

# PCI for Carotid Artery Stenosis



# Natural Incidence of CVA In Carotid Stenosis

- **Asymptomatic 80% carotid stenosis**
  - 6% / year
- **Symptomatic carotid stenosis**
  - 10% / year
  - 40% / 5 years

**Why should we open ?**

**Carotid End-Arterectomy  
vs.  
Medical Therapy**



# Carotid End-arterectomy

3,061 CEA during a 10-year period

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	Stroke	Death	Stroke, MI, Death
High Risk Patients	3.5%	4.4%	7.4%
Low Risk Patients	1.7%	0.3%	2.9%

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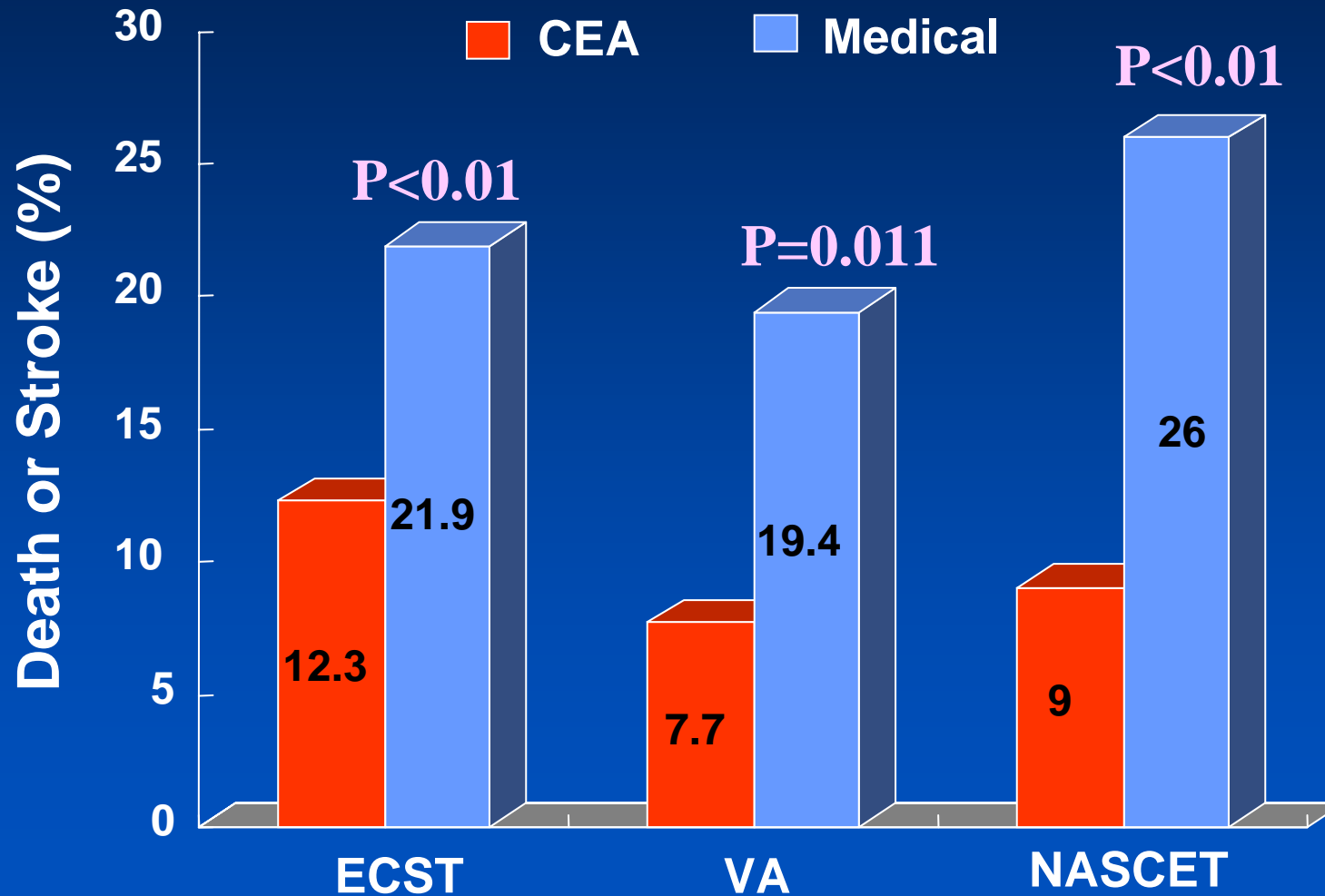
\* **High risk patients:** severe coronary disease, COPD, renal insufficiency

*Ouriel K, et al. J Vasc Surg 2001;33:728*



# Endarterectomy (CEA) vs. Medication

## Symptomatic Patients



# CEA vs. Medication

## Asymptomatic Patients

### MRC Asymptomatic Carotid Surgery Trial (ACST)

- Total 3,120 asymptomatic patients
- Randomized to CEA vs medical therapy or deferred surgery
- Inclusion Criteria:  $\geq 60\%$  stenosis on ultrasound
- 30 Countries, 126 Hospitals
- In the immediate CEA patients (n=1,560)
  - 2.8% of perioperative stroke or death

Lancet 2004;363:1491



# CEA vs. Medication

	Allocated immediate CEA (n=1560)	Allocated deferral of any CEA (n=1560)
<b>Mean F/U during first 5 years (years)</b>	3.4	3.4
<b>Carotid strokes</b> %(fatal+disabling+non-disabling)		
Ipsilateral	13 (3+4+6)	62 (24+11+27)
Contralateral	11 (3+3+5)	35 (9+8+18)
Unknown laterality	6 (5+0+1)	8 (6+0+2)
Subtotal	30 (11+7+12)	105 (39+19+47)
<b>Other strokes</b> %(fatal+disabling+non-disabling)		
Ischaemic vertebrobasilar	8 (1+1+6)	8 (1+0+7)
Haemorrhagic	4 (0+2+2)	7 (4+0+3)
Subtotal	12 (1+3+8)	15 (5+0+10)
<b>Total</b>	42 (12+10+20)	120 (44+19+57)
<b>5-year risk of stroke</b>	3.8%	11.0%

Lancet 2004;363:1491

ANGIOPLASTY SUMMIT

# CEA vs. Medical Rx

## Asymptomatic Patients

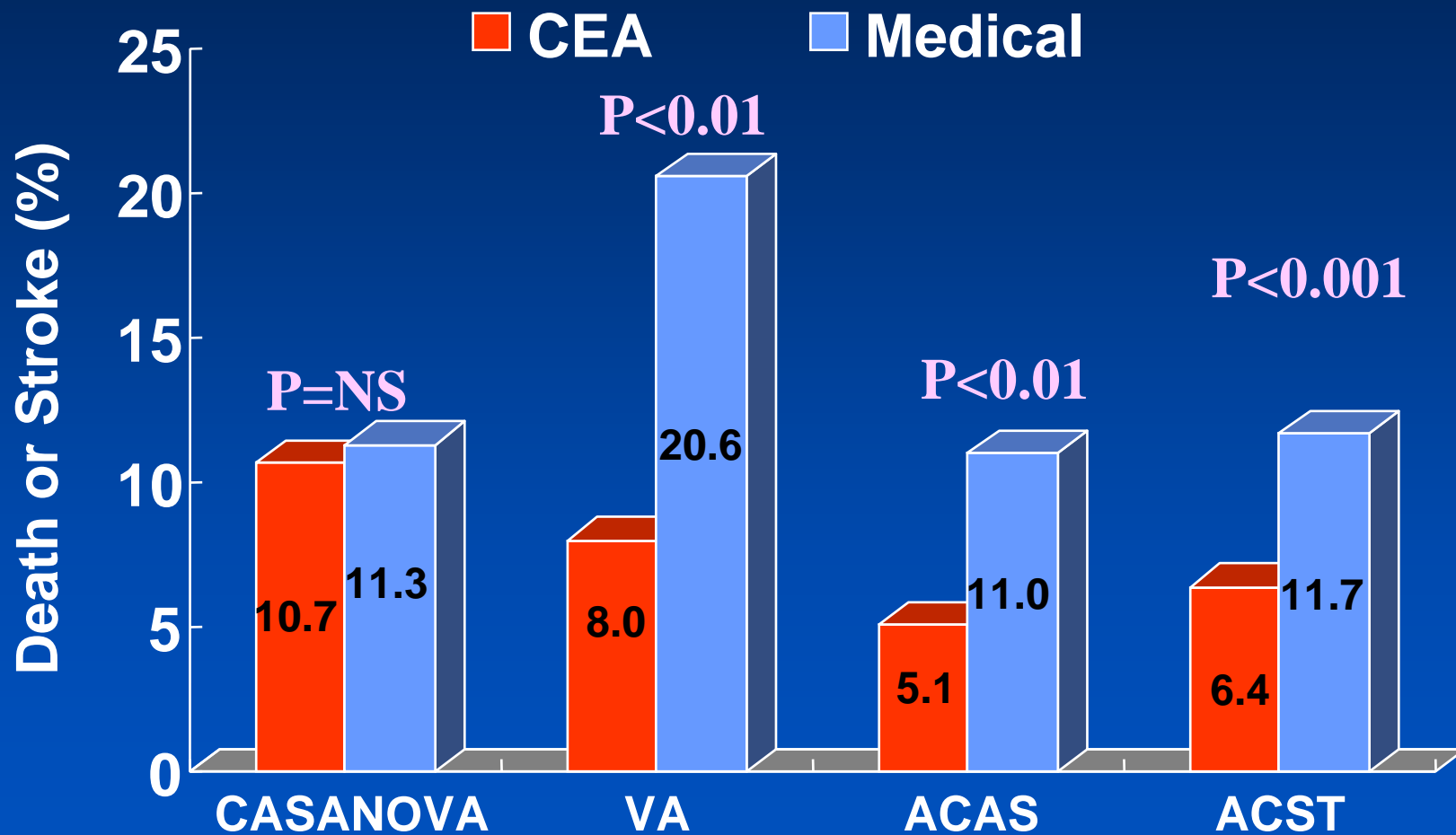
	Perioperative Stroke/Death	Annual Risk of Stroke in Medical Rx
ACAS	2.3%	2.2%
ACST	2.8%	2.3%

Revascularization risk should be similar to annual stroke risk with medical treatment



# CEA vs. Medication

## Summary of Asymptomatic Stenosis



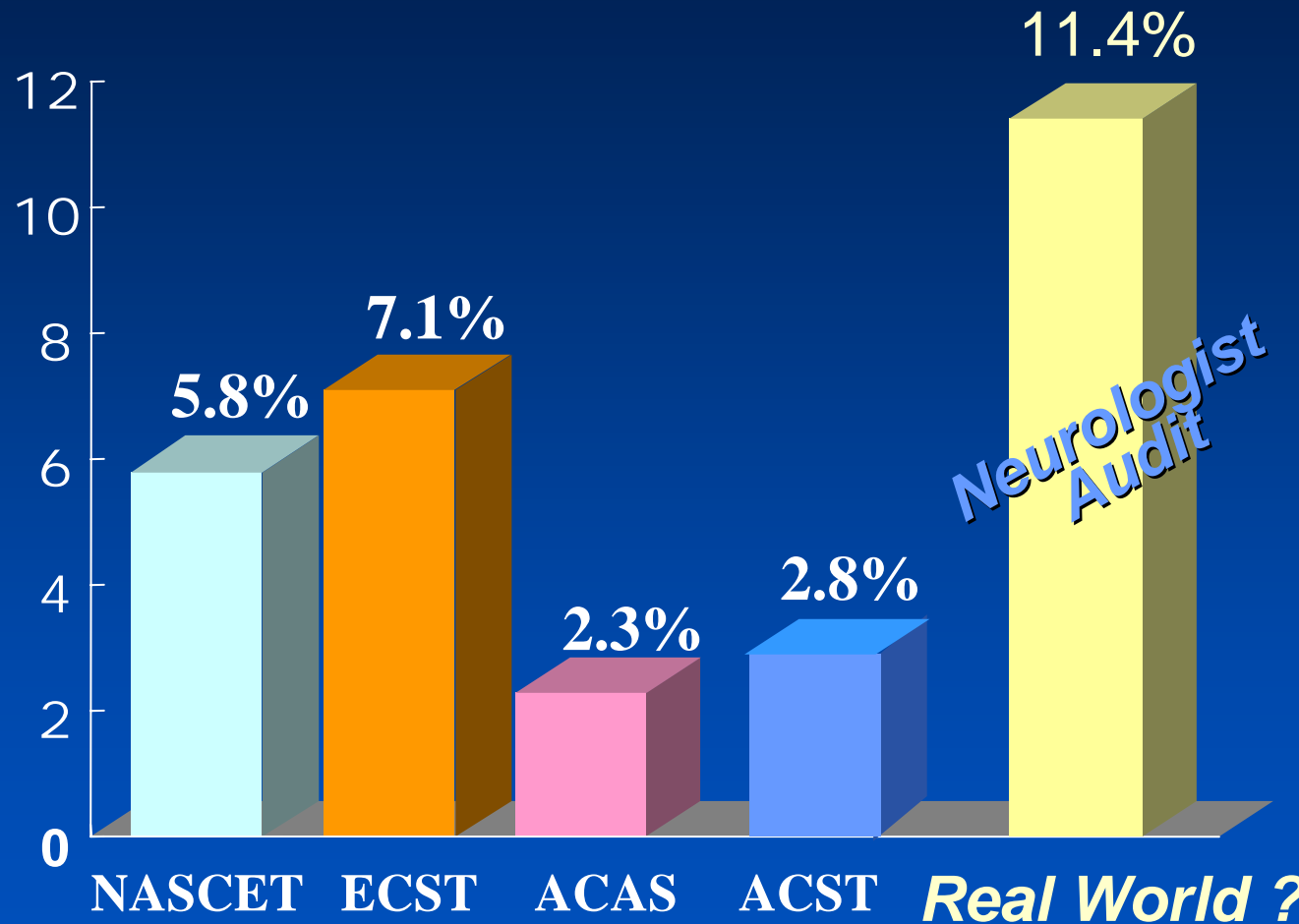
# Limitations of CEA

- Perioperative stroke for low risk patients: ~6%
- Anatomic considerations
- Cranial nerve palsies: 7~27%
- Restenosis: ~15%
- > 50% have severe coronary artery disease

# Carotid Stenting



# Death or Stroke after CEA



Chaturverdi, Neurology 2001 Sep  
MRC ACST Collaborative group, Lancet 2004

# Carotid Stenting

## Potential Benefits

- Reduced complication rates
- Less invasive
- Can reach essentially all blockages
- Very low restenosis rate
- Rapid return to daily life

# Current Contraindications of Carotid Stenting

- Severely tortuous, calcified and atheromatous aortic arch vessels
- Pedunculated thrombus at the lesion site
- Recent stroke  $\leq$  3 weeks  
should be placed on anticoagulants and antiplatelets for 1 month
- Unable to tolerate antiplatelet agents

# Carotid Stenting Without Protection



# Success & Complications Rates

## Carotid Stenting

Study	Setting	No	Success Rate	Stroke & TIA*	Death
Roubin (1996)	High risk	146	99 %	6.2 %	0.7 %
Shawl (2000)	High risk	170	99 %	2.9 %	0 %
Wholey (2000)	Registry	5129	98.4 %	4.2 %	0.8 %
Roubin (2001)	High risk	428	99 %	4.6 %	0.2 %

\* Major stroke < 1%



# Complication Rates

## Carotid Stenting

**N=4,757 pts, 36 major carotid centers, 1988-1997**

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<b>TIA's</b>	<b>2.82 %</b>
<b>Minor Stroke</b>	<b>2.72 %</b>
<b>Major stroke</b>	<b>1.49 %</b>
<b>Deaths</b>	<b>0.86 %</b>
<b>Total stroke &amp; death</b>	<b>6.29 %</b>

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**6-mo ISR = 1.99%**

**12-mo ISR = 3.46%**

Wholey MH, et al. CCI 2000;50:160



**Why distal protection ?**

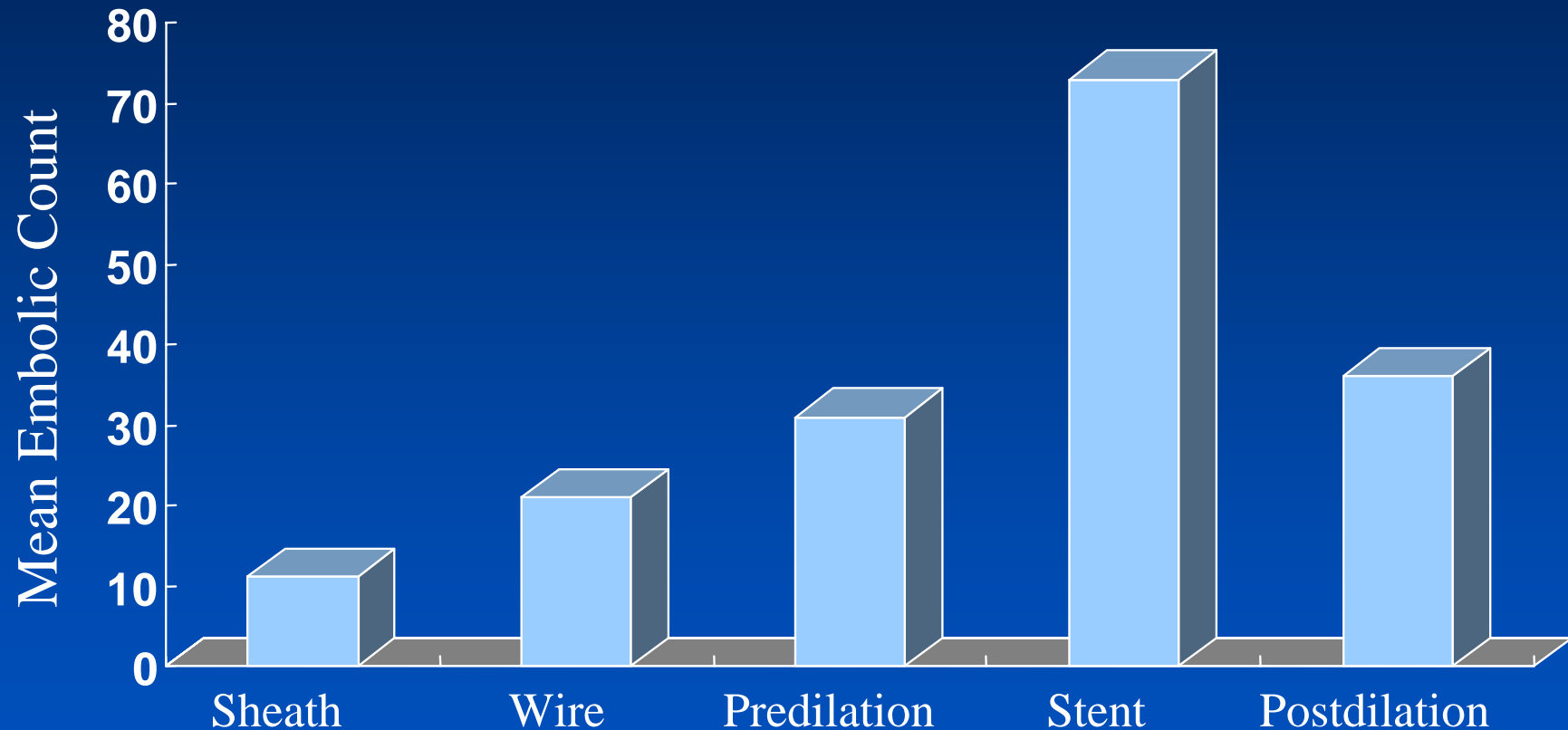
**Carotid Stenting  
With Protection**

# Cerebral Embolization

## Susceptible High Risk Lesions

- Unstable plaque
  - : break down of fibrous cap
- Soft plaque
- Long stenosis string sign
  - : contain thrombus

# Microembolization Profile



Al-Mubarak N, et al. *Circulation* 2001;104:1999



# Embolic Complications of Stenting

## Periprocedural

- **Angiography** → Rare
- **Access** → Rare
- **Wire Crossing** → Rare if coronary wire
- **Balloon Dilatation** → Rare
- **Stent Placement** → Potential and unpredictable
- **Post Dilatation** → Potential and unpredictable

## Postprocedural

→ Rare

# Protection of Distal Embolization

- Use cerebral protection device
- No pre-dilatation with a peripheral balloon
- No oversizing of balloon
- Never use high pressures
- Never try to dilate the stent to in ulcerated area external to the stent

# Predictors of stroke

## Multivariate analysis

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<b>30 days outcomes</b>		<b><i>P</i> value</b>
<b>Minor stroke</b>	<b>Protection(-)</b>	<b>0.0182</b>
	<b>Hypertension</b>	<b>0.0216</b>
<b>Major stroke</b>	<b>Protection(-)</b>	<b>0.0892</b>
	<b>Age&gt;80 yrs</b>	<b>&lt;0.0001</b>
<b>Fatal stroke</b>	<b>Protection(-)</b>	<b>0.0892</b>
	<b>Prior TIA</b>	<b>0.0320</b>
<b>All stroke</b>	<b>Protection(-)</b>	<b>0.0009</b>
	<b>Hypertension</b>	<b>0.0102</b>
	<b>Age&gt;80 yrs</b>	<b>0.0081</b>
	<b>Prior CEA</b>	<b>0.0822</b>

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*AET 2003*



# Effect of Cerebral Protection

	Cerebral Protection	
	No (n=102)	Yes (n=142)
<b>TCD-HITS</b>	<b>100%</b>	<b>100%</b>
<b>DW-MRI</b>	<b>29%</b>	<b>7.1%</b>
<b>TIA</b>	<b>8%</b>	<b>2.7%</b>
<b>Stroke</b>	<b>3%</b>	<b>1.3%</b>
<b>TIA + Stroke</b>	<b>11%</b>	<b>4%</b>

*\* Protection devices: Angioguard<sup>®</sup>, PercuSurge<sup>®</sup> & EPI*

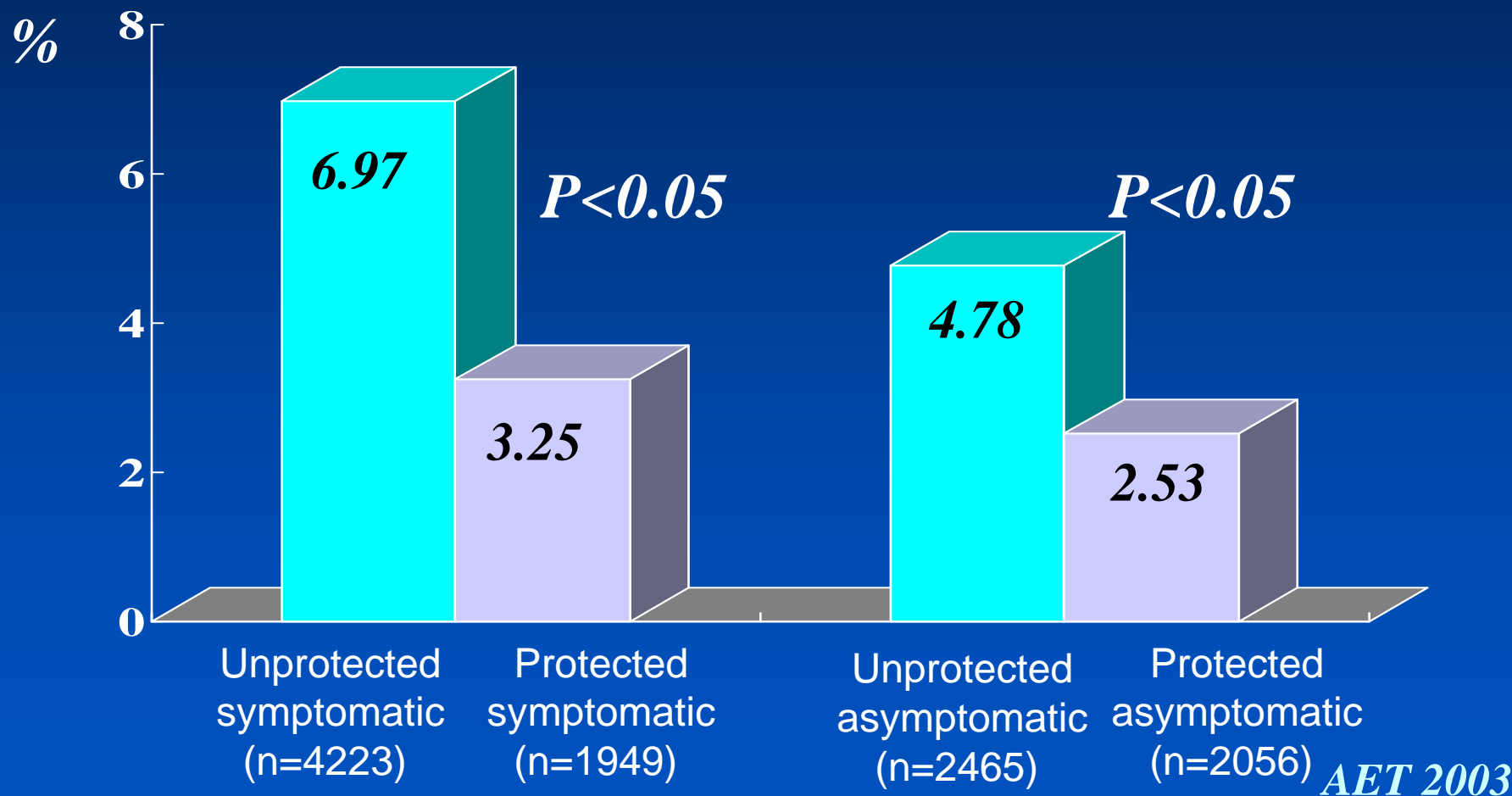
*K. Mathias et al, AJNR 2001*



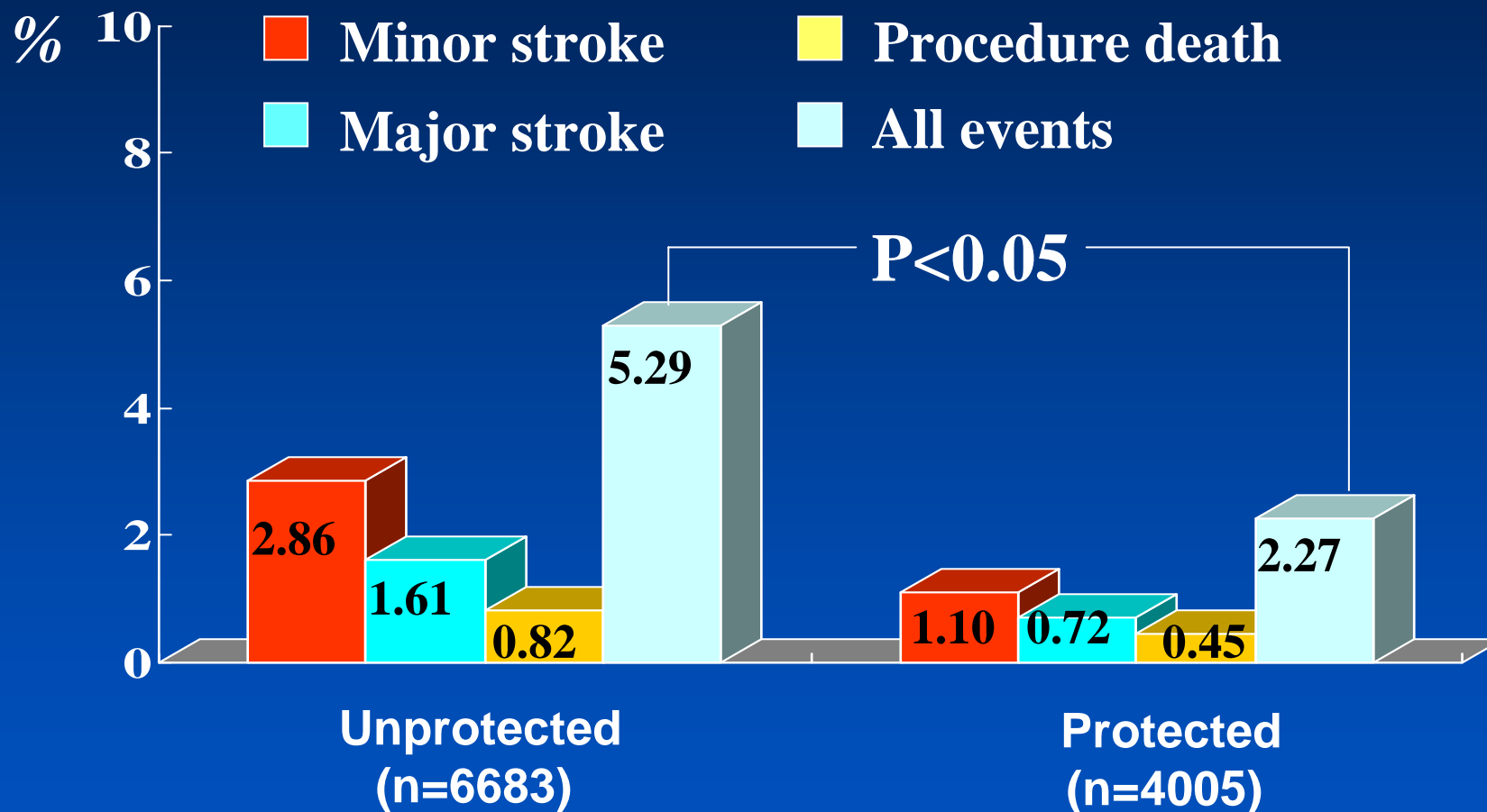


# Periprocedural Outcomes With or Without Protection

All events: minor, major stroke, & all cause death



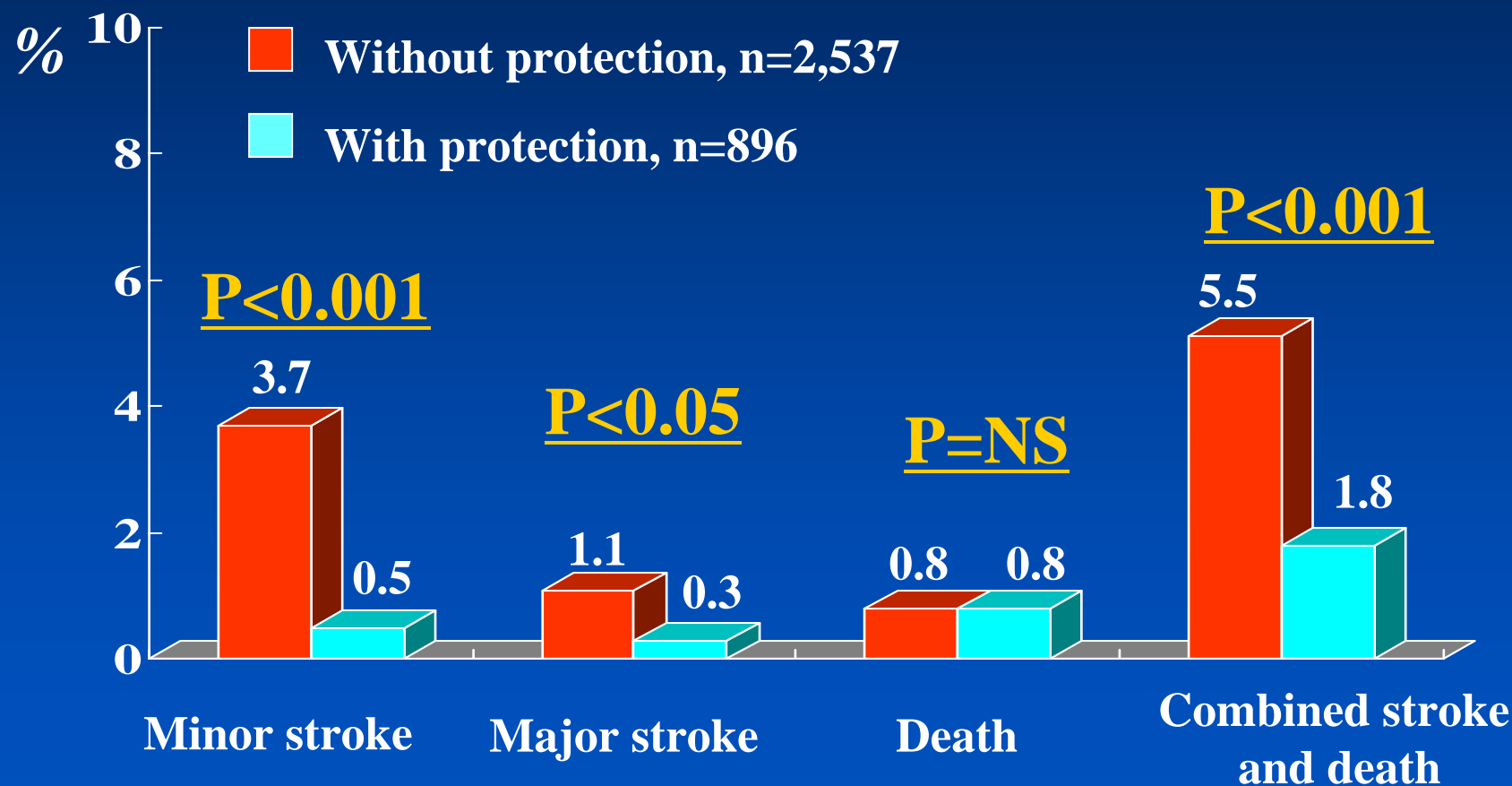
# Periprocedural Outcomes With or Without Protection



*AET 2003*

# 30-Day Outcomes With or Without Protection

54 studies about carotid stenosis



*Stroke* 2003;34:813-819

# The Ideal Protection System

- Does not cause harm
  - Complete protection
  - Capture efficiency
- Protection at all time for all particles
- Wide applicability
- User friendly

# Distal Protection Devices

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Distal occlusion

Theron balloon  
PercuSurge Guardwire

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Filter

MedNova NeuroShield  
EPI filter  
Angioguard filter  
Medtronic filter  
BSC Captura  
Bate's Floating Filter  
Accu-Filter  
E-Trap  
Microvena Trap

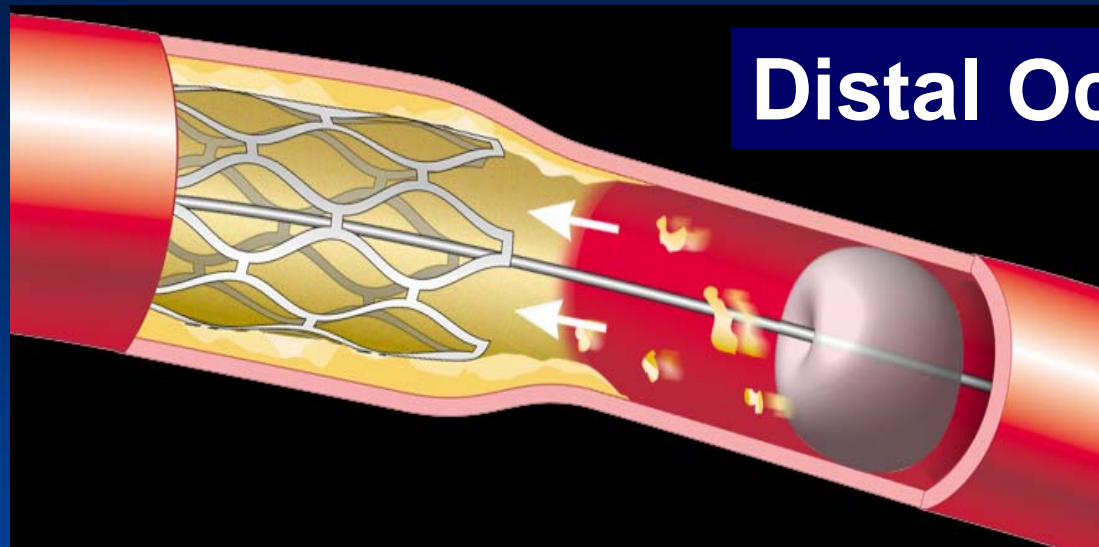
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Proximal occlusion

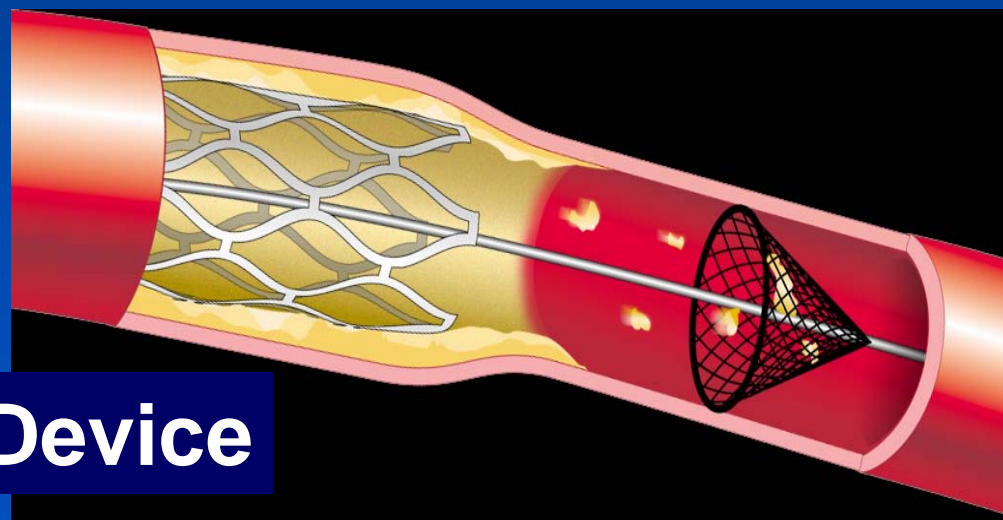
Kachel balloon  
ArteriA Parodi Catheter

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# Distal Protection Devices

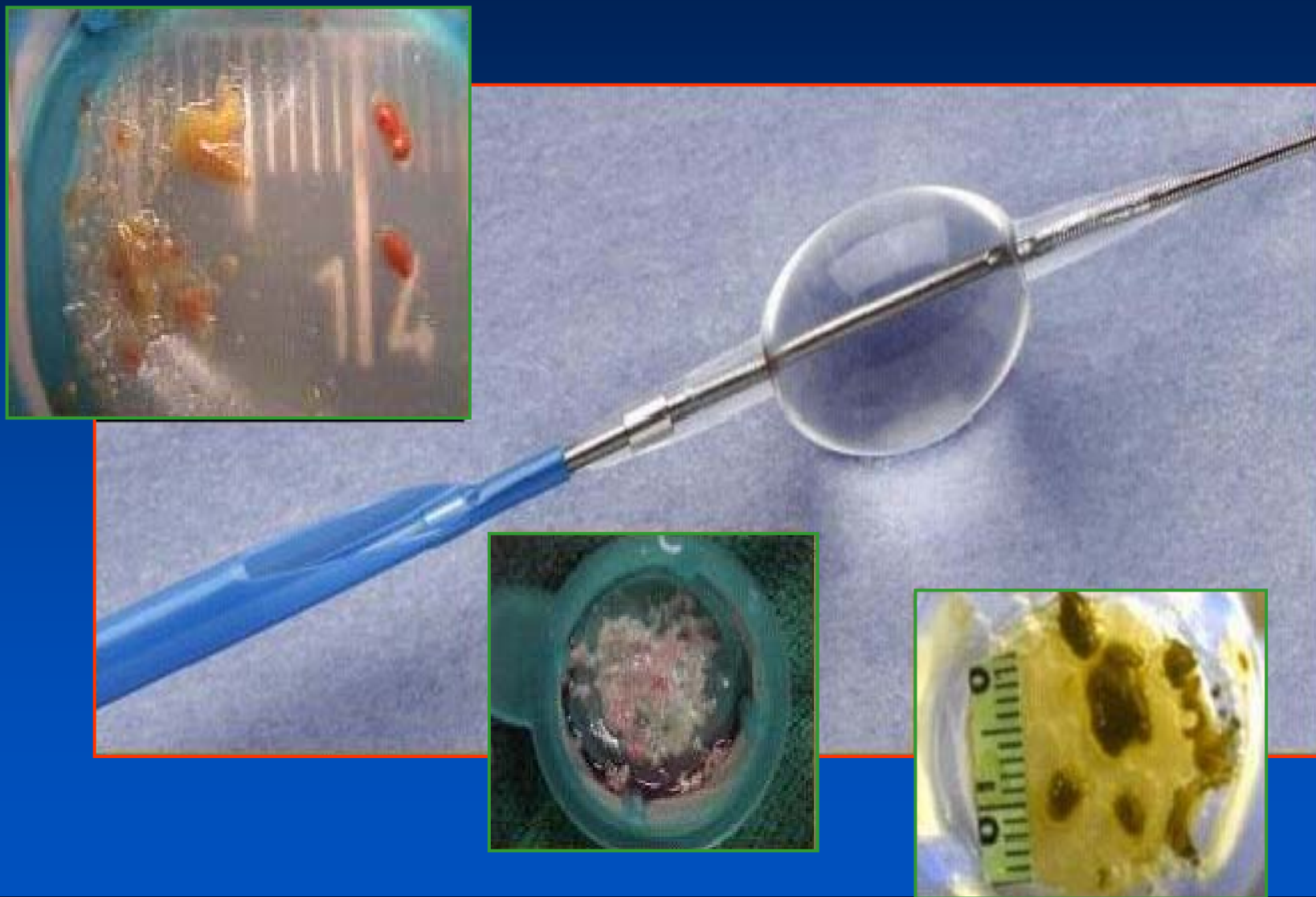


**Distal Occlusion Device**



**Filter Device**

# PercuSurge GUARDWIRE™



# PercuSurge GUARDWIRE™

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**GuardWire™**

**PERCUSURGE, Inc**

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System

0.014

Crossing Profile

0.036" (3-6mm),  
0.028" (2-5mm)

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**The Export® Aspiration  
Catheter**

**PERCUSURGE, Inc**

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Total Length

137 cm

RX shaft design

3.5 x 4.5F distal OD

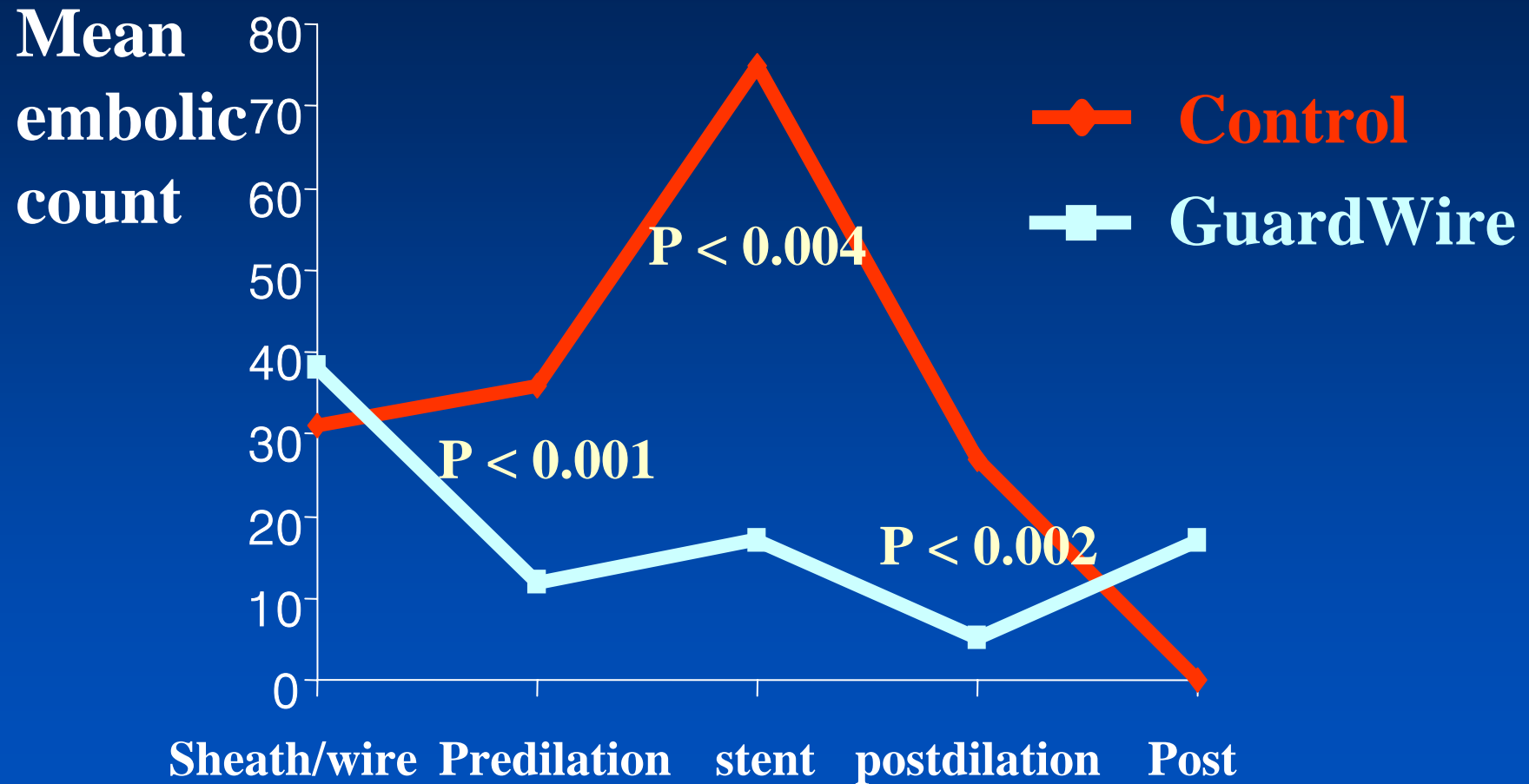
Aspiration system

20cc locking syringe

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# PercuSurge GUARDWIRE™



*Al-Mubarak et al, Circulation, 2001*

# Protection with PercuSurge GuardWire

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Number	179
Technical success	99.3 %
Overall mean balloon time (sec)	410 ± 220
<b>30-day stroke rate</b>	<b>6 (2.3 %)</b>
Minor stroke (TIA, retinal embolism)	4 (1.5%)
Major stroke	1 (0.4%)
Death (cardiac)	1 (0.4%)
36-month event (stroke & death )-free survival	97%
Death (AMI, stroke, cancer)	4 (1.5%)

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*Catheter Cardiovasc interv 2004;61:293-305*



# Distal Occlusion Balloon

## Strength

- Mimics standard guidewire more than any filters
- Ability to cross lesion
- Particles of all sizes can be blocked (ICA)

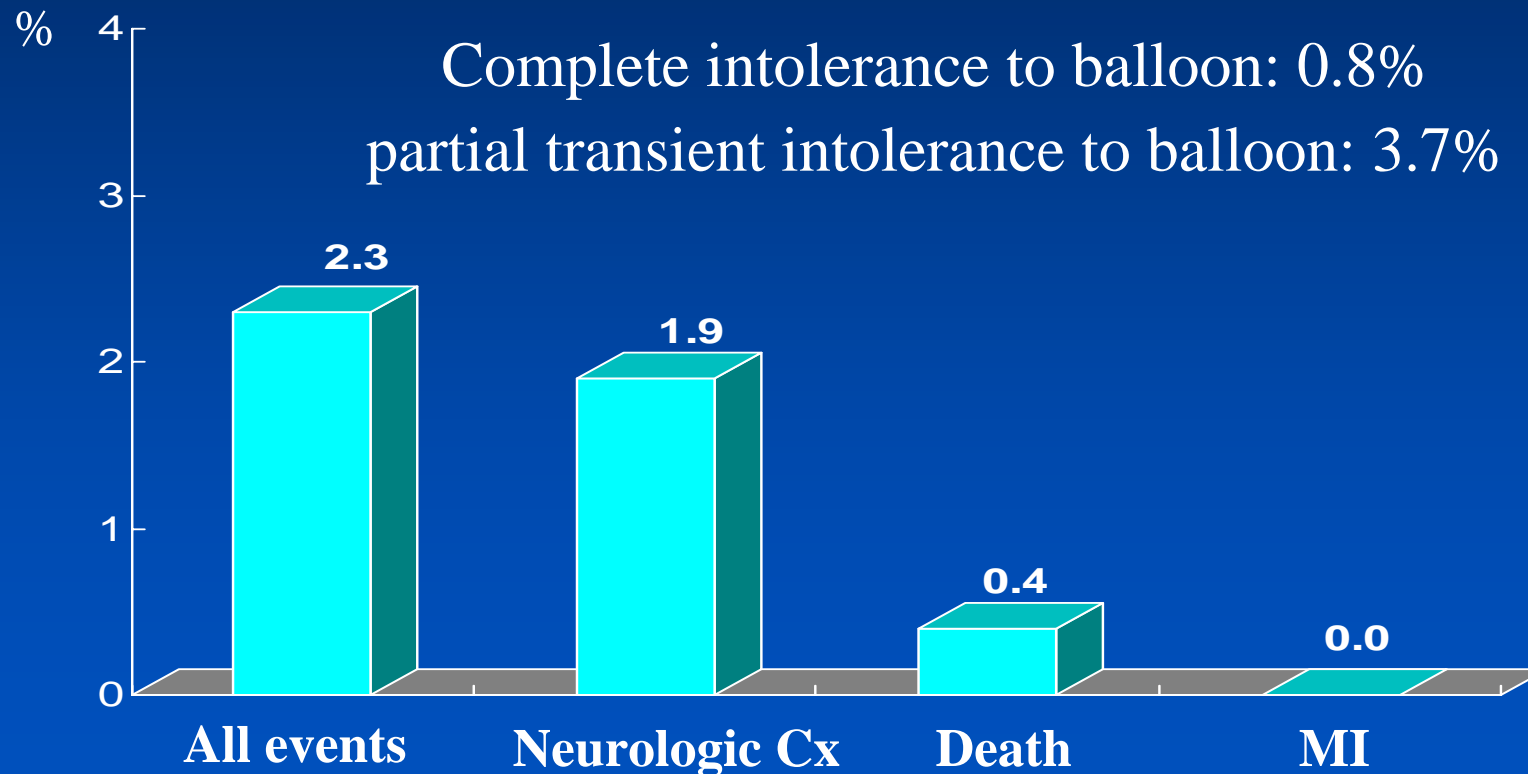
# Distal Occlusion balloon

## Weakness

- Unprotected
  - 1) During passage
  - 2) ECA
  - 3) Incomplete suction
- Does not preserve ICA flow (can't be angiogram)
- May cause spasm/dissection in distal ICA
- Cumbersome procedure (cannot move wire during exchange, several added steps, aspiration)

# Outcomes at 30 Days

246 patients (272 lesions)  
with Percusurge GuardWire

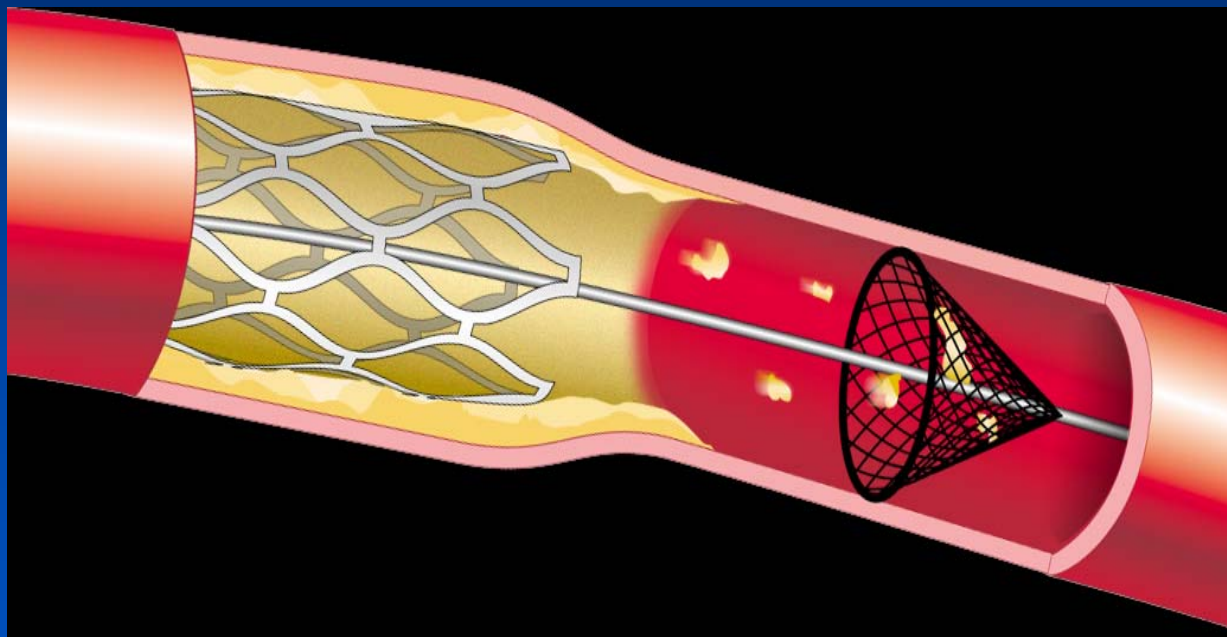


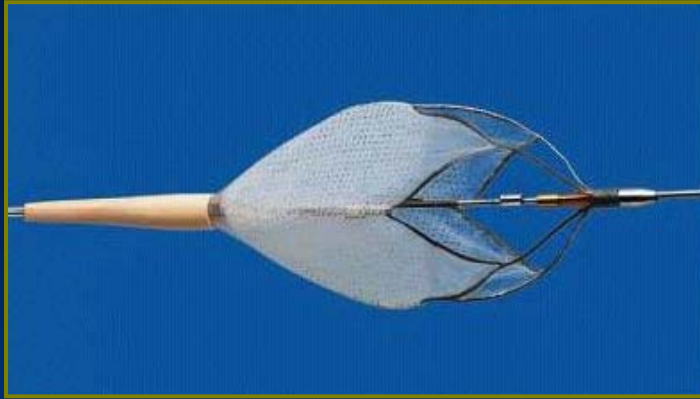
*J Interven Cardiol* 2004;61:233-43



# Distal Protection Devices

## Filter

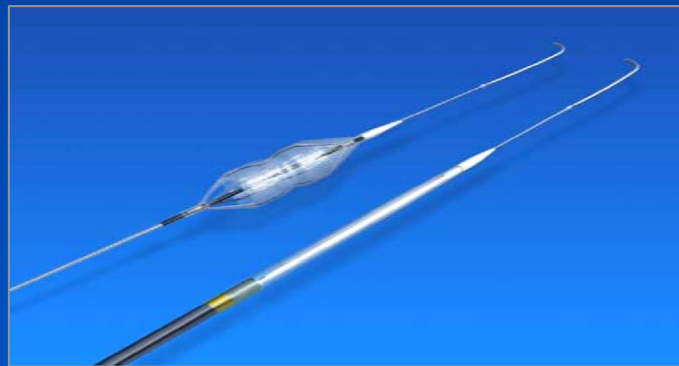




**Guidant - ACCUNET**



**BSC - EPI**



**MedNova - Emboshield**

# Filter Device

## Strength

- User-friendly
- Preserves ICA flow



# Filter Device

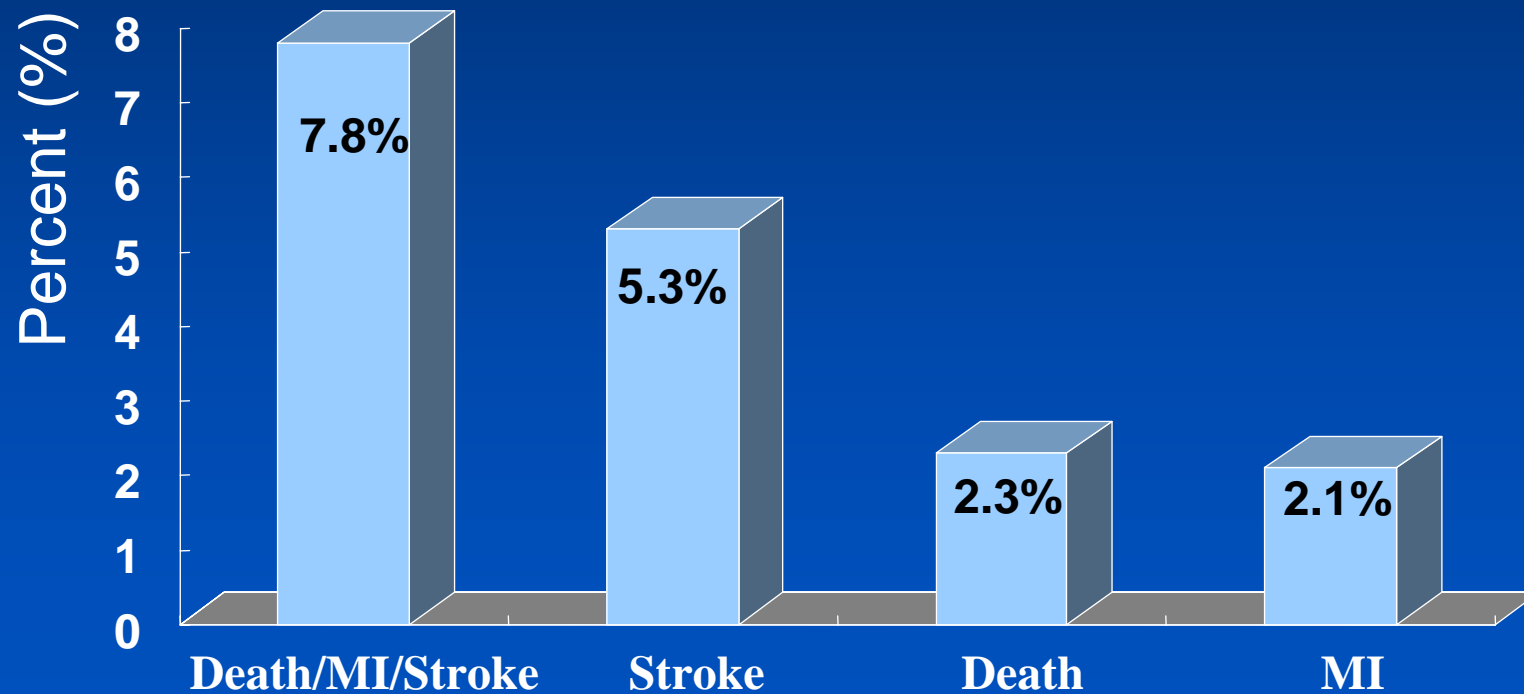
## Weakness

- Not same as standard guidewire
- Larger profile, less flexible
- Frequent need to predilate (recross PTA site)
- Unprotected
  - 1) during passage
  - 2) small particles
  - 3) flow around filter
  - 4) during filter retrieval
- May thrombose
- May cause spasm/dissection in distal ICA
- Cumbersome procedure (cannot move wire during exchange, several added steps)

# ARCHER Trial

- With 513 high risk patients
- With Acculink device

## Complications at 30-day



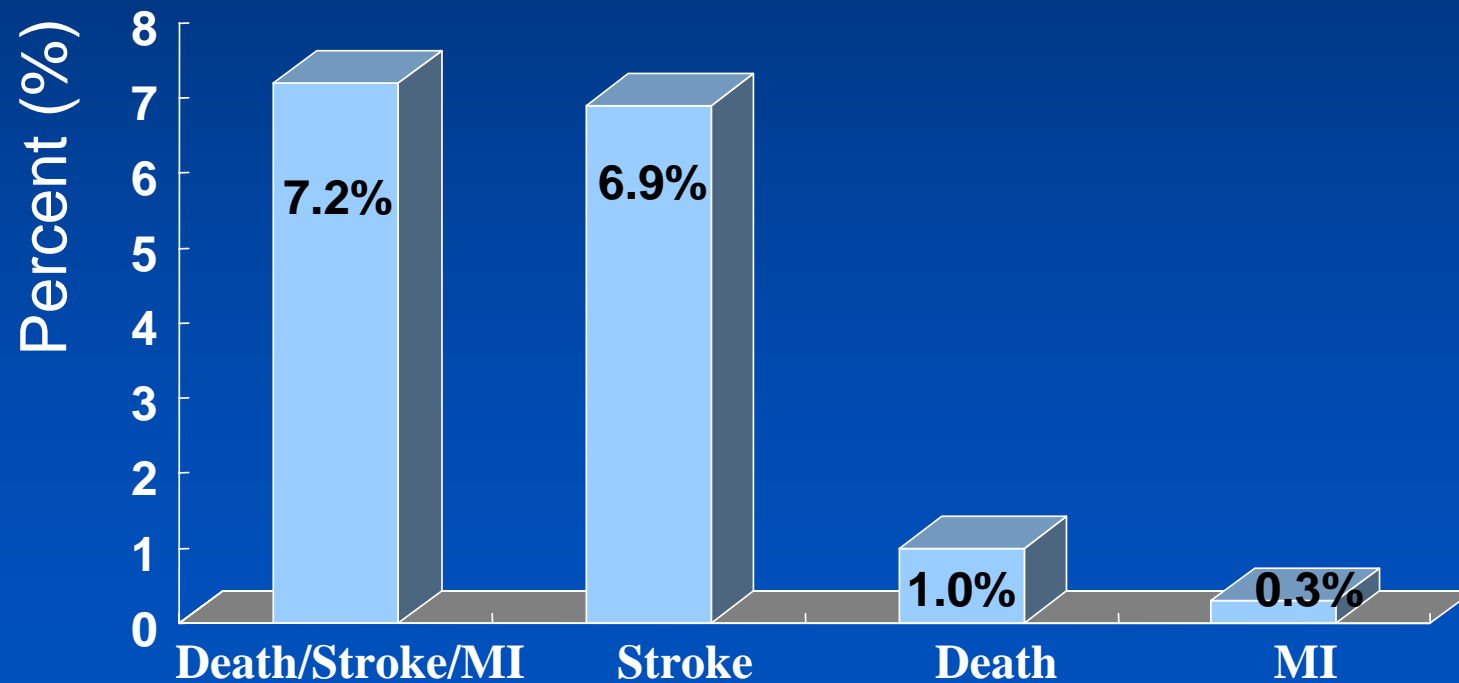
ACC 2003



# SECURITY Trial

- With 305 high risk patients
- Mednova filter wire/ X Act stent

## Complications at 30-day



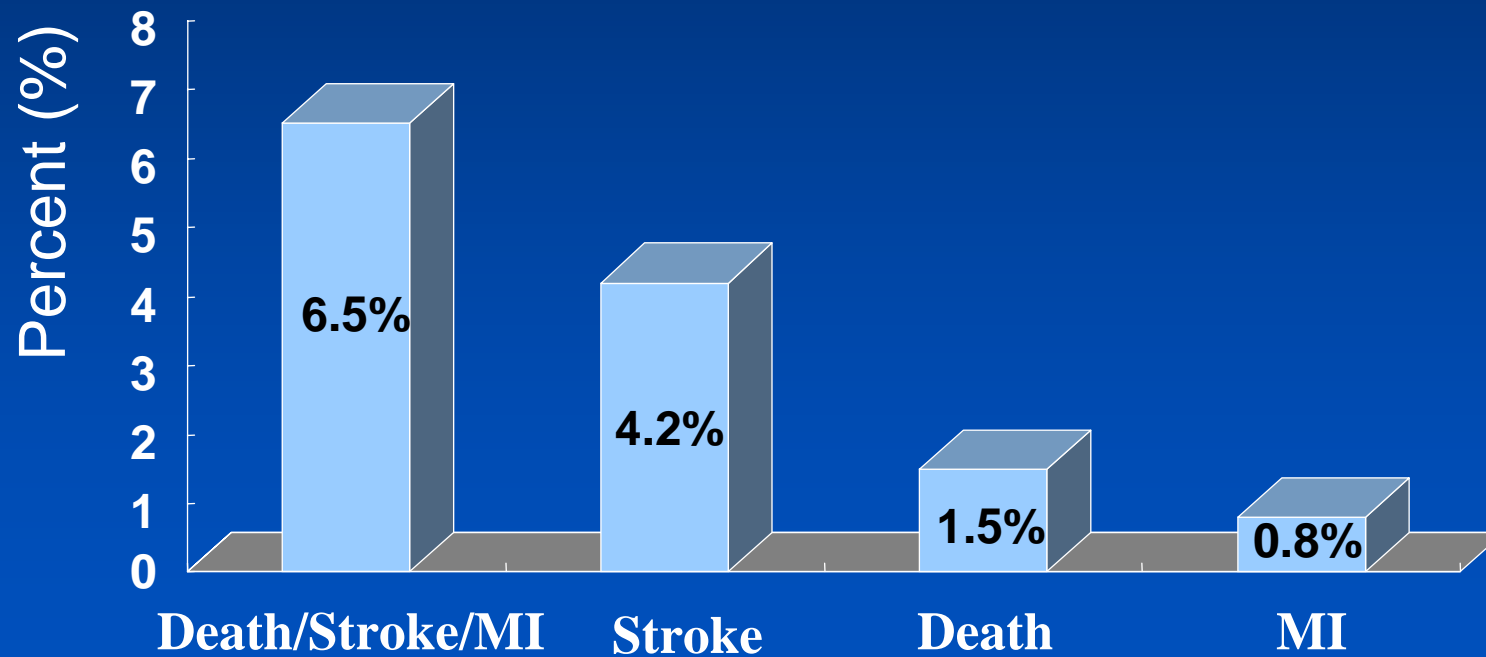
*ACC 2003*



# BEACH Trial

- With 747 high risk patients
- Carotid wall stent with filter wire

## Complications at 30-day



ACC 2004



# BEACH Trial

- With 747 high risk patients
- Symptomatic patients: stenosis  $\geq 50\%$
- Asymptomatic patients: stenosis  $\geq 80\%$
- Carotid wall stent with filter wire
- 30 day outcomes
  - Death/Stroke/MI : 6.5%
  - Death : 1.5%
  - Stroke : 4.2%
  - MI : 0.8%

*ACC 2004*



# Comparison of Devices Efficiency

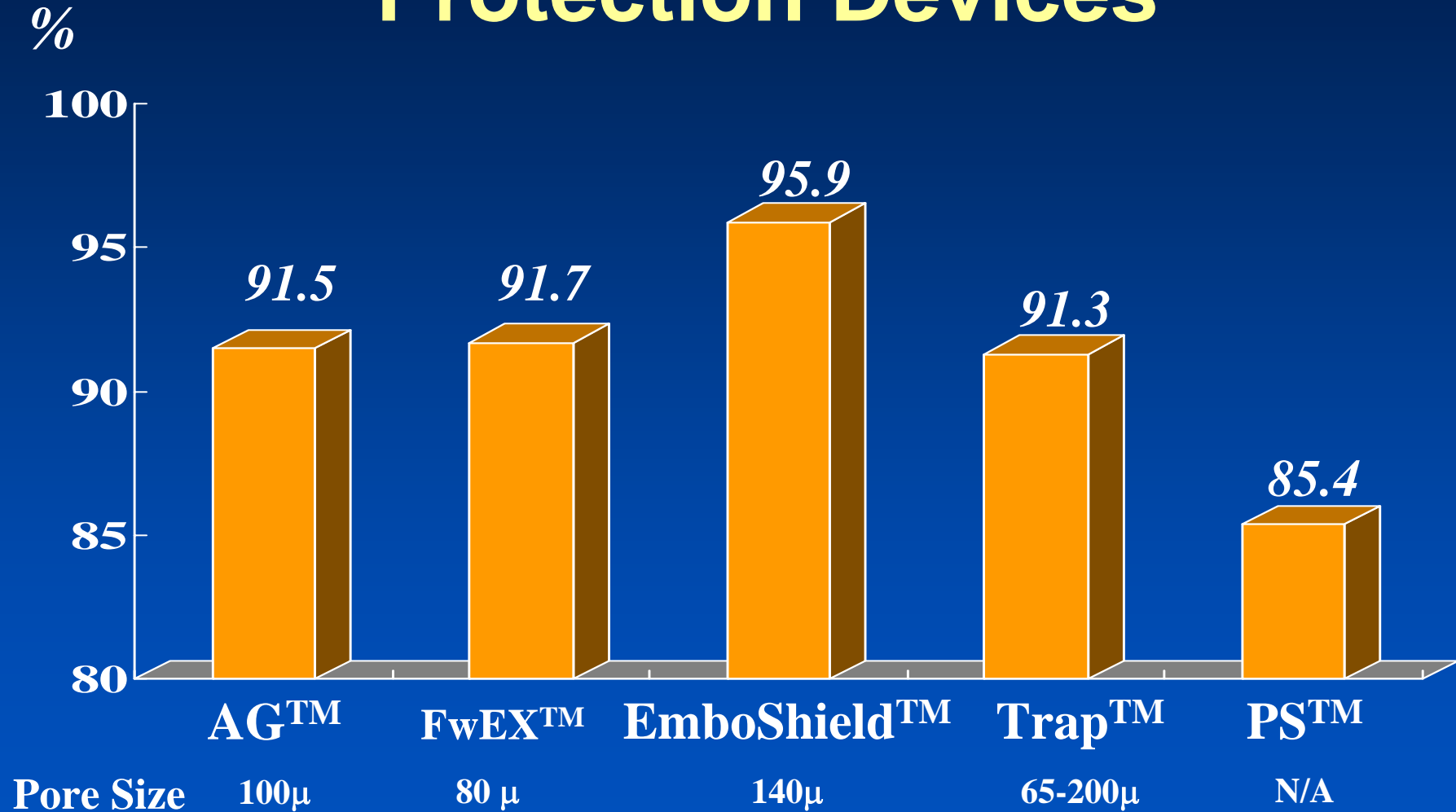


# Different Protection Devices

## Advantages and Disadvantages

	Easy to use	Embolization during lesion crossing	Flow decrease	ICA protection emboli	Ability to perform angiography during protection	Embolization through ECA	Potential spasm/damage to ICA	Tolerance
Filters	+++	+	+	+	+++	-	+++	+++
Occlusion	++	+	++	++	-	+++	+++	+
Flow reversal	+	-	+++	+++	+++	-	-	+

# Capture Efficiency of Protection Devices



*JVIR 2003;14:613-620*





# CAS with protection Complication at 30 days



	Al-Mubarak 2002 (Neuroshield)	Tubler, 2001 (Percusurge)	ARChR (Acculink, Accunet)	SAPPHIRE (Angioguard, Precise)
Patients	N=162	N=58	N=437	N=408
Death	1.0%	0%	2.3%	2.5%
Stroke	1.0%	4%	5.3%	5.6%
<b>Major</b>	<b>0%</b>	<b>2.0%</b>	<b>1.6%</b>	<b>3.1%</b>
<b>Minor</b>	<b>1.0%</b>	<b>2.0%</b>	<b>3.7%</b>	<b>2.7%</b>
MI	0.5%	0%	2.1%	1.7%
Total MAE	2.0%	4%	7.8%	7.8%

*AET 2003*

# Comparisons at 30 Days

Major Endpoints	N=56 Angioguard filter	N=55 Neuroshield filter
Minor stroke	1(1.78%)	0
Major stroke	0	1(1.8%)
MI	0	0
death	0	0

**No difference !!!**

*AET 2003*



# Endarterectomy Vs. Stenting



# CAVATAS

## Multicenter Randomized Trial CEA vs. Angioplasty

	Angioplasty N=251	CEA N=253
<b>30-day death &amp; stroke</b>	<b>6.4%</b>	<b>5.9 %</b>
<b>Cranial neuropathy</b>	<b>0 %</b>	<b>8.7 %</b>
<b>1-year restenosis *</b>	<b>14 %</b>	<b>4 %</b>

*\* Stenting = only in 26%*

CAVATAS Investigators, Lancet 2001;357:1729



# Procedural Cx of CAS vs. CEA

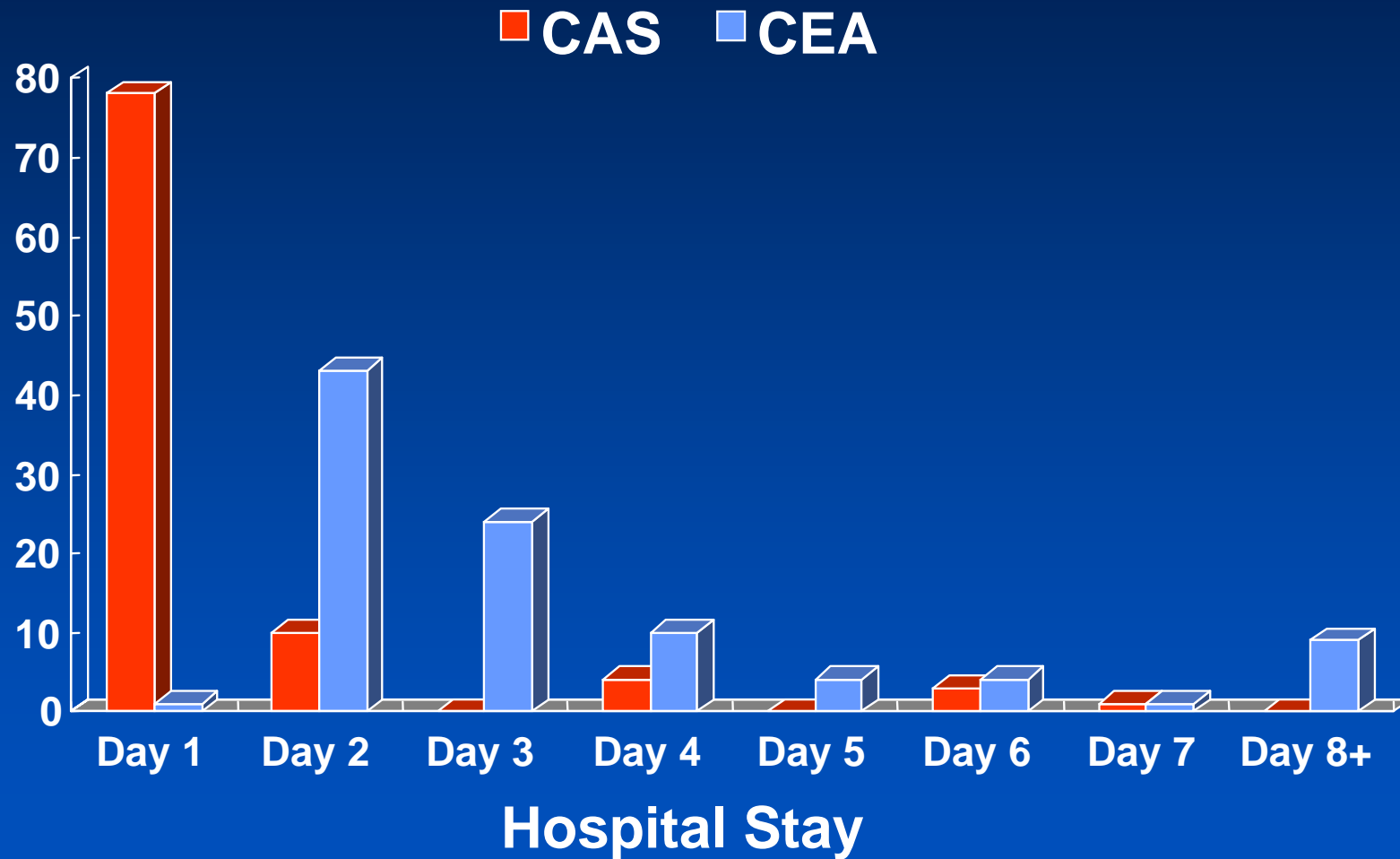
Randomized Trial in a Community Hospital

	CAS N=53	CEA N=51
Death	0	1 (MI)
Stroke	0	0
TIA	1	0

- CAS is equivalent to CEA, Slightly higher cost in CAS
- No increased risk for major complications of death/stroke
- Shortened hospitalization and convalescence

Brooks WH, et al. JACC 2001;38:1589

# Hospital Stay of CAS vs. CEA



*Ecker RD et al. J Neurosurg 2004;101:904*

# Cost of CAS vs. CEA

Factor	CAS	CEA	p Value
Duration of hospitalization (days)			
Mean (SD)	1.6 (1.5)	4.1 (5.3)	<0.001
Median	1.0	3.0	
Median cost (range, \$)			
Anesthetic	315 (285–360)	518 (471–621)	<0.001
Hospital	7671 (5705–10,042)	7715 (5950–10,006)	0.540
Physician	3221 (2090–5000)	1760 (1594–2678)	<0.001
Total	10,628 (8492–14,662)	10,148 (8287–13,429)	0.495

# The SAPPHIRE Study

Senting with filter device

vs.

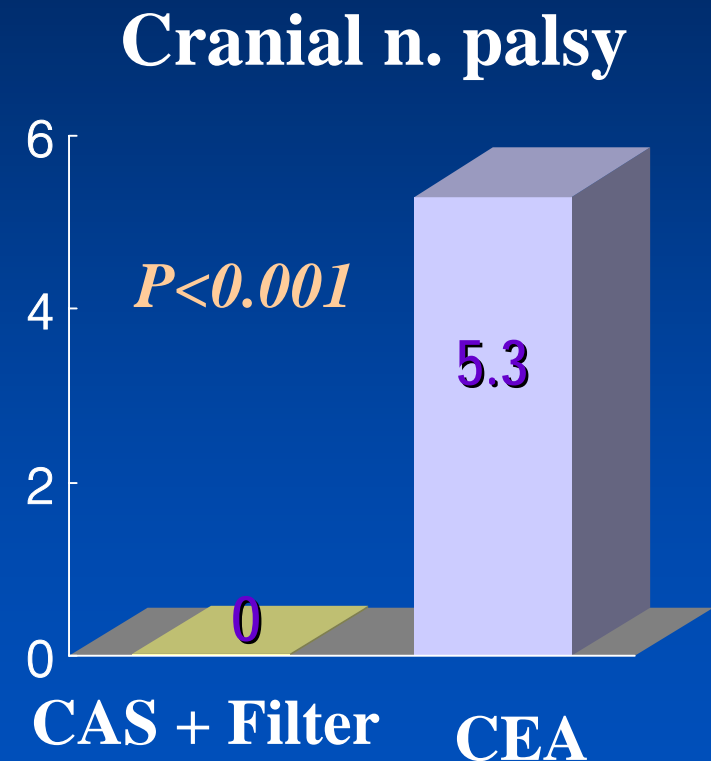
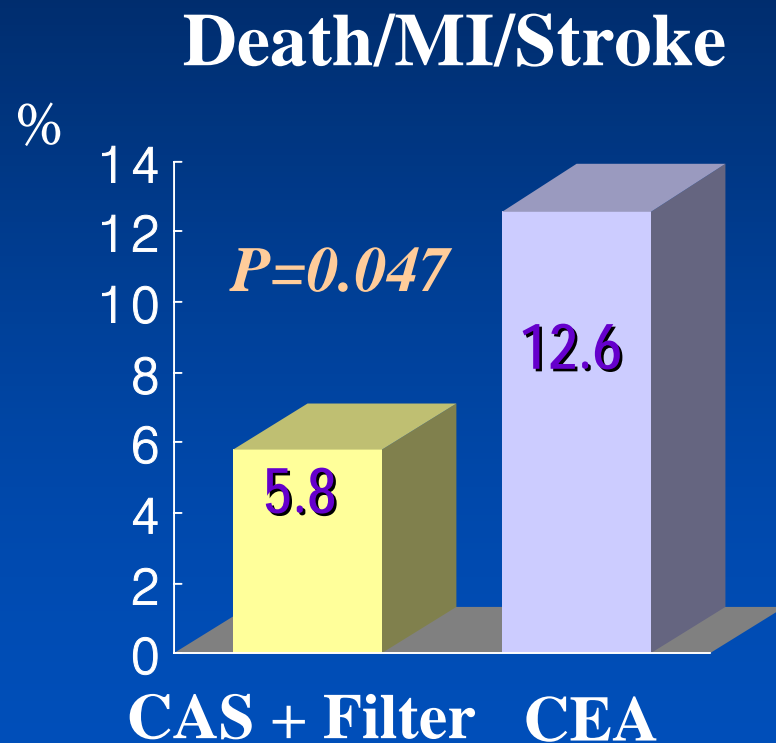
Endarterectomy

in high risk patients



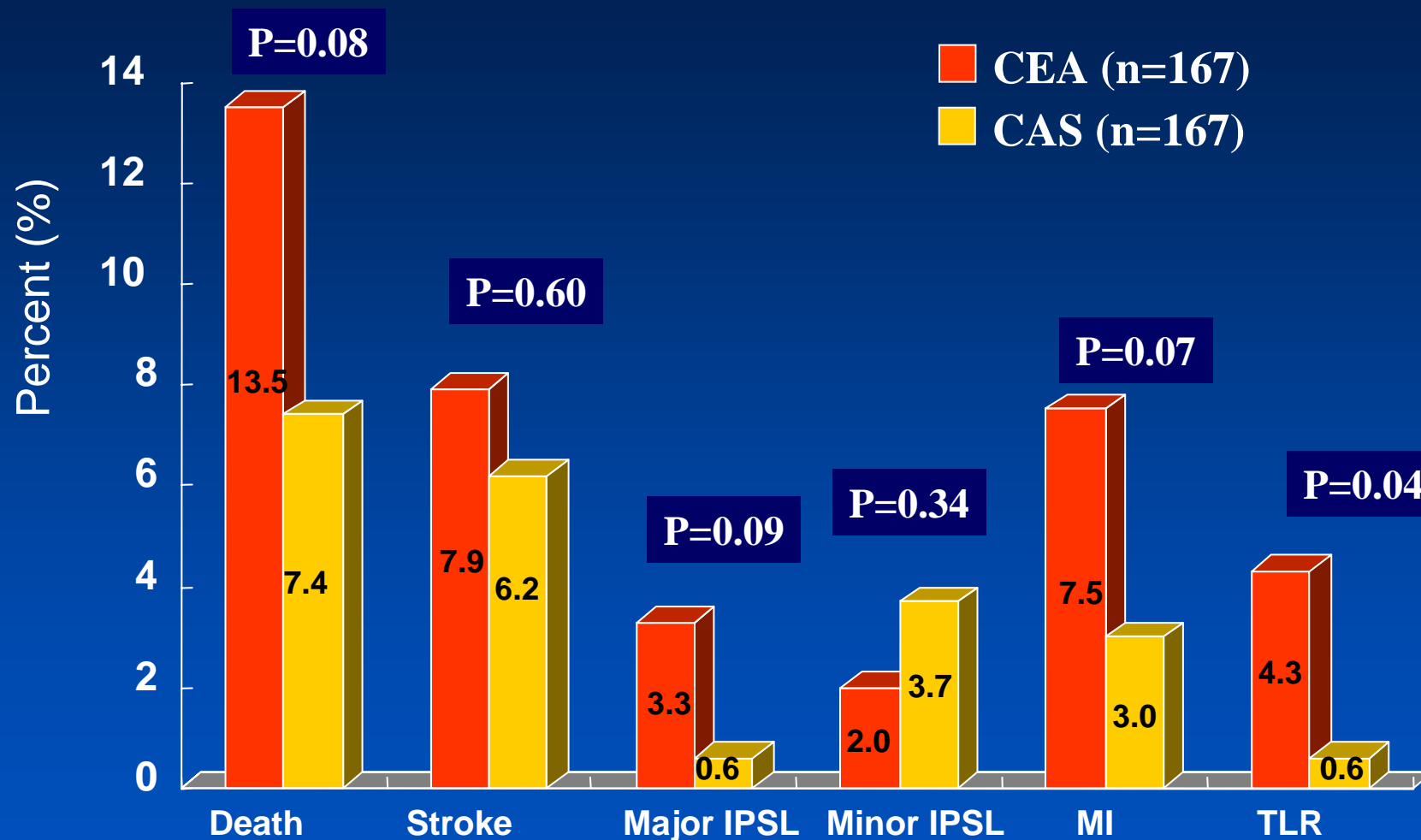


# Events Rate at 30 Days



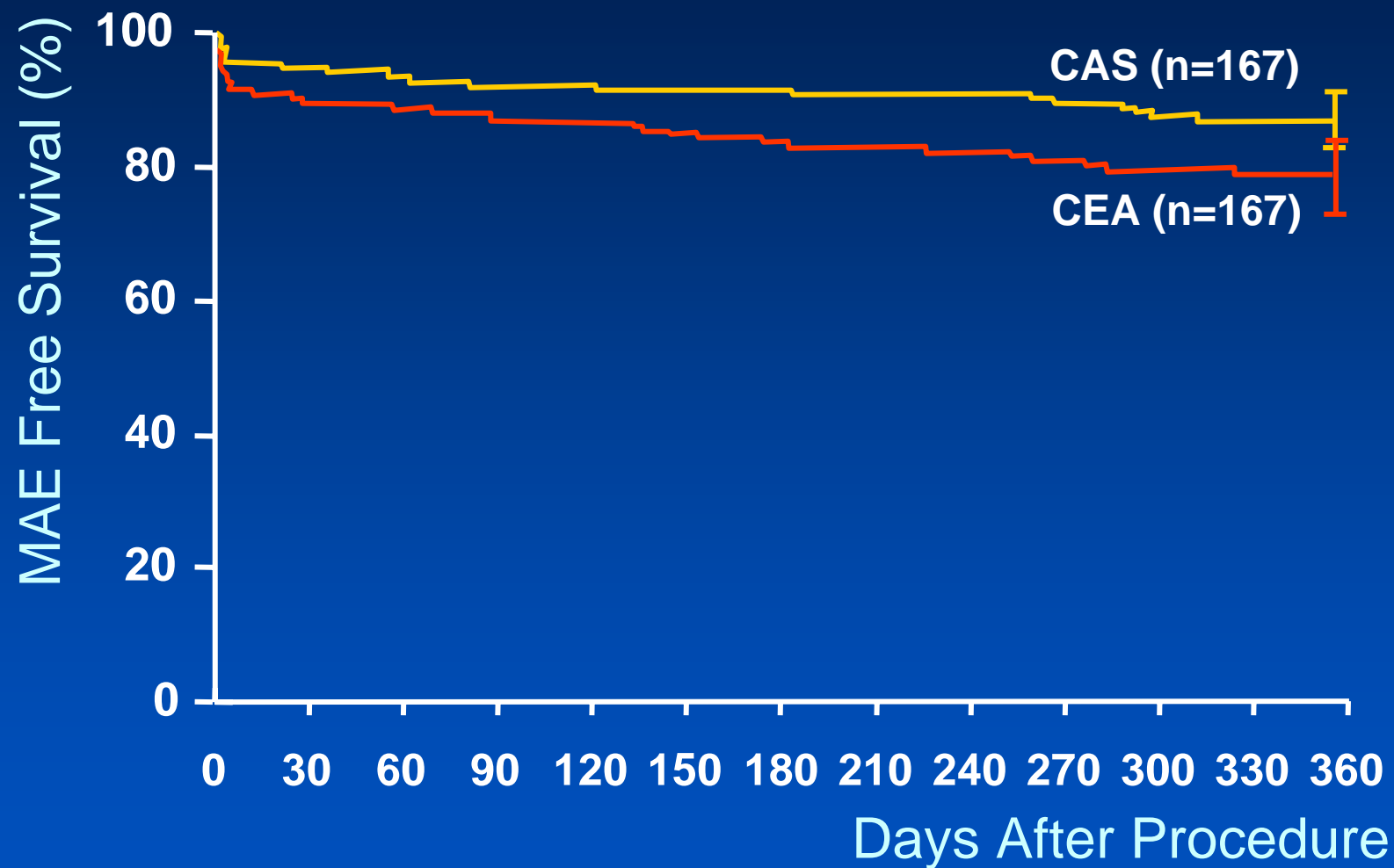
*Yadav JS, et al. NEJM 2004;351:1493*

# Event Rates at 1 Year



Yadav JS, et al. NEJM 2004;351:1493

# Event-free Survival



*Yadav JS, et al. NEJM 2004;351:1493*

# SAPPHIRE Trial

- Among patients with severe carotid-artery stenosis and coexisting conditions, CAS with the use of an emboli-protection device is not inferior to CEA.

*Yadav JS, et al. NEJM 2004;351:1493*



# CAS With Protection Devices

- CAS with protection is a safe and efficient procedure
- Protected CAS
  - Lower risk of major ipsilateral stroke, MI, cranial nerve injury and revascularization

# CAS With Protection Devices

- Protected CAS was non-inferior regardless of neurologic symptom status
- One year event rates for CAS in asymptomatic patients were significantly lower than with surgery and compared with previous CEA trials

# Patient Selection of Carotid Stenting

Only high surgical risk patients

vs.

All patients

# High Risk Surgical Criteria

**Should be the stenting !**

## Anatomic high risk

- High(C2) carotid bifurcation
- Prior neck irradiation or radical neck dissection
- Restenosis following prior CEA
- Contralateral occlusion
- Ostial common carotid lesion
- Spine immobility

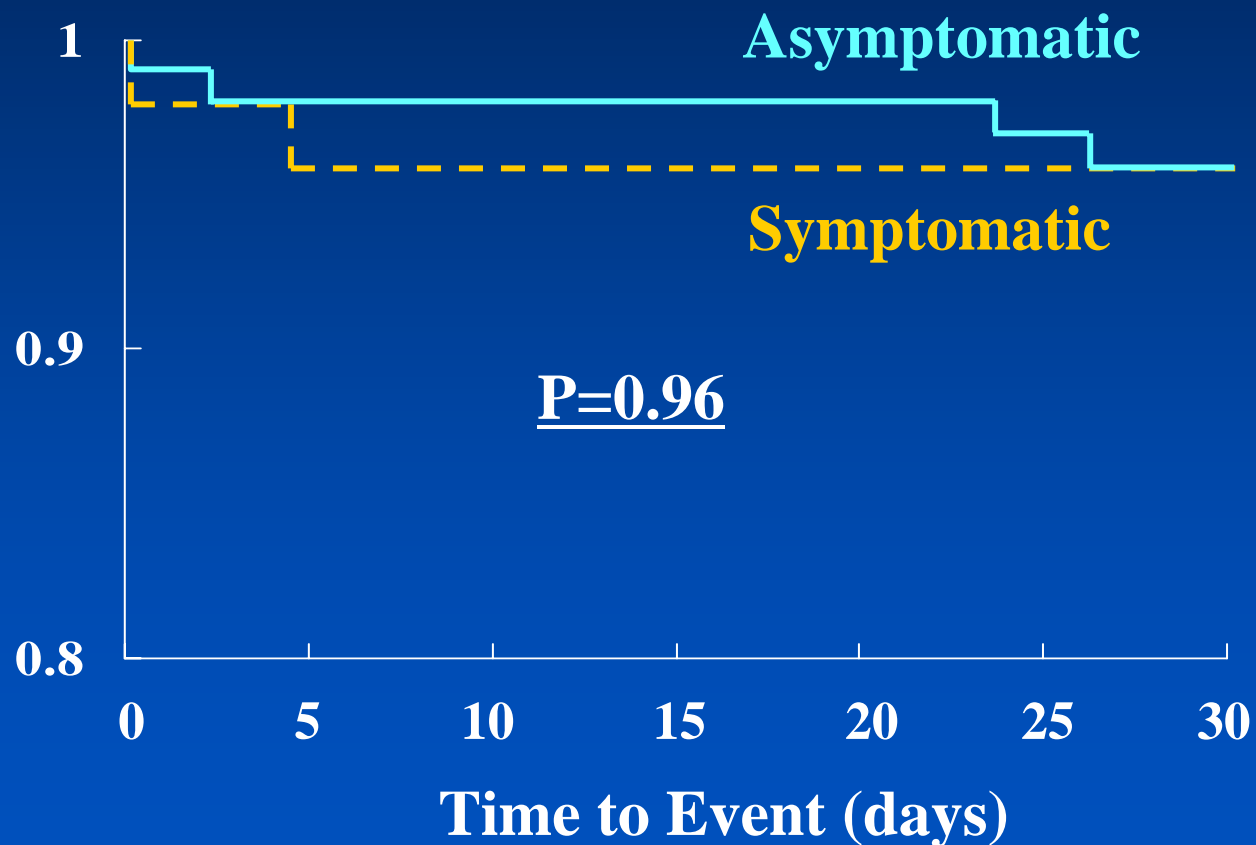
## Surgical high risk

- Severe CAD
  - Not revascularized or awaiting CABG
- Class III or IV CHF
- Severe COPD
- Age > 80



# Outcomes of CAS with Protection at 30 Days

## Symptomatic vs. Asymptomatic



ACC 2004



# Outcomes of CAS with Protection at 30 Days

## High vs. low risk

	High risk N=326	Low risk N=262	p
Minor stroke	4(1.2%)	3(1.1%)	ns
Major stroke	1(0.3%)	1(0.4%)	ns
Fatal stroke	2(0.6%)	0	ns
All stroke	7(2.1%)	4(1.5%)	ns
All death	4(1.2%)	1(0.4%)	ns
Death+Stroke	9(2.8%)	5(1.9%)	ns

High risk: age > 80, prior ipsilateral CEA, prior neck surgery or radiation, contralateral occlusion, anatomic low or high lesion, unstable/severe heart disease

ACC 2004



# Carotid Stenting

- With the use of the protection device, carotid stenting may be a more preferred therapy to carotid endarterectomy in carotid stenosis.
- The efficacy of carotid stenting may be extended to all patients subsets, such as symptomatic, asymptomatic, high risk, and low risk subgroups.