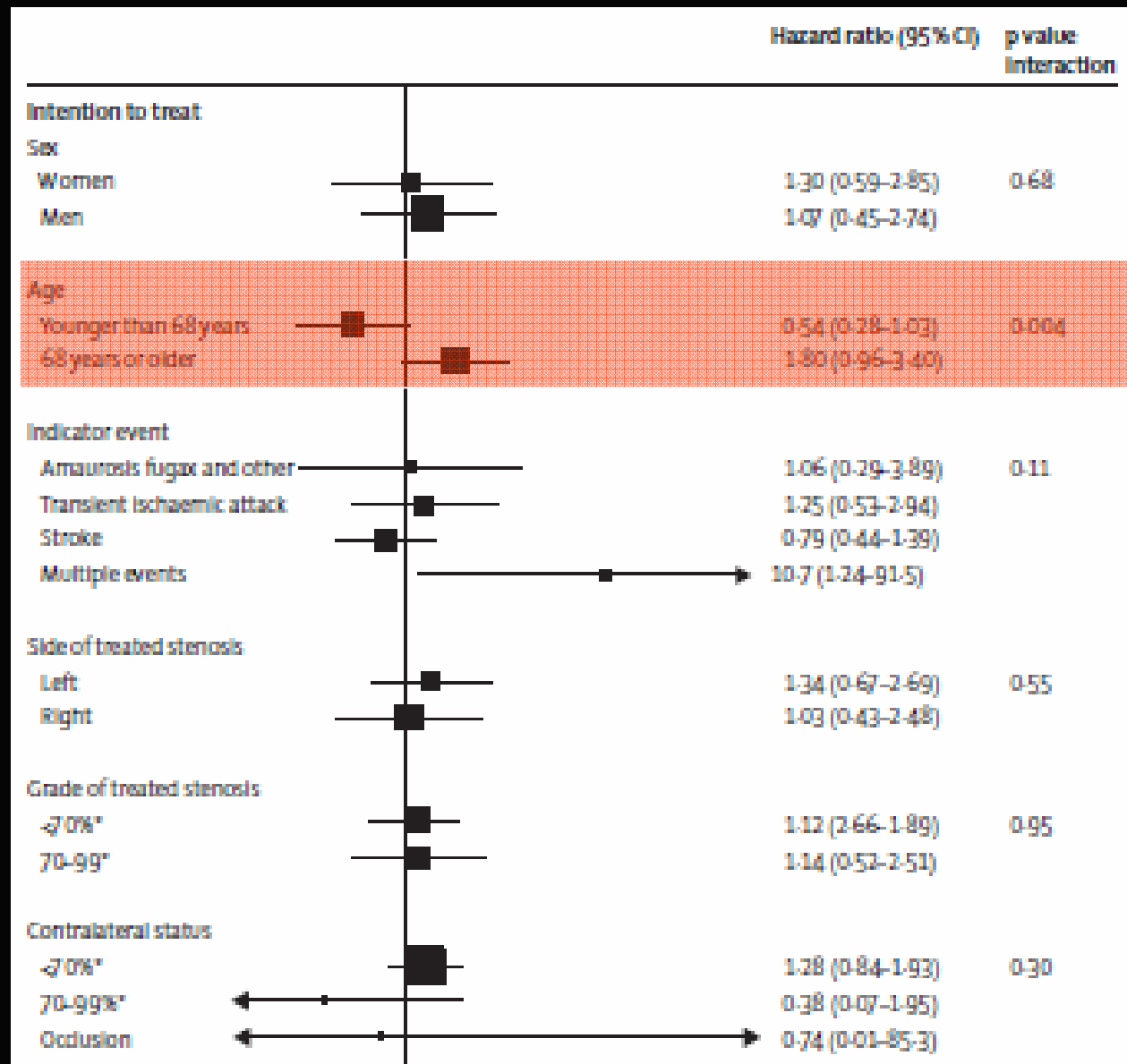


SPACE collaborators. Lancet 2006;368:1239-47

# SPACE: Effect of volumes on outcome

Class		Centres (n)	Outcome event (n)	Total (n)	pOE rate (95% CI) in %
CAS (ITT, pOE)	≥25 patients	9	18	370	4.9 (2.9–7.6)
	10–<25 patients	10	16	171	9.4 (5.4–14.7)
	<10 patients	15	8	66	12.1 (5.4–22.5)
CEA (ITT, pOE)	≥25 patients	8	21	337	6.2 (3.9–9.4)
	10–<25 patients	11	16	192	8.3 (4.8–13.2)
	<10 patients	15	1	60	1.7 (0.0–8.9)

# SPACE: Hazard ratio for 30-day MAE plus ipsilateral stroke to 2 years



# SPACE: conclusions

- No difference between CAS and CEA after 1200 normal risk patients randomized
  - In spite of only 27% EPD use
  - Advantage to stenting in the under 68 age group
  - Experience (volumes) dictated outcome rates



# Pro-CAS: Prospective registry of CAS

- Prospective, multi-center German registry
  - 25 sites/6 year enrollment (July 1999-June 2005)
  - 5341 interventions (outcomes of first 3267 published 2004)
    - Median # of center CAS before enrollment: 38 (0-1200)
    - Median # of center CAS SPACE enrollment: 140 (10-806)
    - No learning curve data available
  - No defined inclusions or exclusions, or procedural methods
  - No angiographic core lab
  - Voluntary independent neurologic assessment (no stroke scales or CEC)
  - Primary endpoint: in-hospital death and stroke

# Pro-CAS: Overall outcomes

- Median age: 70 years (32-96)
- In-hospital stroke and death: 3.6%





# Pro-CAS: effect of symptom status\*

Symptomatic status			0.0019
Symptomatic	2921/5333	4.3%	
Asymptomatic	2412/5333	2.7%	
Type of symptoms leading to CAS‡			0.1007
Transient monocular blindness	381/2884	2.6%	
Transient ischemic stroke	1359/2884	4.4%	
Stroke	1144/2884	4.5%	
Interval between symptoms and CAS‡			0.7821
≤2 weeks	609/2344	3.6%	
2–4 weeks	326/2344	4.3%	
2–12 weeks	763/2344	4.6%	
>12 weeks	646/2344	3.9%	

\*Univariate analysis

# Pro-CAS: Effect of experience\*

Variable	No. Variables/Total	Periprocedural Stroke or Death	P
<u>Year</u>			0.0294
July 1, 1999, to June 30, 2000	461/5341	6.1%	
July 1, 2000, to June 30, 2001	705/5341	3.8%	
July 1, 2001, to June 30, 2002	793/5341	3.8%	
July 1, 2002, to June 30, 2003	995/5341	2.6%	
July 1, 2003, to June 30, 2004	1130/5341	3.7%	
July 1, 2004, to June 30, 2005	1257/5341	3.0%	
<u>Center experience</u>			0.0010
Interventions 1 to 50	471/5341	5.9%	
Interventions 51 to 150	1089/5341	4.5%	
Interventions 151 and higher	3781/5341	3.0%	
<u>Patient volume</u>			0.0014
≤50 interventions/year	2067/5341	4.6%	
>50 interventions/year	3274/5341	2.9%	

\*Univariate analysis

# Pro-CAS: effect of age and gender\*

Age			<0.0001
<60 years	679/5341	1.3%	
60–69 years	1811/5341	3.0%	
70–79 years	2133/5341	3.8%	
≥80 years	718/5341	6.3%	
Gender†			0.4158
Male	3421/4834	3.5%	
Female	1413/4834	3.0%	

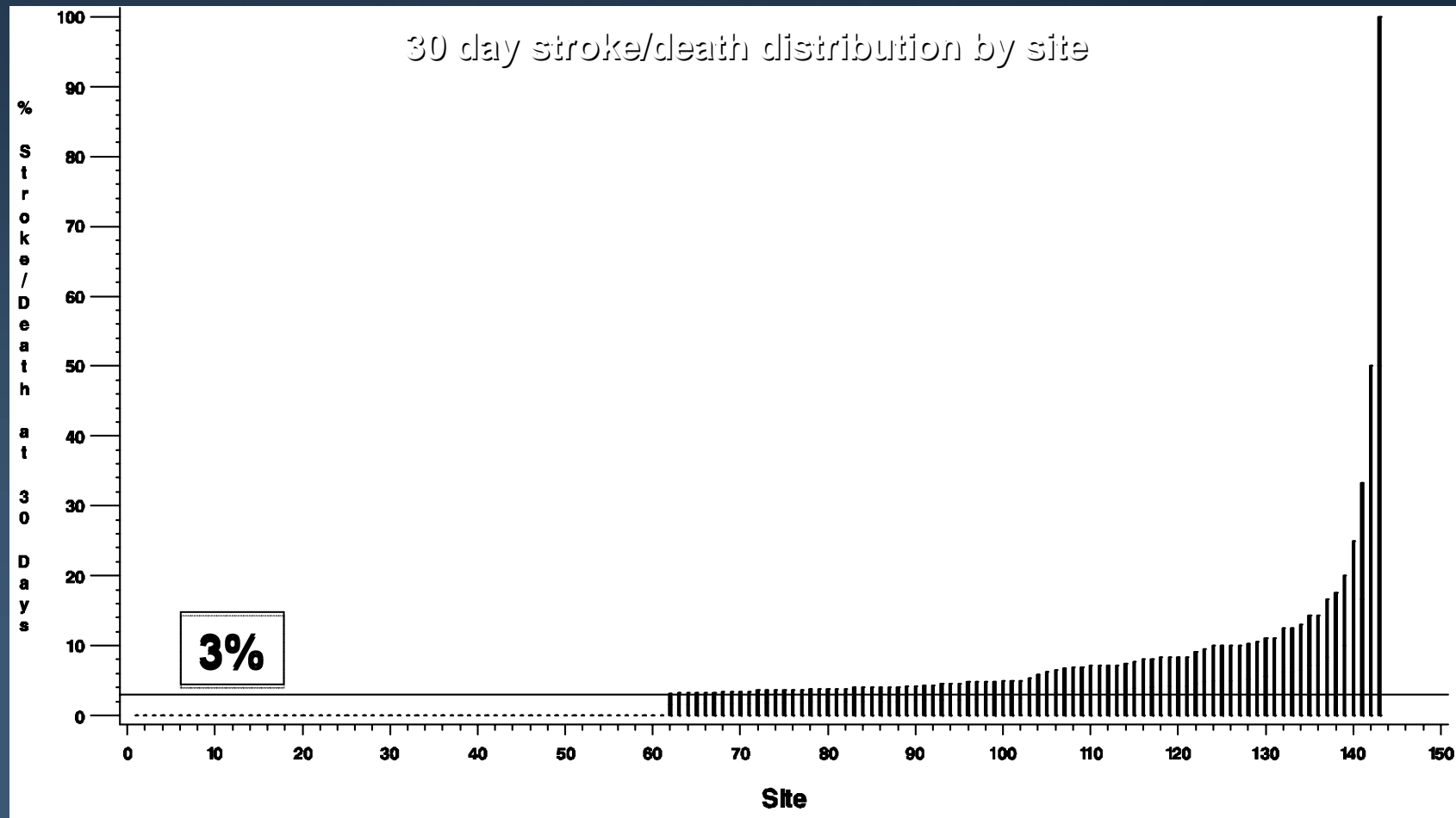
\*Univariate analysis

# Pro-CAS: conclusions

- Improving CAS outcomes with greater experience
- Similar age related gradient seen in SPACE, CAPTURE

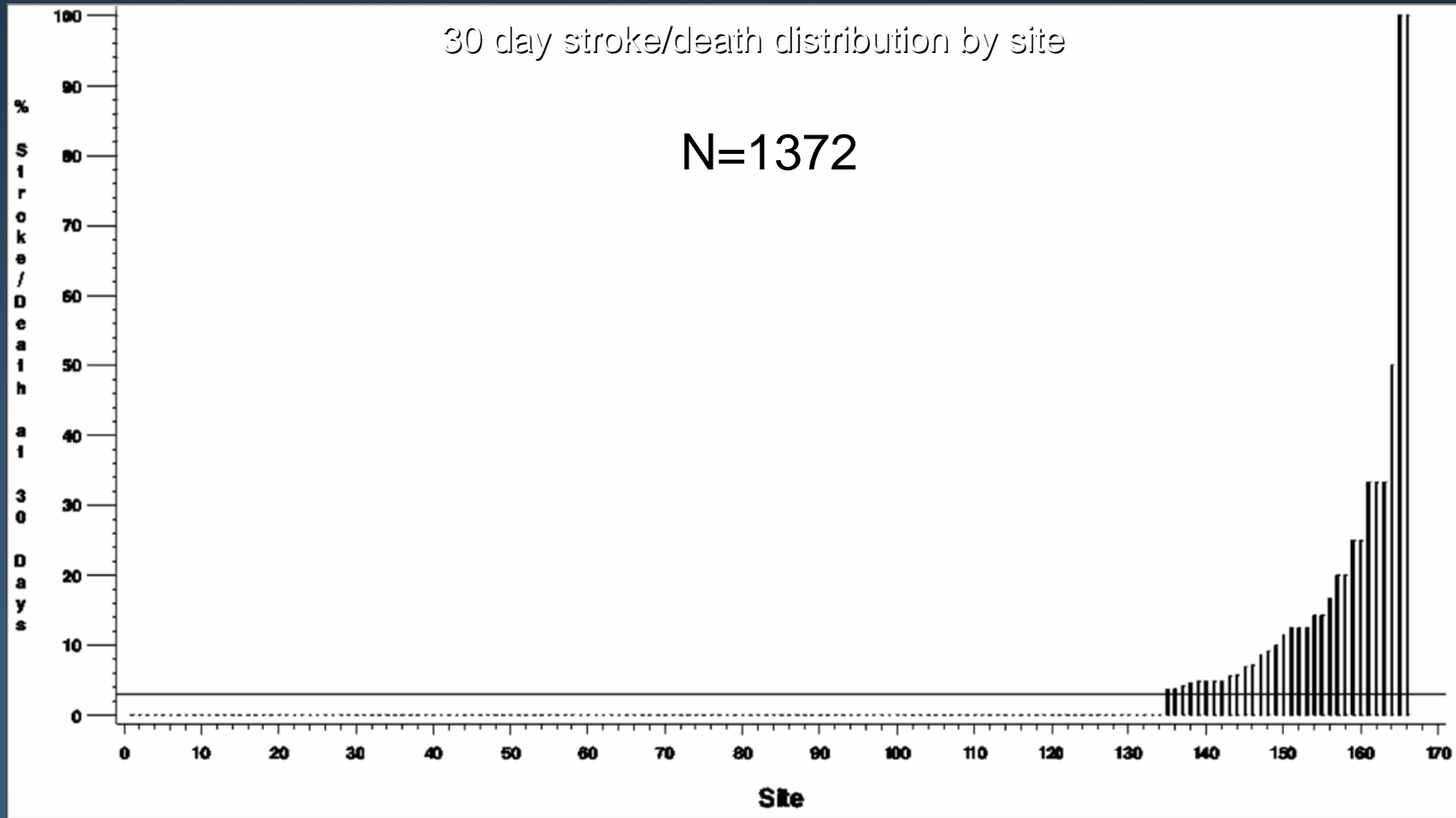


# CAPTURE: Asymptomatic Patients <80 Years



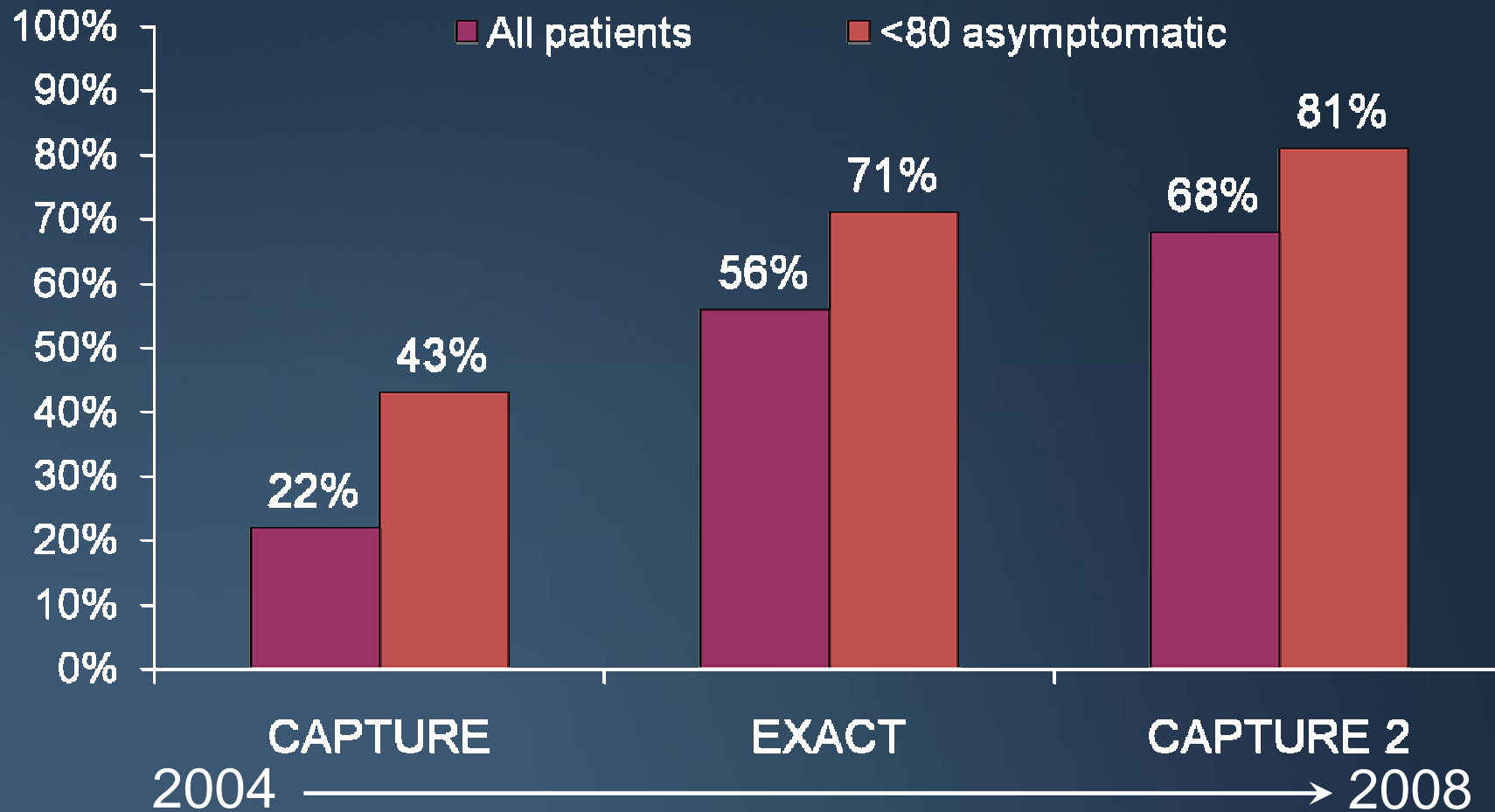
No stroke/death at 43% (61/143) of sites

# CAPTURE 2: Asymptomatic <80 Patients



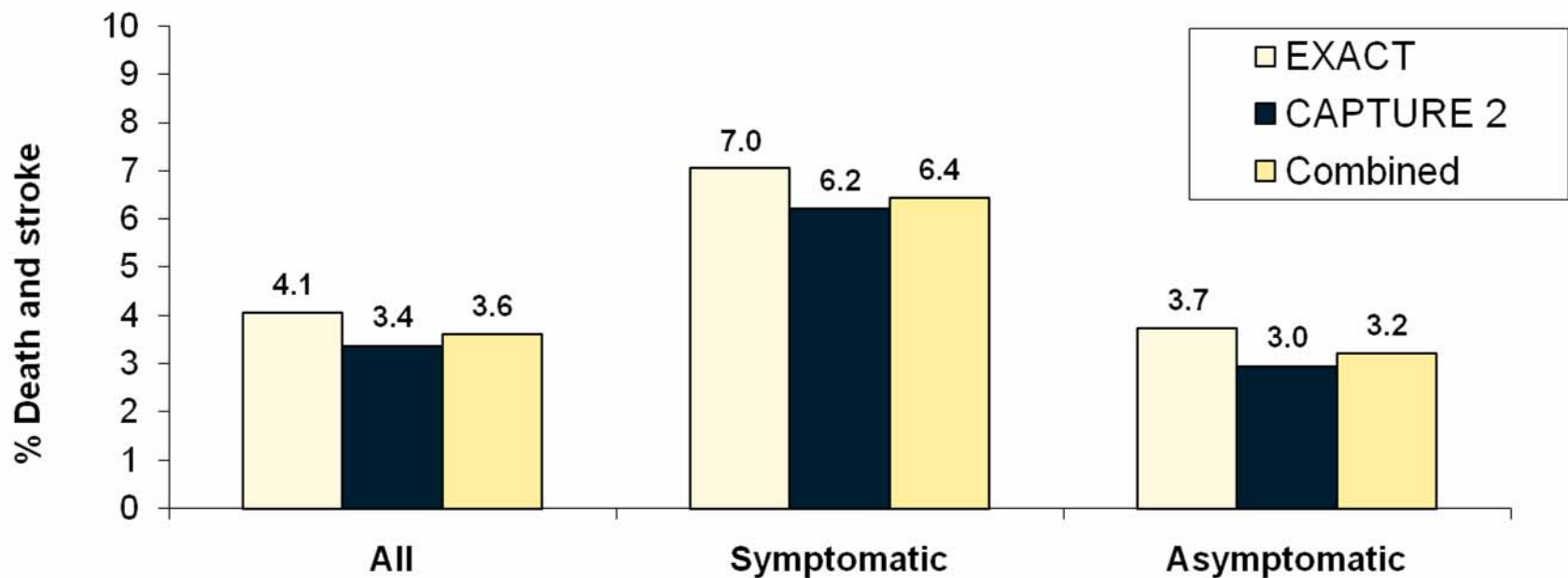
No stroke or death in 81% (134/166) of sites

# Outcome Improvements with Increasing Volumes



# EXACT and CAPTURE 2

## 30-day Composite Endpoint of Death and Stroke



\*

EXACT (N=2145)\*  
CAPTURE 2 (N=4175)  
Combined (N=6320)

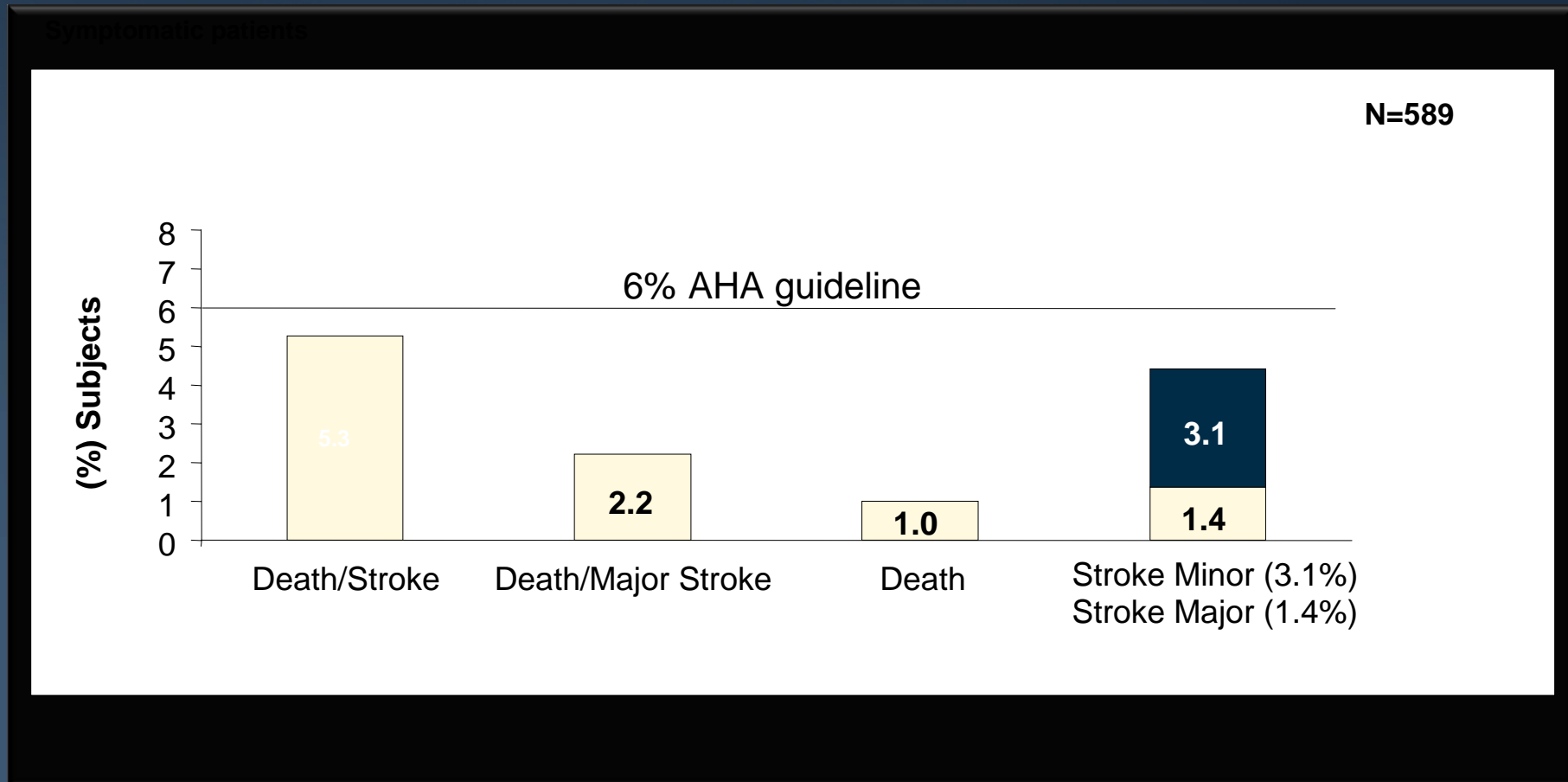
EXACT (N=213)  
CAPTURE 2 (N=548)  
Combined (N=761)

EXACT (N=1931)  
CAPTURE 2 (N=3627)  
Combined (N=5558)

Hierarchical- Includes only the most serious event for each patient and includes only each patient first occurrence of each event.

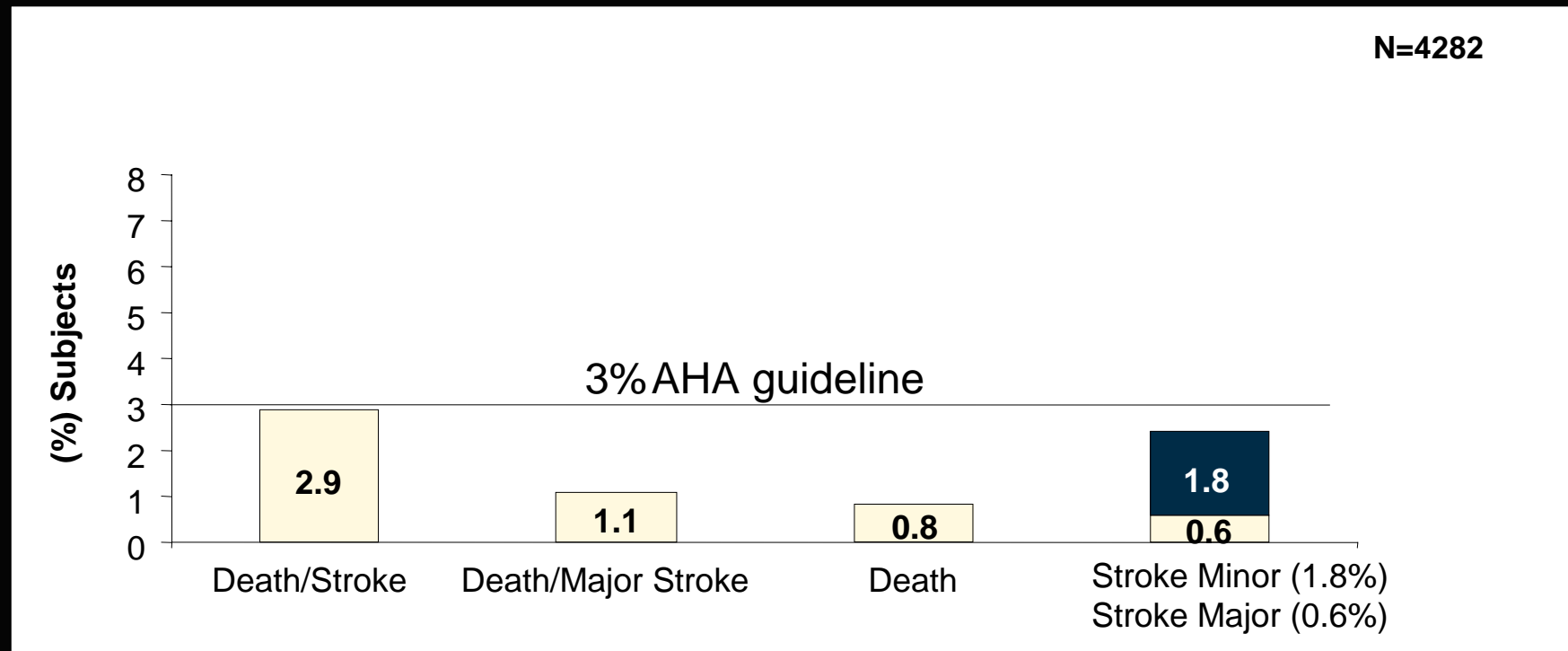


# EXACT/CAPTURE 2 (combined): 30-day major adverse events symptomatic patients <80 years



Hierarchical- Includes only the most serious event for each patient and includes only each patient first occurrence of each event.

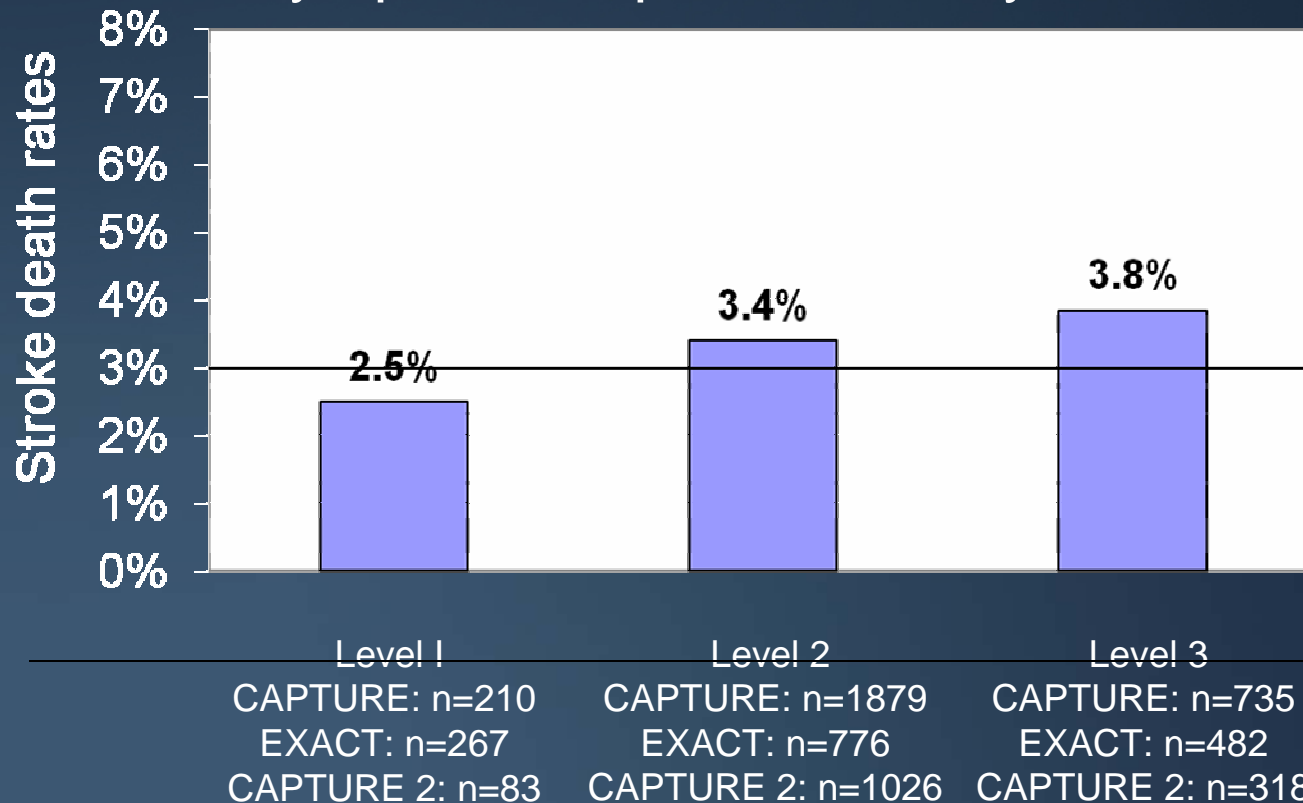
# EXACT/CAPTURE 2 (combined): 30-day major adverse events asymptomatic patients <80 years



Hierarchical- Includes only the most serious event for each patient and includes only each patient first occurrence of each event.

# The Influence of Experience: PMS Outcomes

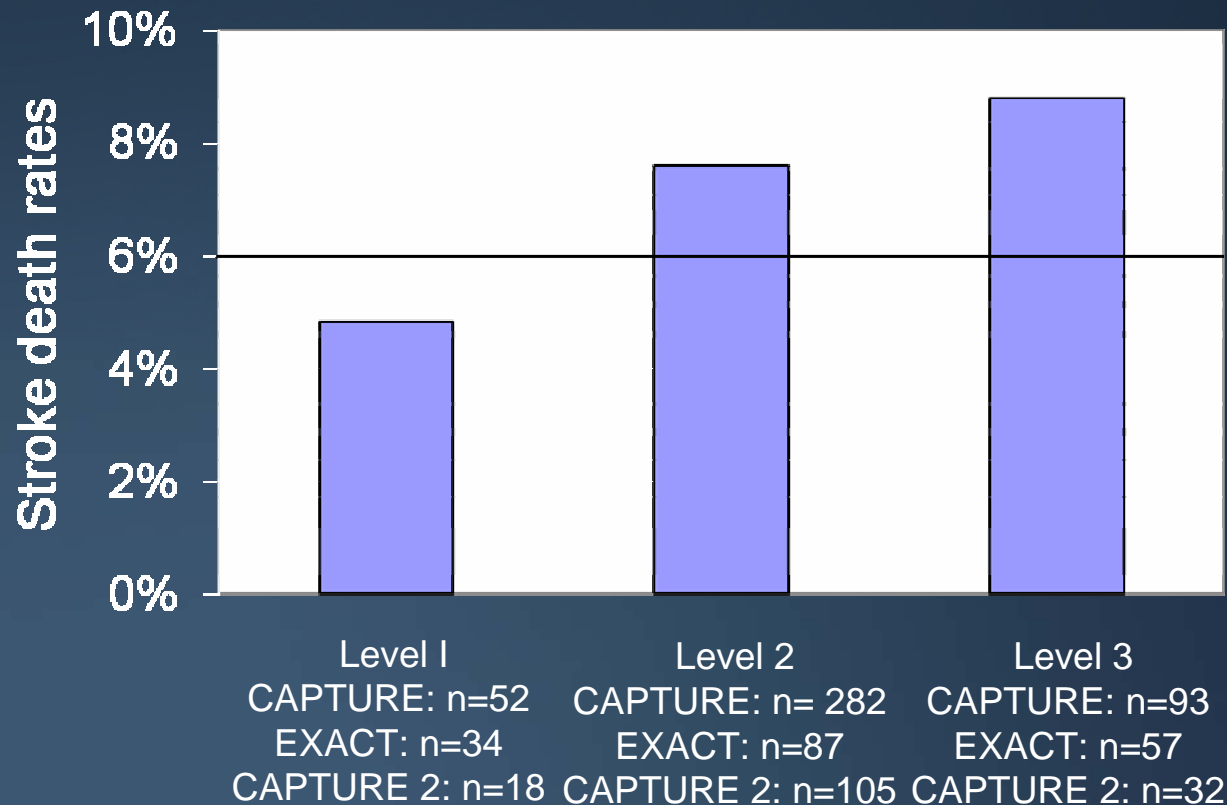
Asymptomatic patients <80 years old



\*Hierarchical events – Includes only the most serious event for each patient and includes only each patient's first occurrence of each event.

# The Influence of Experience: PMS Outcomes

Symptomatic patients <80 years old



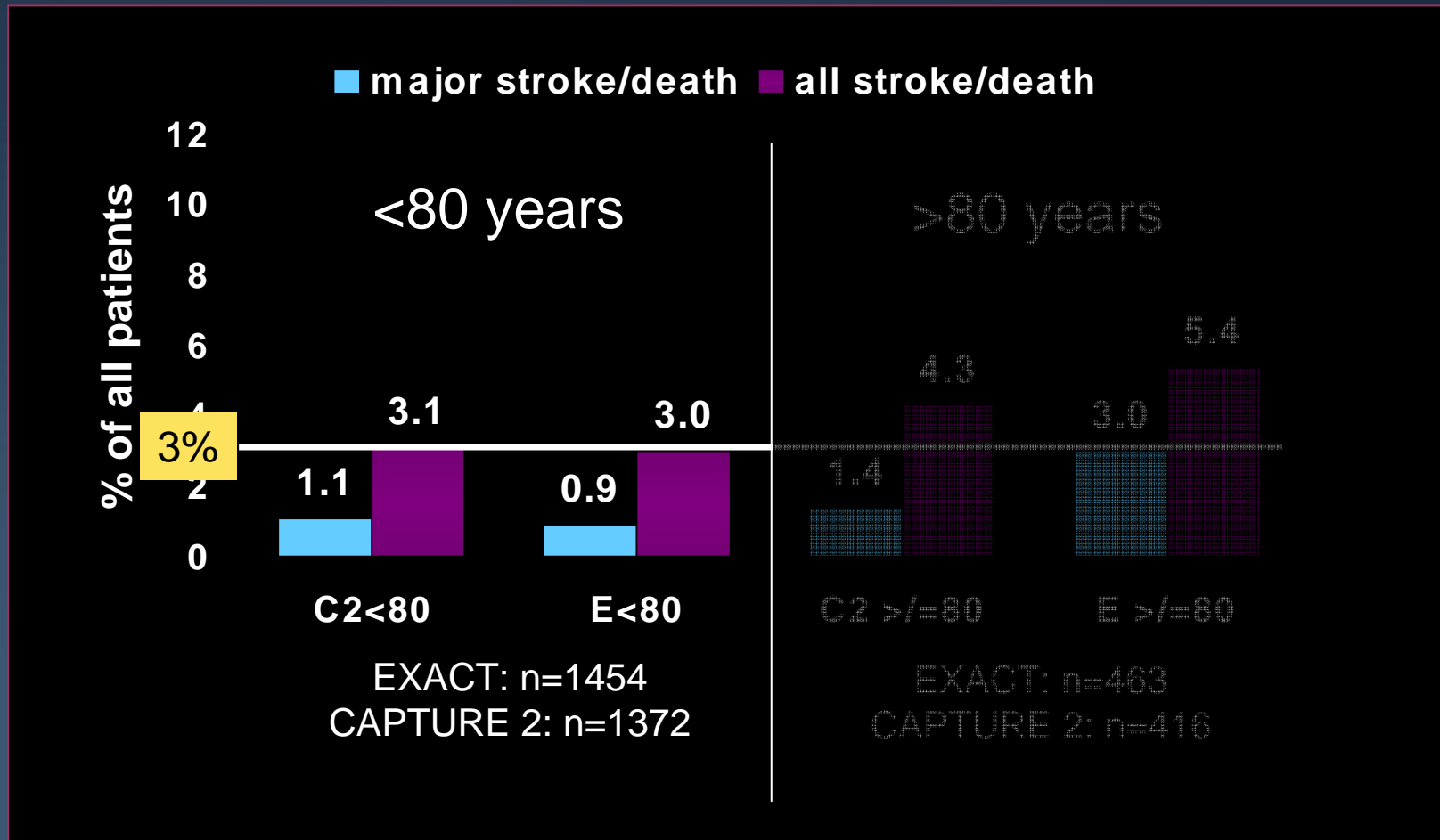
\* Hierarchical events – Includes only the most serious event for each patient and includes only each patient's first occurrence of each event.

# Devices and Outcomes



# CAS outcomes: No differences by stent

## 30 day Outcomes



- Hierarchical Events – Includes only the most serious event for each patient and includes only each patient’s first occurrence of each event
- Clinical Studies are not directly comparable by methodology presented. -Data from respective studies are presented for educational purposes

# Future Data Sets

- CREST: 2009-2010
- ACT I: Lead-in phase

Event	30 days, N=118
Death, Stroke, and MI*	1.7%
All Stroke and Death*	1.7%
Major Stroke and Death*	0.0%
Death	0.0%
All Stroke	1.7%
Major Stroke	0.0%
Minor Stroke	1.7%
MI	0.0%



# Conclusions

- A relationship of experience to outcomes in CAS appears to be present based on indirect evidence:
  - Generally improving outcomes for the field
  - Operator disparity
- ...and direct evidence
  - EVA-3S vs. “the world”
  - IDE and PMS registries
- No convincing evidence of device influence
- Future trials will deliver more prospective, and likely confirmatory, data

