

From DES to Percutaneous Valves: What Interventional Doctors Need to Know about Regulatory Barriers and Solutions to Global Device Evaluation

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Duke University Medical Center
Director, Cardiovascular Devices Unit
Duke Clinical Research Institute**



From DES to Percutaneous Valves: What Interventional Doctors Need to Know about Regulatory Barriers and Solutions to Global Device Evaluation

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*Special Government Employee
Circulatory Devices Advisory Panel*

U.S. FDA

Co-Director

Cardiac Safety Critical Path Initiative

Founder, Co-Director

Japan-USA Harmonization By Doing Program

Member, U.S. FDA Team

GHTF Study Group 5



Circulation

JOURNAL OF THE AMERICAN HEART ASSOCIATION



Special Report

Drug-Eluting Stents “Deliver Heartburn” How Do We Spell Relief Going Forward?

Mitchell W. Krucoff, MD; Ashley Boam, MSBE; Daniel G. Schultz, MD

“Breakthrough” technologies may produce rare or unexpected performance issues in postmarket use, especially when rapid market penetration into large patient populations outpaces the development of clinical knowledge. Although high-profile meetings or news media coverage may help draw attention to such issues, ultimately, it is careful scientific

organizations, and academics are to be applauded for collaborative efforts to continue to collect and provide unbiased access to new and extended patient-level data, and several leading peer-reviewed journals have expedited publication to facilitate dissemination of these findings. For example, 7 articles on DES outcomes were included in the March 8

Krucoff et al, *Circulation*. 2007.



JAMA[®]

The Journal of the American Medical Association

**Strength of S
In Premarket**

**Sanket S. Dhruva, MD
Lisa A. Bero, PhD
Rita F. Redberg, MD, M**

Medical-Devices Sector Could Face Tougher Regulatory Pathway

Article

By Jon Kamp
Of DOW JONES NEWSWIRES

As the Food and Drug Administration reviews the way it approves medical devices, companies are girding for changes that could make it tougher and more expensive to roll out new products.

The FDA, under its new leadership, has toughened its image. Amid this atmosphere, device makers are particularly focused on a review of a fast-track approval pathway known as 510(k) that has drawn criticism

Jon Kamp, Dow Jones Newswires; 617-654-6728; jon.kamp@dowjones.com

New Device Regulations: Why Should Doctors Care?

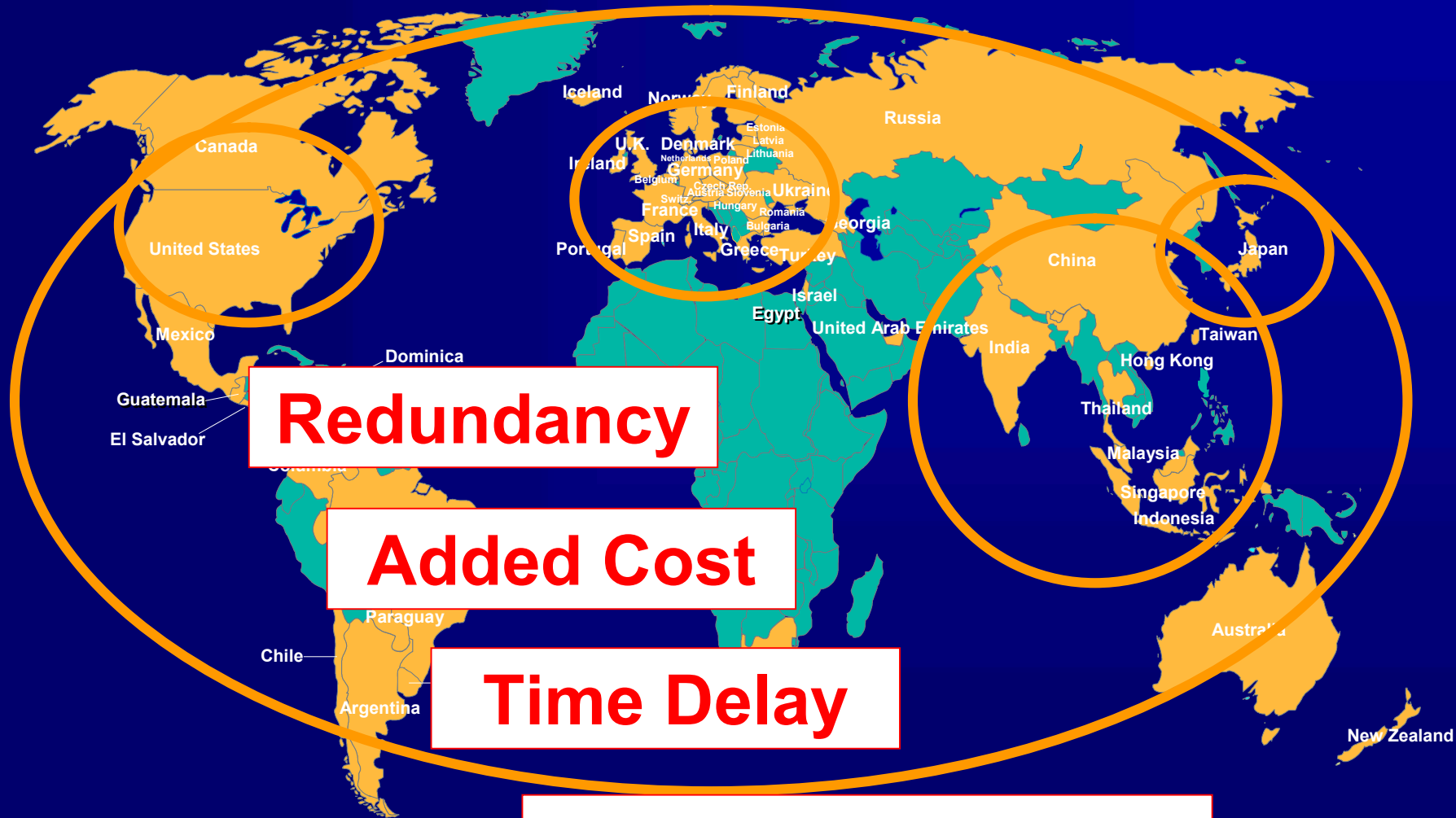
- ***Health Care Access***
 - Device “lag”
- ***Economy***
 - Health care cost
 - Revenue from & for competitive innovation
- ***Patient information***
 - Safety: risks vs. benefits of implants
- ***Unique options for professional collaboration:***
 - Shared experience, priorities & needs across all stakeholders (patients, academics, industry, regulatory)
- ***More informative trial designs:***
 - Regulatory focus on public health not just marketing
 - Better trials support innovation

New Device Innovation:

Lots Going On, But One Approach Does Not Fit All

- **New & bioabsorbable DES**
- **Percutaneous mitral valves**
- **Percutaneous aortic valves**
- **STEMI adjunct devices**
- **LVAD/artificial hearts**
- **And more!**

Global Clinical Evaluation



Redundancy

Added Cost

Time Delay

Limited knowledge

International Cardiovascular Disease & Therapy: *Are We More Alike or Different?*

Regulatory Perspective

- ***Epidemiology*** :
 - disease prevalence
 - natural history
 - risk
- ***Relevance***:
 - clinical practice patterns
- ***Genetics***:
 - Metabolism
 - Metabolites
- ***Poolability***:
 - data quality
 - follow up

Racial CV Therapy History

- ***Drugs***:
 - Dose response
 - Toxicities
- ***Devices***:
 - Body size
- ***Subgroups > Race***
 - Age
 - Gender
 - Diabetes

FDA Perspectives: 1997-2010

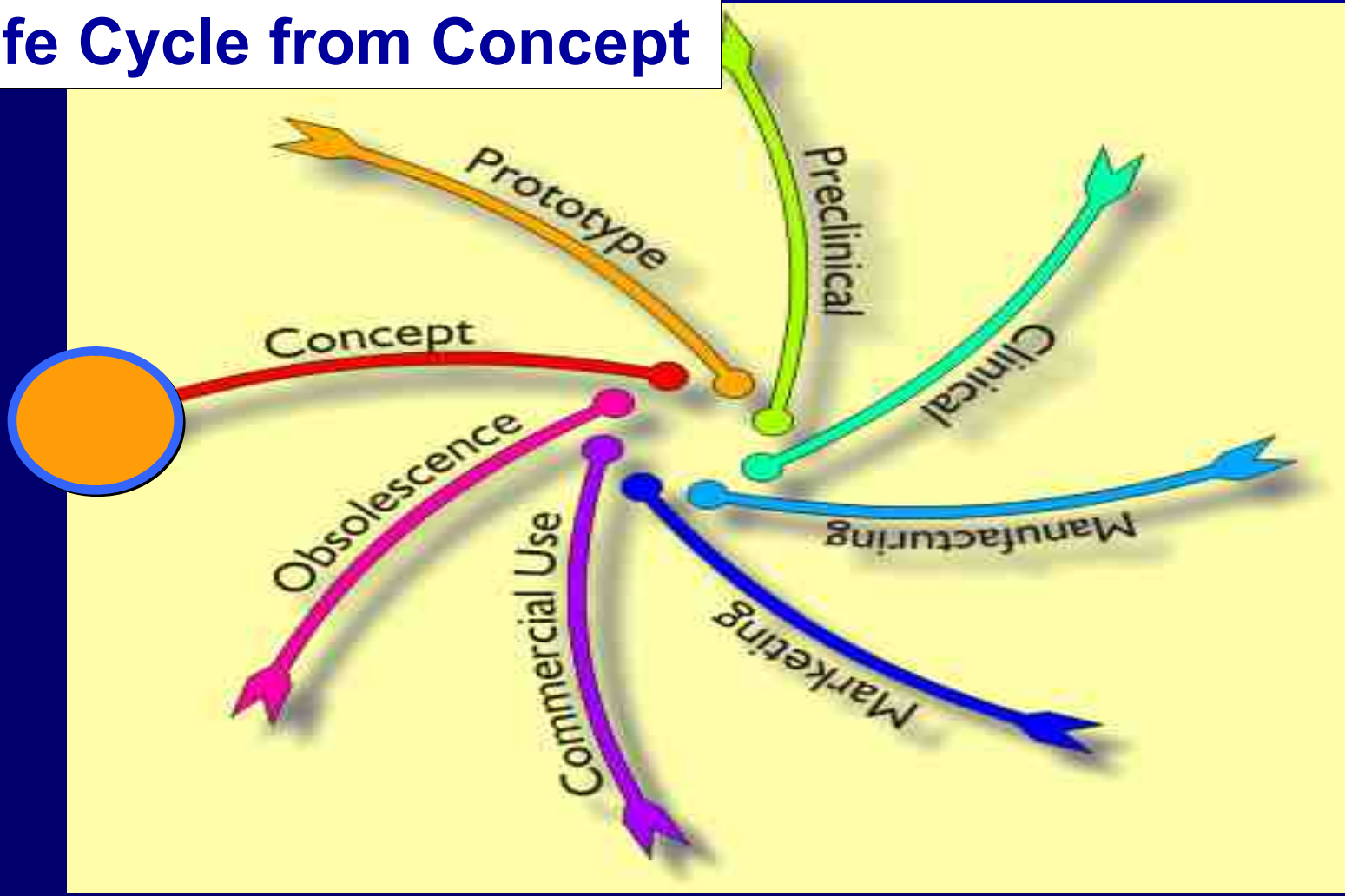


1997: Food & Drug
Modernization Act (FDMA):
Pre-IDE dialogue
“Least Burdensome”

Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle

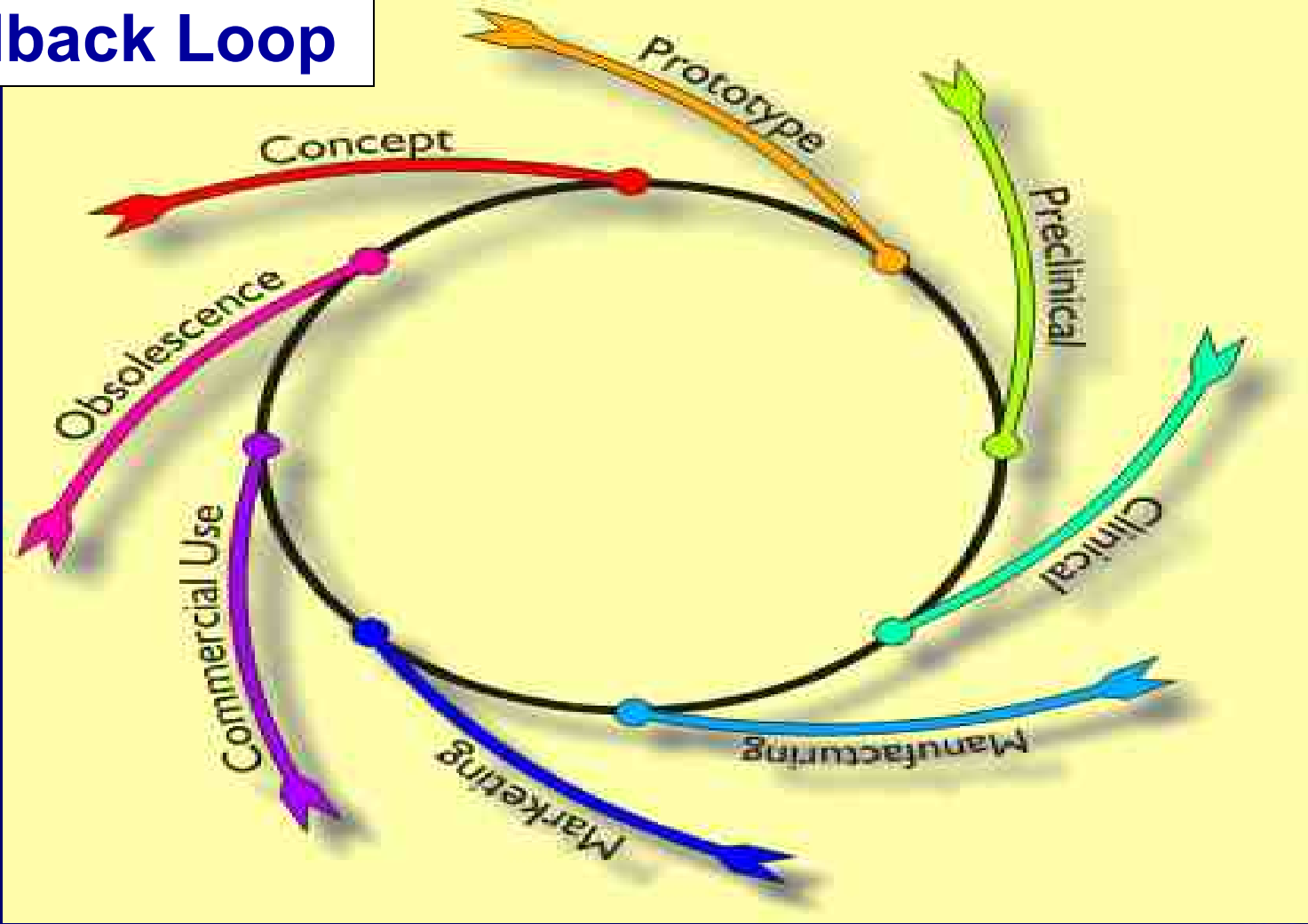
Life Cycle from Concept



Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle

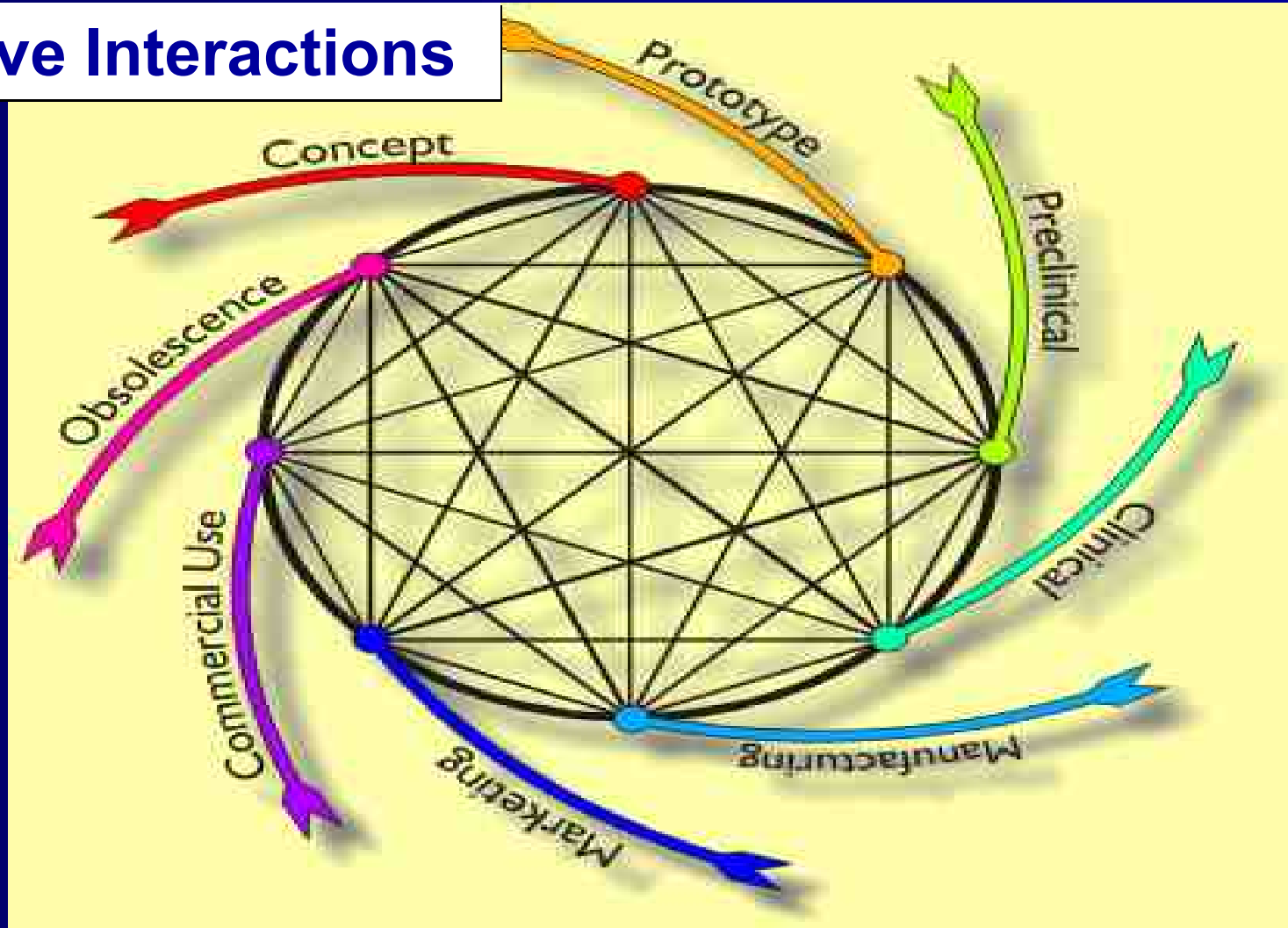
Feedback Loop



Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle

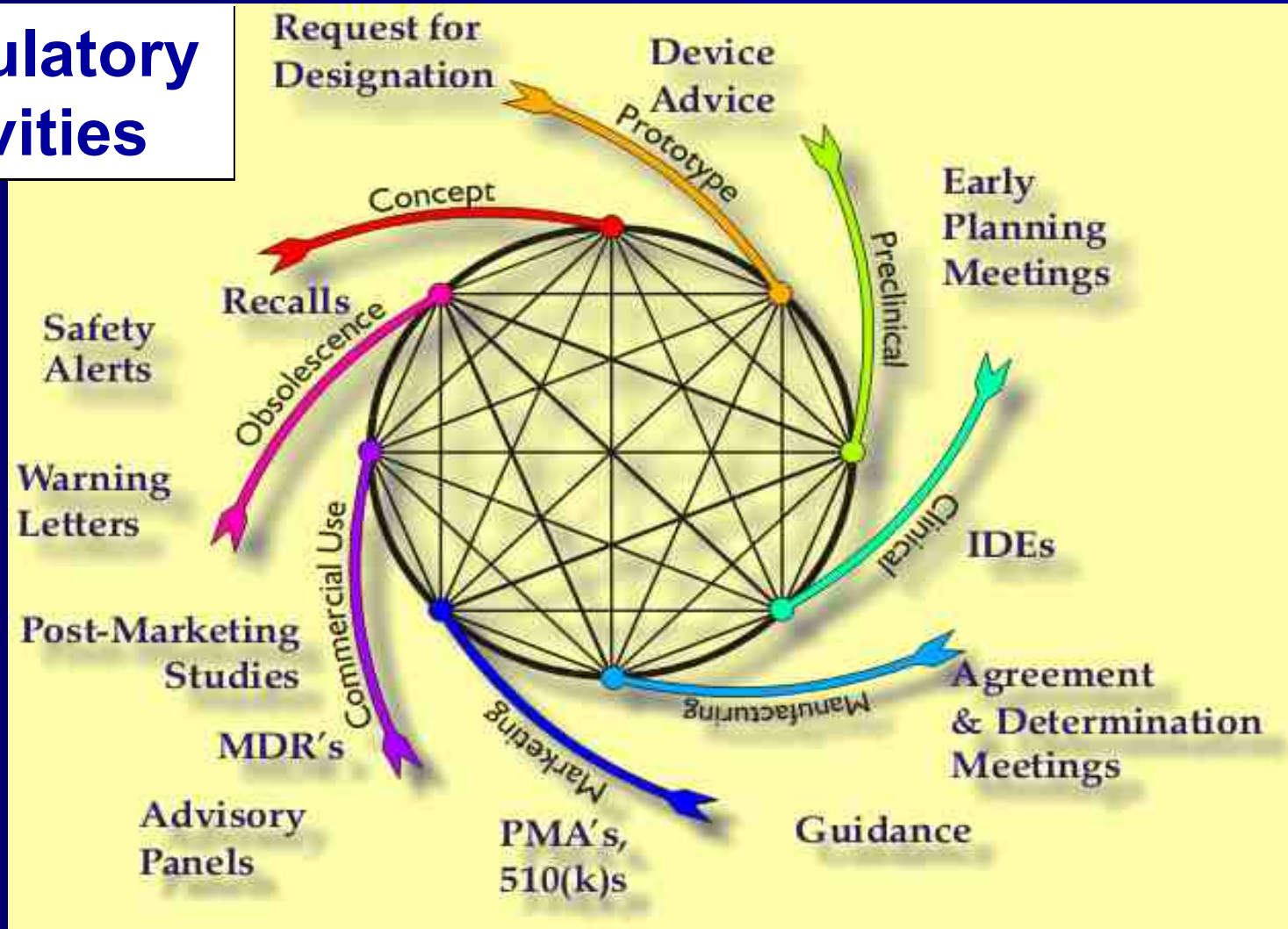
Iterative Interactions



Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle

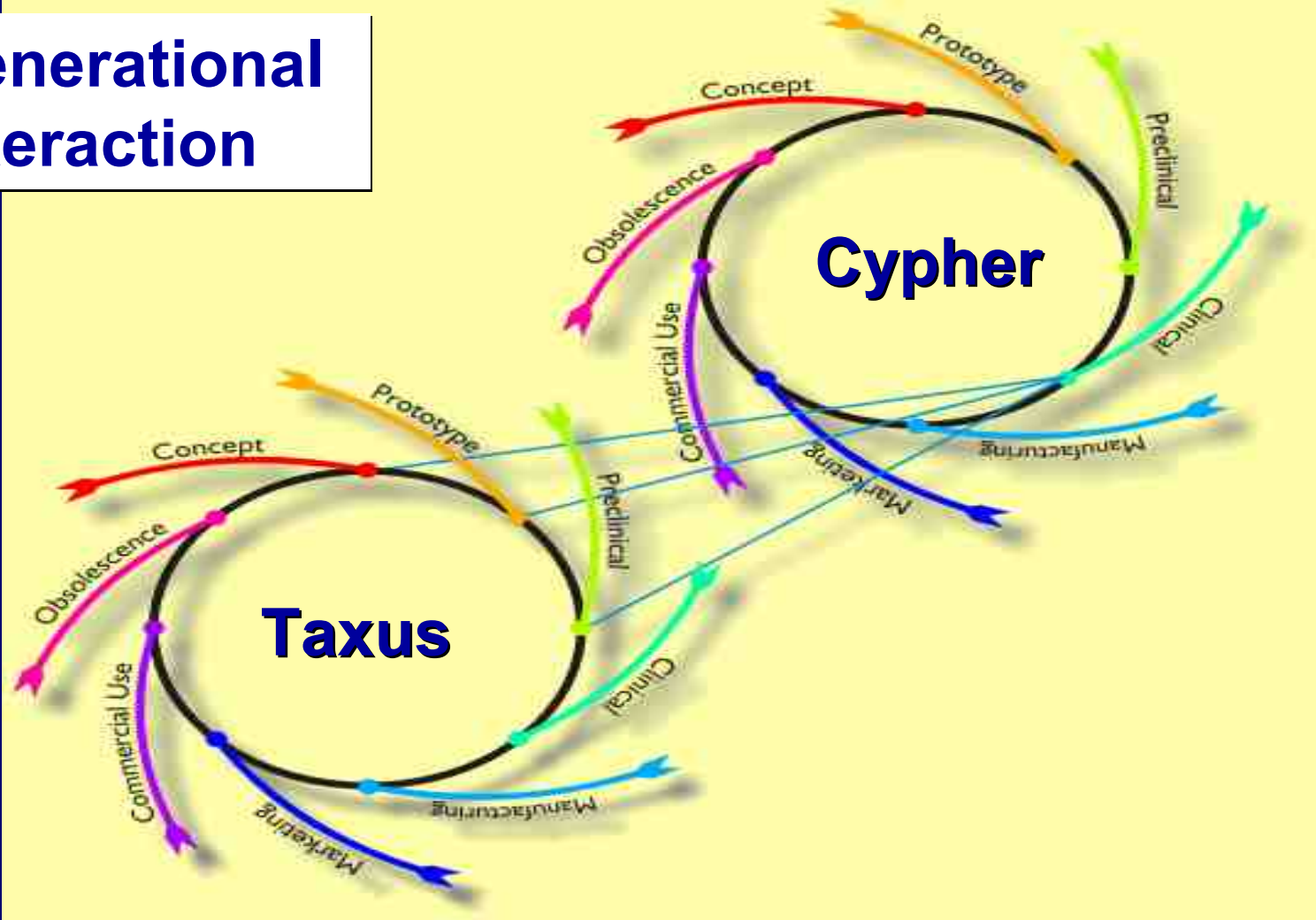
Regulatory Activities



Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle

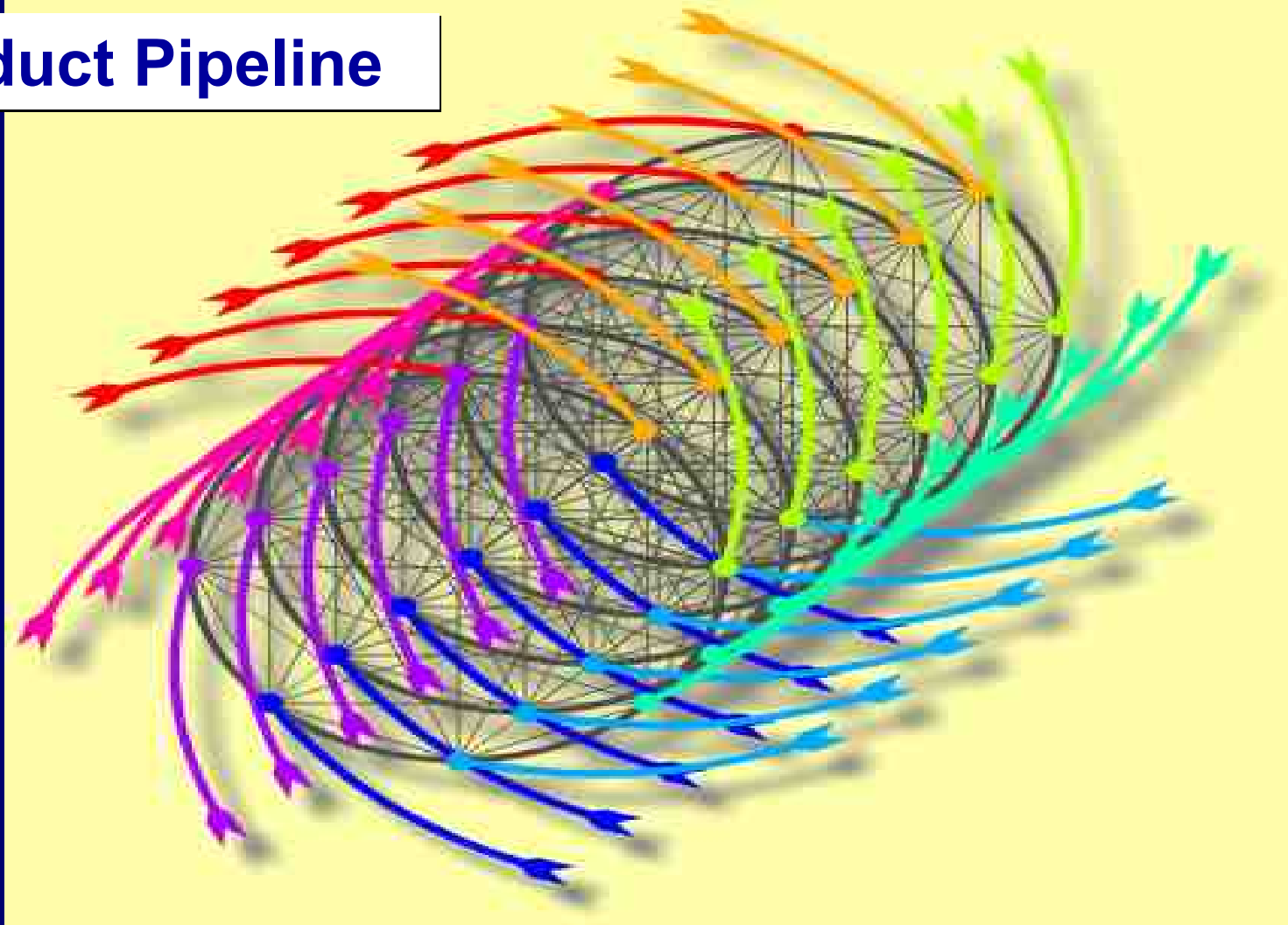
Generational Interaction



Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle

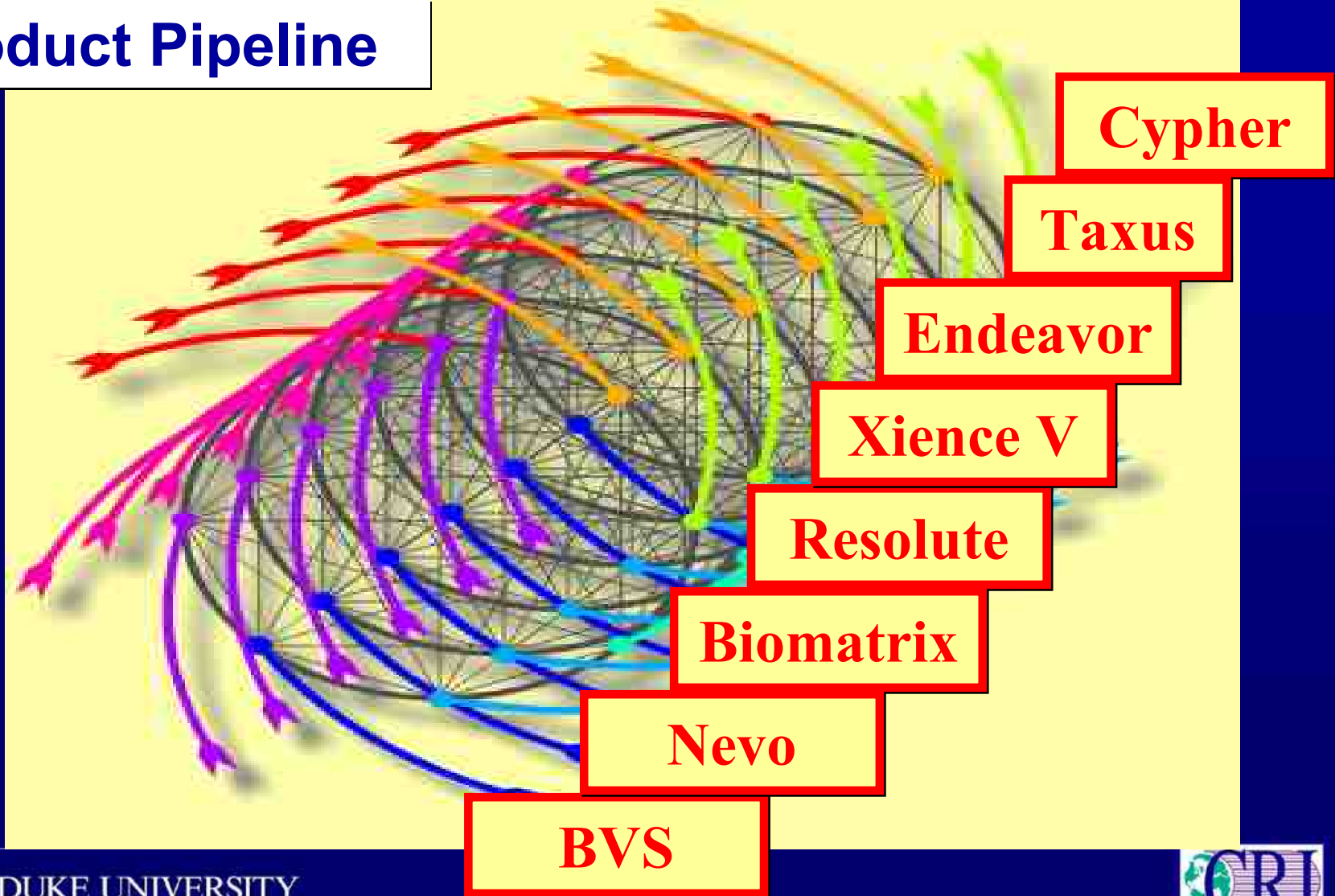
Product Pipeline



Regulatory Issues in Cardiovascular Devices

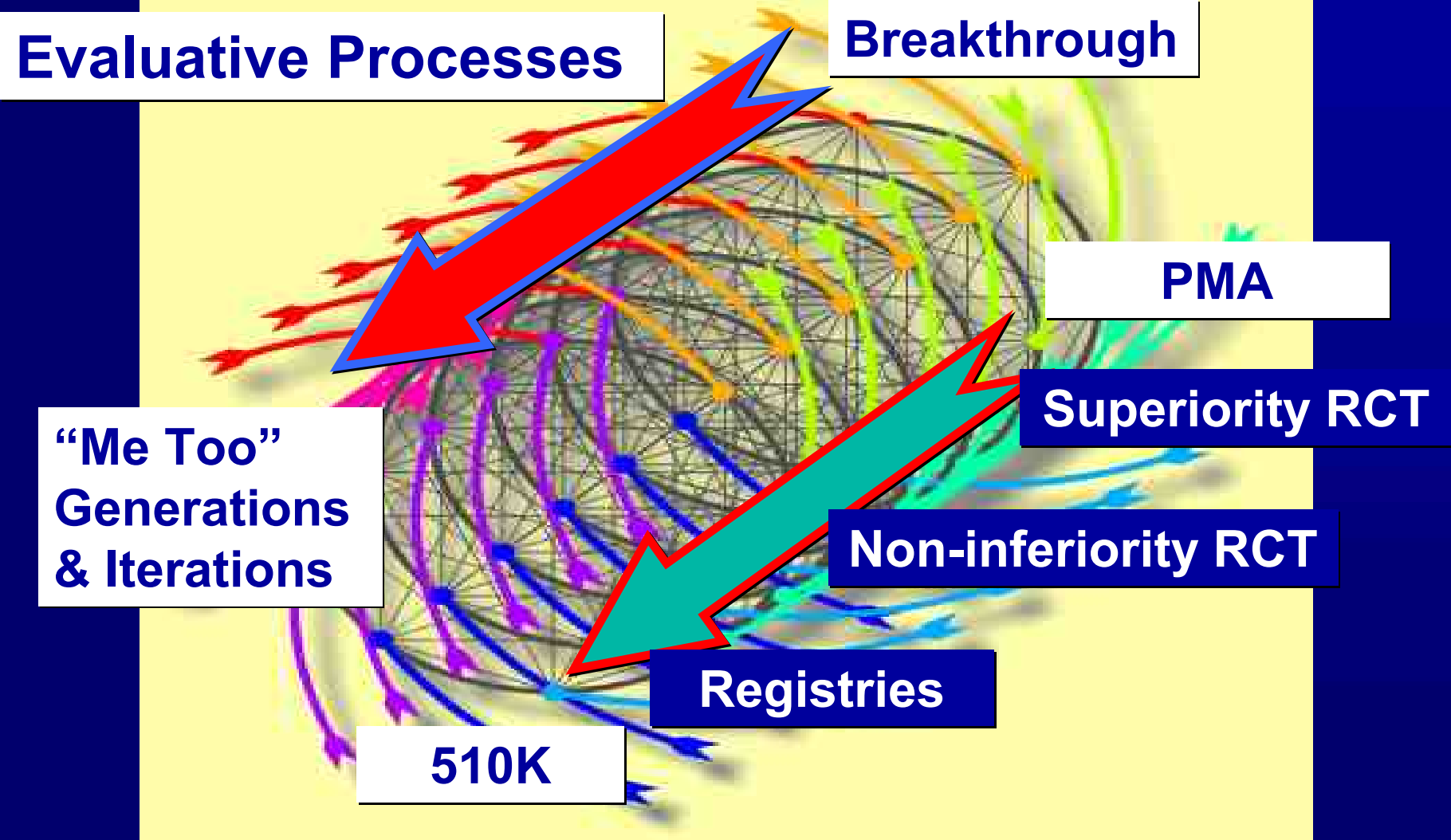
Total Product Life Cycle

Product Pipeline



Regulatory Issues in Cardiovascular Devices

Total Product Life Cycle



What's New in FDA DES Perspectives: 1997-2010



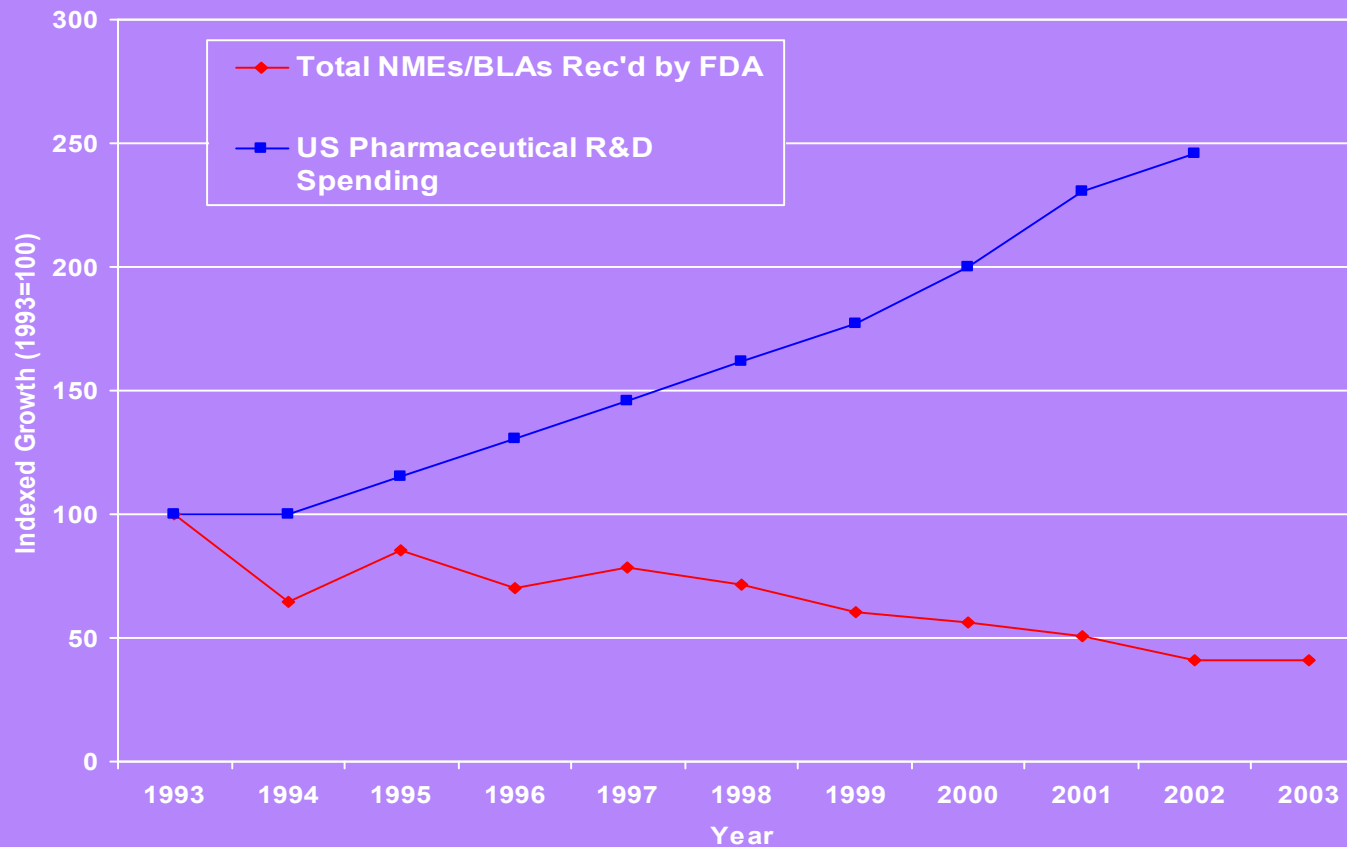
Pre-market
“reasonable assurance
of **safety** &
effectiveness”



1997: Food & Drug
Modernization Act (FDMA):
Pre-IDE dialogue
“Least Burdensome”

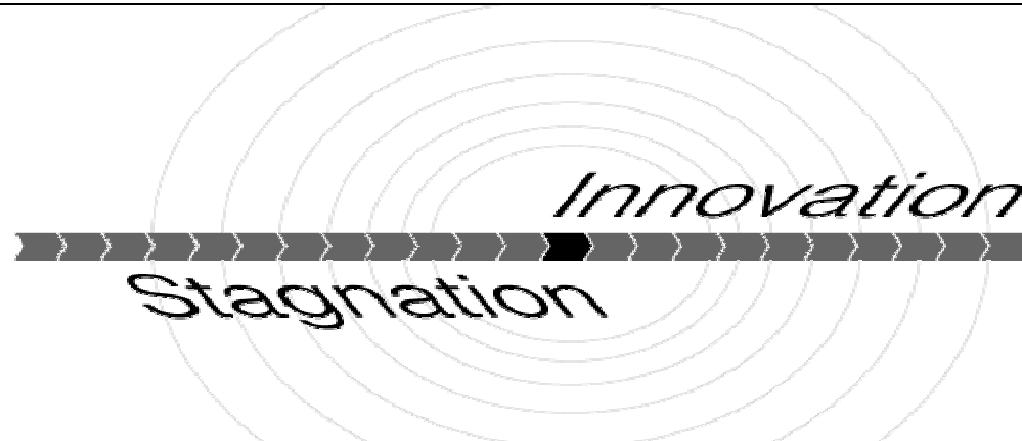
Rising Costs, Slowing Innovation

Trends in R&D Spending vs. New Approvals



Critical Path Initiatives: March 2004

<http://www.fda.gov/oc/initiatives/criticalpath/>



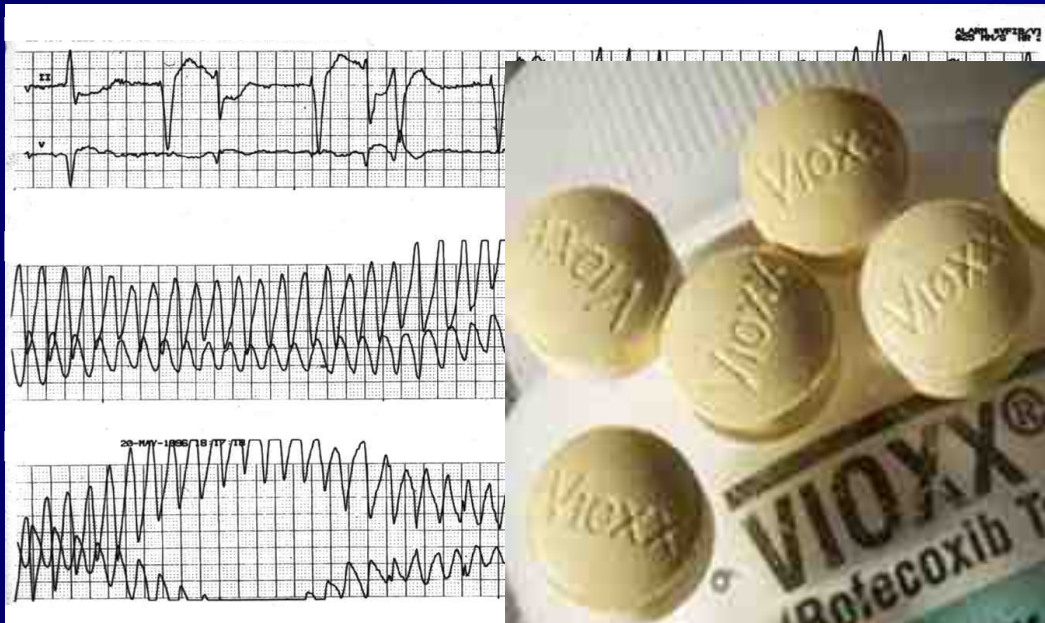
Challenge and Opportunity on the Critical Path to New Medical Products



U.S. Department of Health and Human Services
Food and Drug Administration
March 2004



Cardiac Safety: *Evaluating rare but catastrophic events.*



ANDREW POPPER FOR USN&WB



The poster features a heart icon and the text 'ess VS' and 'Cardiology 2006'. Below the heart icon, it says 'World Congress of Cardiology'. The main headline is 'Increase deaths?'. The text below the headline reads: 'obtain this data from the manufacturer," said Nordmann. He speculated that the increase in cancer might be due to a rapid impairment of the immune system. Yusuf widened the debate to include percutaneous coronary intervention (PCI). "The overuse of PCI is an insidious change in the culture of cardiology that needs to be reversed," he said. The use of PCI was established in MI, high-risk unstable angina and cardiogenic shock. However, its use in stable disease was a totally different question. "There's no beneficial influence on mortality - PCI does nothing to prevent heart attack. All we are doing is providing short-term relief of chest pain. It's not re-stenosis that kills but the



2006 FDA – Duke/DCRI Memorandum Of Understanding (MOU)



U.S. Food and Drug Administration



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FDA News

FOR IMMEDIATE RELEASE

PD6-147

September 27, 2006

Media Inquiries:

Press Office: 301-827-6242

Consumer Inquiries:

888-INFO-FDA

FDA and Duke Clinical Research Institute Form Partnership to Collaborate on Cardiac Safety Virtual

Cardiac Safety Research Consortium (CSRC)



CARDIAC SAFETY

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CARDIAC SAFETY



Medical Product Development Strategy Consensus White Papers

Progress in Cardiology



Cardiac Safety Research Consortium Conference Papers

New precompetitive paradigms: Focus on cardiac safety

John Finkle, MD,^a Daniel Bloomfield, MD,^b Kathleen Uhl, MD,^c Wendy Sanhai, PhD,^d Norman Stockbridge, MD,^e and Mitchell W. Krucoff, MD^f

For the last several years, there have been a decreasing number of new innovative medical therapies approved for use in the United States despite an increasing expenditure on medical product development.^{1,2} This situation has been compounded by an increasing aware-

ness of the need for new medical device development. By sharing data across industry, academia, and government agencies, large exploratory research projects of mutual interest become feasible because the costs can be shared. The research will be published and made available for



CSRC: Thinktank/Incubator “Signature” Programs

Cardiac Safety and the Critical Path Initiative Think Tank



Cardiac Safety and the Critical Pathway Initiative Think Tank - October 11, 2005

Registration: 7:00-8:00 am | Program: 8:00 am-4:30 pm

- Collect expertise
- Share ideas

Beyond thinktanks: Incubator environments to mobilize unique public health interest collaborations related to cardiac safety

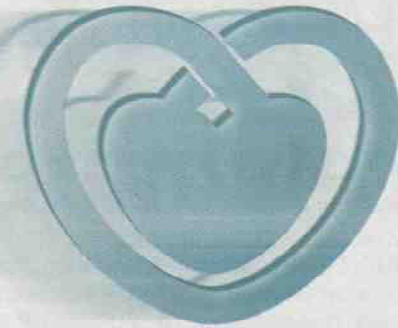
- Produce white papers

Pre-Session: Conference Host Welcome and Introduction
Session 1- Critical Path Initiative: Impact on Drug Safety



TUESDAY

ESC Congress News



WORLD HEART
FEDERATION*

World Congress of Cardiology 2006

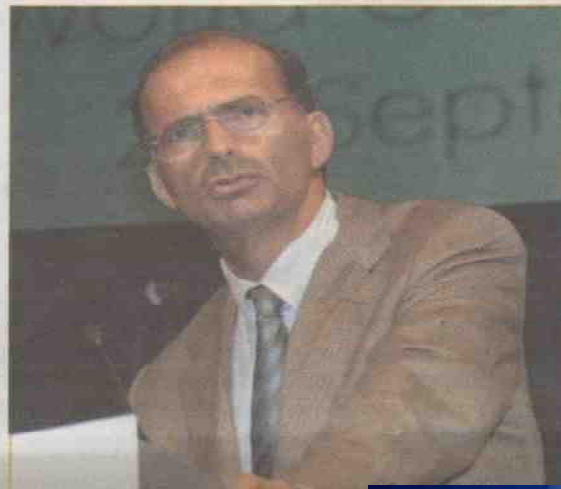
*The unique meeting of the European Society of Cardiology Congress 2006
and the World Heart Federation's XVth World Congress of Cardiology*



Do drug-eluting stents increase deaths?

TWO SEPARATE, independent meta-analyses, presented in Hot Line session I, suggest drug-eluting stents (DES) may increase death, Q-wave myocardial infarction (clinical surrogates of in-stent thrombosis) and cancer deaths, bringing the long-term safety of DES firmly into the spotlight. Discussant Salim Yusuf (McMaster University, Canada) hailed the data as one of the most important presentations to come out of this year's meeting.

"Six million people in the world have been implanted with DES, yet their long-term safety and efficacy is unknown," said Yusuf. "I've a feeling the data we're seeing today is only the tip of the iceberg. We need to encourage more public access to the data."



obtain this data from the manufacturer," said Nordmann. He speculated that the increase in cancer might be due to a rapid impairment of the immune system.

Yusuf widened the debate to include percutaneous coronary intervention (PCI). "The overuse of PCI is an insidious change in the culture of cardiology that needs to be reversed," he said. The use of PCI was established in MI, high-risk unstable angina and cardiogenic shock. However, its use in stable disease was a totally different question.

"There's no beneficial influence on mortality – PCI does nothing to prevent heart attack. All we are doing is providing short-term relief of chest pain. It's not re-stenosis that kills but the



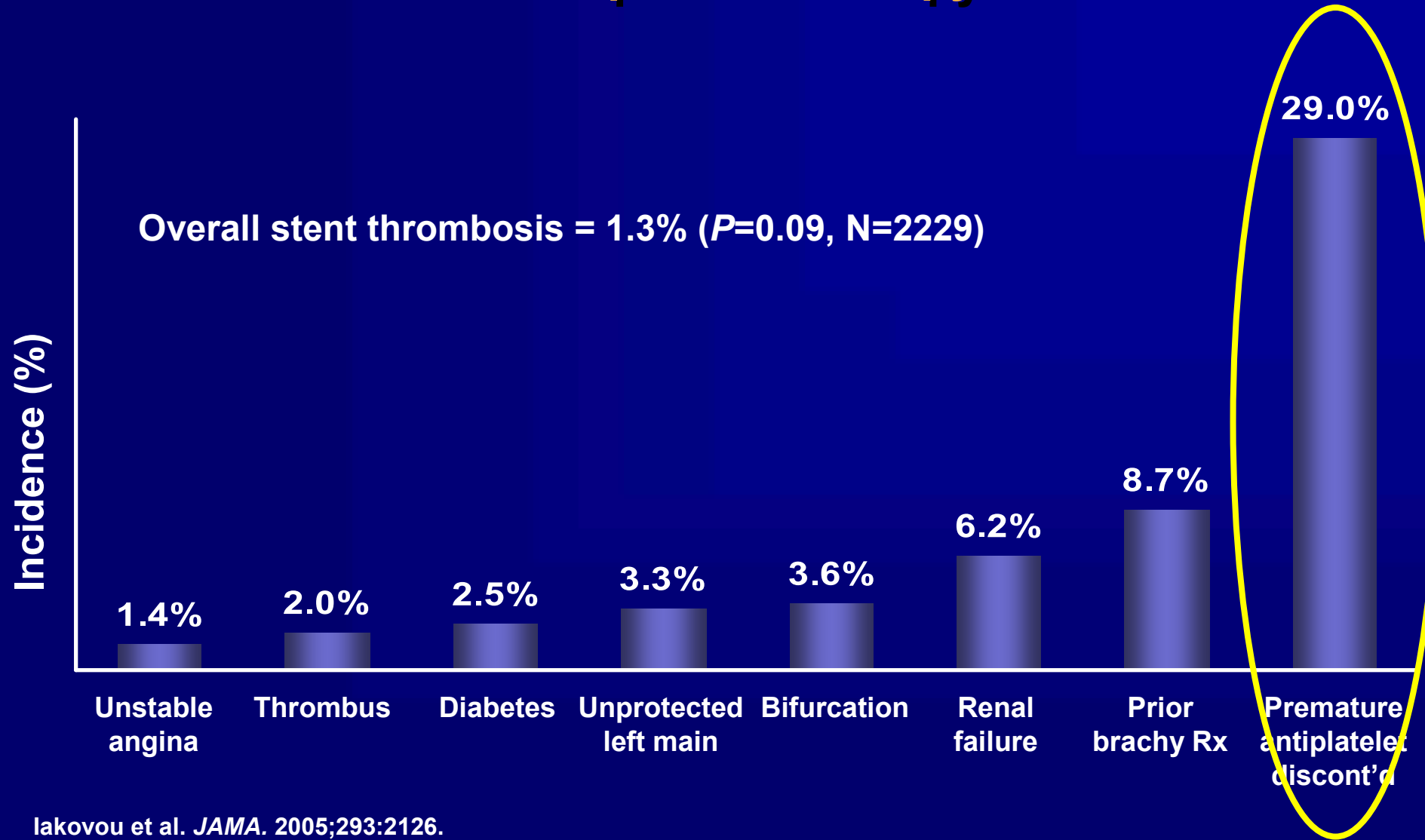
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CARDIAC SAFETY
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www.cardiac-safety.org



Discontinuation of Anti-platelet Therapy and Risk for ST



DES Thrombosis: A Novel Regulatory Domain: Obligatory Drug-Device Safety Interaction

■ ***DES:***

- Combination drug-on-device (Medical Device Reg)
- Studied in de-novo 1-vessel PCI to reduce restenosis

■ ***Clopidogrel/Prasugrel:***

- Thienopyridines (Drug Reg)
- Studied in ACS patients

■ ***Public health issue:***

- Crossover of drug-device “cultures”
- No clear regulatory predicate
- Combinations of new DES and new DAP
- Post-market “real world” population



CSRC

Obligatory Drug-Device Safety Research Programs



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DES & Extended Dual Antiplatelet Therapy: *February 2007, April 2007, September 2007*

Regulatory

- **FDA:**
 - **CDER**
 - **CDRH**
 - **Off Comm**
- **E.U.:**
 - **Austria**
 - **U.K.**
 - **Sweden**
- **Japan:**
 - **MHLW**
 - **PMDA**

Academia

- **Duke**
- **Harvard**
- **Cleveland Clinic**
- **Columbia**
- **U of NM**
- **Wash Hrt Ctr**
- **London School of Hyg & Trop Med**
- **CVPath**

Societies

- **ACC**
- **SCAI**
- **ESC**

Federal

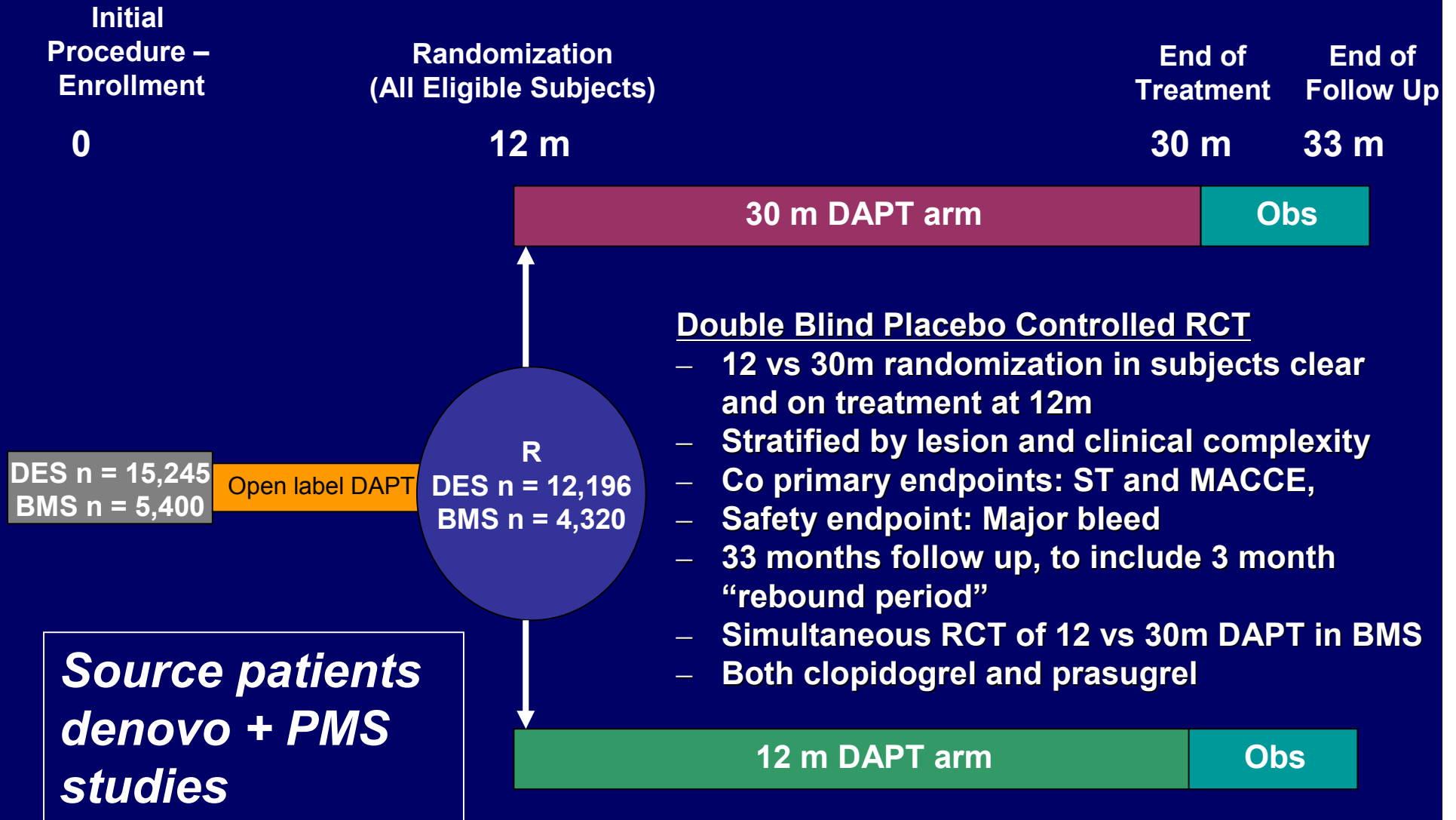
- **NIH**
- **AHRQ**

Industry

- **Abbott**
- **Medtronic**
- **BSCI**
- **Cordis/J&J**
- **Biosensors**
- **OrbusNeich**
- **Eli Lilly (Daichi)**
- **Sanofi**
- **BMS**



Dual Antiplatelet Therapy (DAPT) RCT



Excess Dosing of Antiplatelet and Antithrombin Agents in the Treatment of Non-ST-Segment Elevation Acute Coronary Syndromes

Karen P. Alexander, MD

Anita Y. Chen, MS

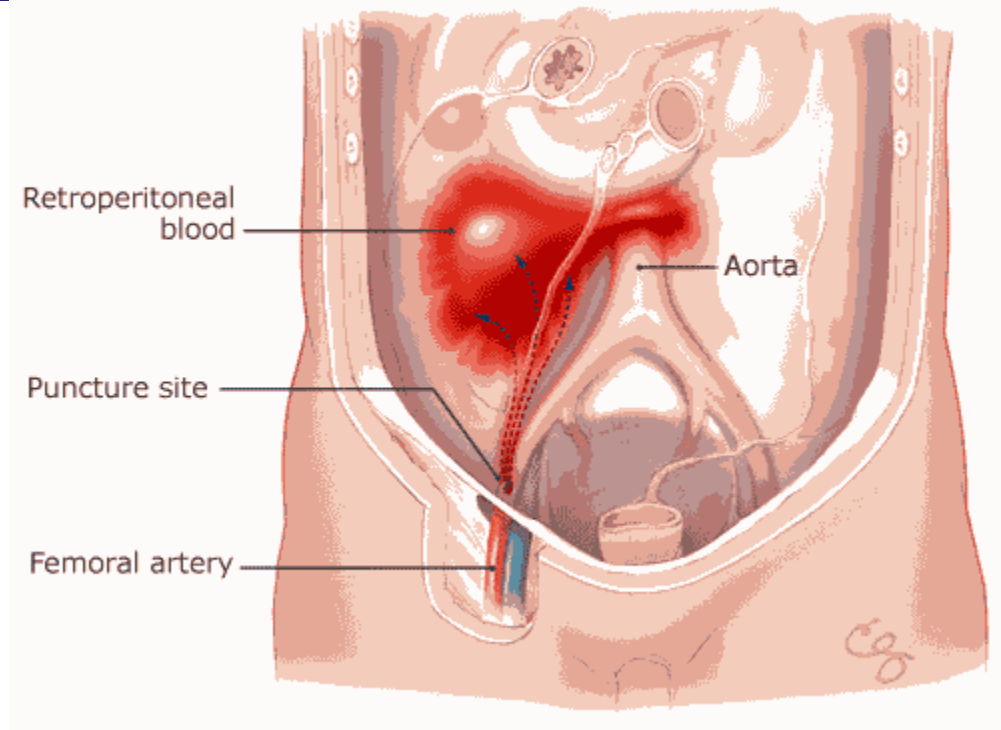
Context Effective medical care assumes delivery of appropriate patients with doses comparable to those

Medscape

www.medscape.com

Potency or Low Bleeding:

- Thienopyridines
- Anti-coagulants
 - unfractionated heparin
 - LMWH
 - direct thrombin inhibitors
- IIb/IIIa inhibitors
- Novel anti-platelet inhibitors
- ASA



Treatment was stratified by 5 age groups (<65 years, 65-74 years, and ≥75 years), $P < .001$ for all 3 treatment groups. Standard errors are all less than 1.5%. Numbers provided are the individuals receiving treatment with available dosing in each age group.

Source: Alexander, K, Chen A, Rowe M, et al JAMA Dec. 28, 2005 Vol 294, No. 24

Cardiac Safety Research Consortium

Duke Clinical Research Institute (DCRI)



Shifting the Balance of Potency and Bleeding Risk for Anti-Coagulant and Anti-Platelet Agents Through Radial Arteriotomy:

An Obligatory Drug-Device Safety Interaction Cardiac Safety Critical Path
Thinktank/Incubator

23-June, 2010
Washington D.C.
FDA Headquarters

With intellectual and program planning support from the (alphabetical) Academic Research Consortium (ARC), the American College of Cardiology (ACC), the Cardiac Safety Research Consortium (CSRC), the Duke Clinical Research Institute (DCRI), the Society of Coronary Angiography and Intervention (SCAI) and the United States Food and Drug Administration (FDA).

- Cleveland Clinic
- Columbia University
- Duke University
- Harvard
- Johns Hopkins
- Washington Heart Ctr
- U.S. FDA
- AHRQ
- NIH
- ACC
- SCAI
- SOLACI
- ESC



Global Harmonization Task Force



Working Towards Harmonization
in Medical Device Regulation

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[Study Groups](#)

[GHTF Documents](#)

[Meetings & Training](#)

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[GHTF > Study Groups](#)



Study Groups

Global Harmonization Task Force (GHTF) Study Groups are established to address premarket through postmarket medical device issues.

- [Study Group 1 - Premarket Evaluation](#)
- [Study Group 2 - Post-Market Surveillance/Vigilance](#)
- [Study Group 3 - Quality Systems](#)
- [Study Group 4 - Auditing](#)
- [Study Group 5 - Clinical Safety/Performance](#)

▪ Need for human studies

▪ Pre-market

▪ Post-market

▪ Modality for intellectual convergence

▪ Pragmatic focus

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www.ghtf.org



[FDA](#) > [CDRH](#) > [International Issues](#) > Japan - U.S. "Harmonization By Doing" HBD Pilot Program Initiative

Japan - U.S. "Harmonization By Doing" HBD Pilot Program Initiative



DukeMedicine

Duke Medicine News & Communications
(919) 684-4148
<http://news.dukemedicine.org>

Contact: Debbe Geiger
Phone: 919-660-9461
E-mail: debbe.geiger@duke.edu

PMDA OIP Director and Duke Professor Named First Co-Directors of Japan-USA Harmonization By Doing Program

DURHAM, NC (March 10, 2010) – Reflecting a significant step forward in operating structure, the Japan-USA Harmonization By Doing (HBD) program announced the organization's first co-directors: Toshiyoshi Tominaga, Ph.D., director of the Office of International Programs (OIP) of Pharmaceuticals and Medical Devices Agency (PMDA) in Japan and Mitchell W. Krucoff, M.D., professor of cardiology at Duke University Medical Center and director of the Cardiovascular Devices Unit for the Duke Clinical Research Institute (DCRI).



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<http://www.fda.gov/cdrh/international/hbdpilot.html>



The "Collaborative Scheme" for parallel medical device development



Medical Devices

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Device Advice: Device Regulation and Guidance

International Information (Medical Devices)

Important New Changes to Canadian Regulatory Quality Systems Requirements

▶ [Japan - U.S. "Harmonization By Doing" HBD Pilot Program Initiative](#)

[Contacts](#)

Japan - U.S. "Harmonization By Doing" HBD Pilot Program Initiative

ANNOUNCEMENT (June 23, 2009): U.S. – Japan Pilot Program Regarding Medical Device Collaborative Consultation and Review of Premarketing Applications

Japan MHLW/PMDA and U.S. FDA announced this week the launch of a bilateral pilot program on collaborative consultation and review of new cardiovascular devices. The goal of the pilot program is to advance both the speed and quality of clinical/statistical consultations and the regulatory review process for potential earlier market access and improved public health benefit. This collaboration would permit the scientific review staff of both MHLW/PMDA and FDA to discuss the contents of an individual submission in order to gain valuable regulatory information pertaining to device development and clinical trial design.

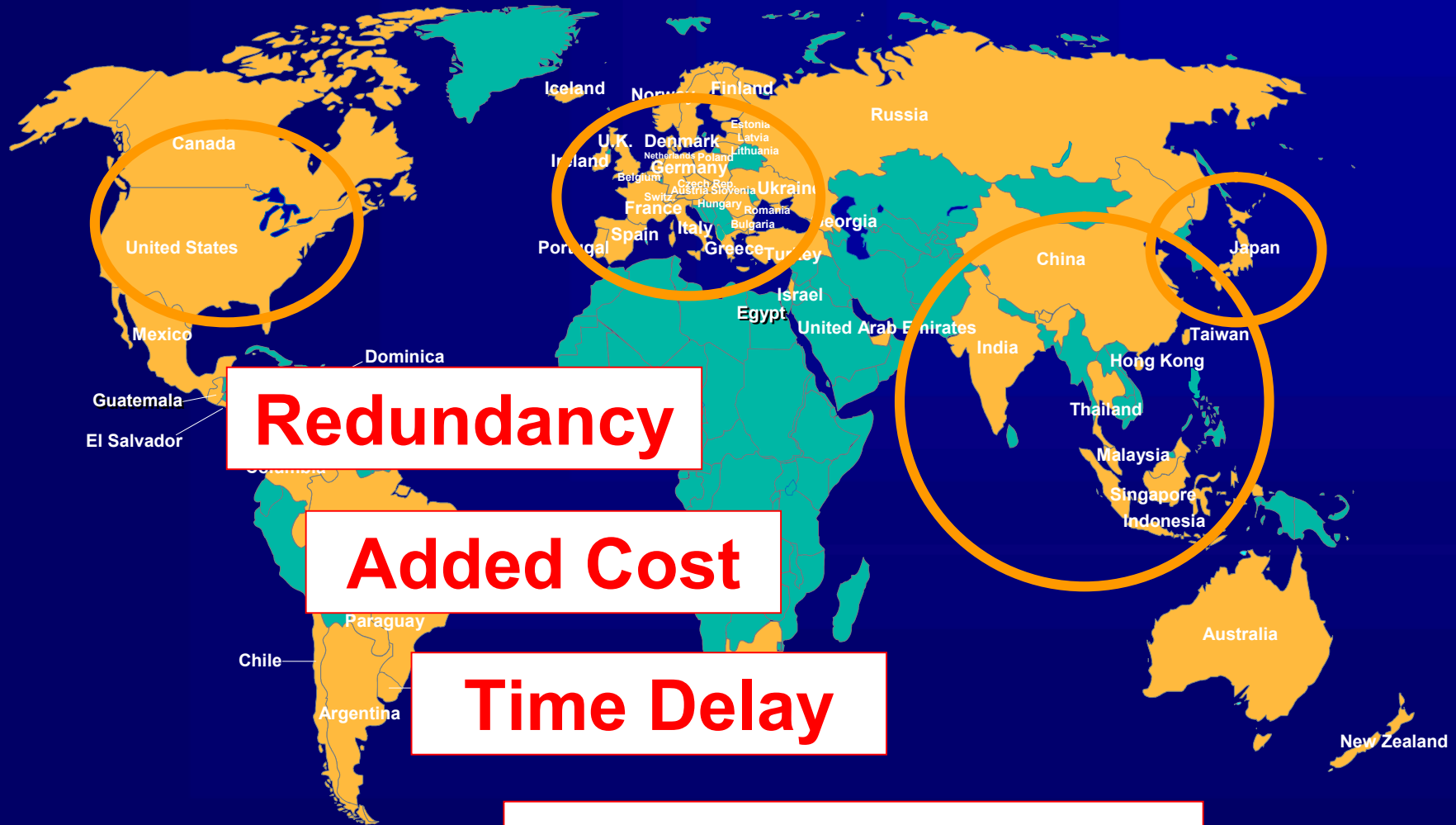
The Collaborative Scheme is one of several focused topics that will be discussed at the upcoming [Japan-US Harmonization By Doing \(HBD\) West 2009 Meeting, July 16-17](#) at the FDA White Oak Campus.

- [FDA announcement \(English\)](#)
- [MHLW announcement \(*tsuchi*\) \(Japanese\)](#)

<http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/InternationalInformation/ucm053067.htm>



Clinical Evaluation of New Medical Devices



Global Clinical Evaluation



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Conclusions: FDA Regulatory Perspective

Why Should Doctors Care?

- **Regulatory processes need clinical insight to better serve the public health**
- **The more the scientific mission of professional societies, regulatory authorities and manufacturers is the same—to provide quick access to better, safer therapies—the “less burdensome” to all.**



Conclusions: FDA Regulatory Perspective

Why Should Doctors Care?

- **Patients & patient care**
- **Economy**
- **Technical insights**
- **Professional (global) collaborations**
- **More efficient, informative clinical trials science**



Innovations In Interventional Cardiology: The U.S Perspective (FDA Perspectives on Device Approval)

Mitchell W. Krucoff MD, FACC

**Professor of Medicine / Cardiology
Duke University Medical Center
Director, Cardiovascular Devices Unit
Duke Clinical Research Institute**

